

First Tsukuba-CSS-Riken workshop on
microscopic theories of nuclear structure
and dynamics



Octupole correlations in a full-symmetry restoring framework

Luis M. Robledo

Universidad Autónoma de Madrid
Spain



The **shape** of many nuclei is **deformed** in the **intrinsic frame** (a mean field artifact)

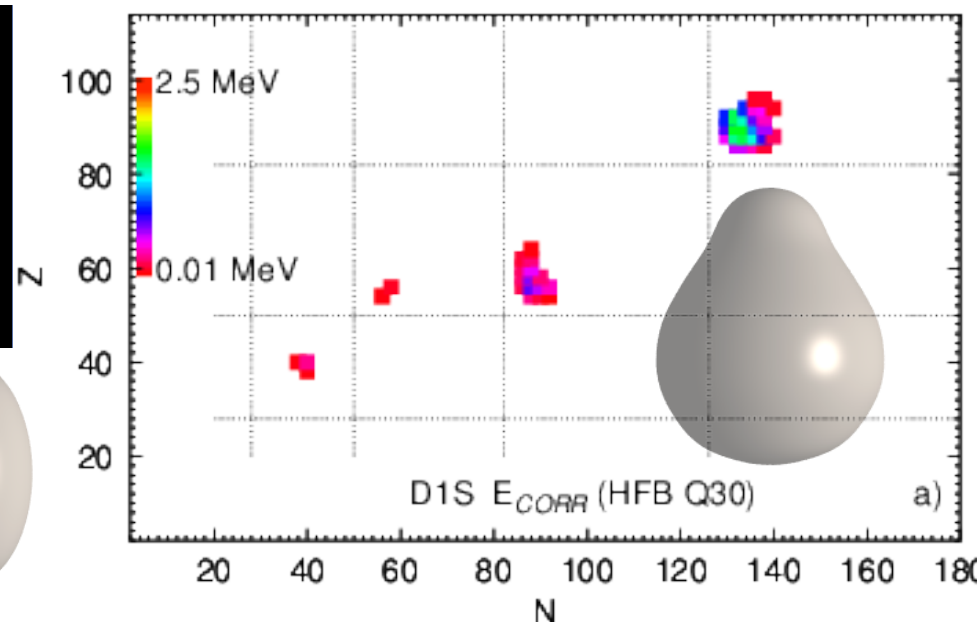
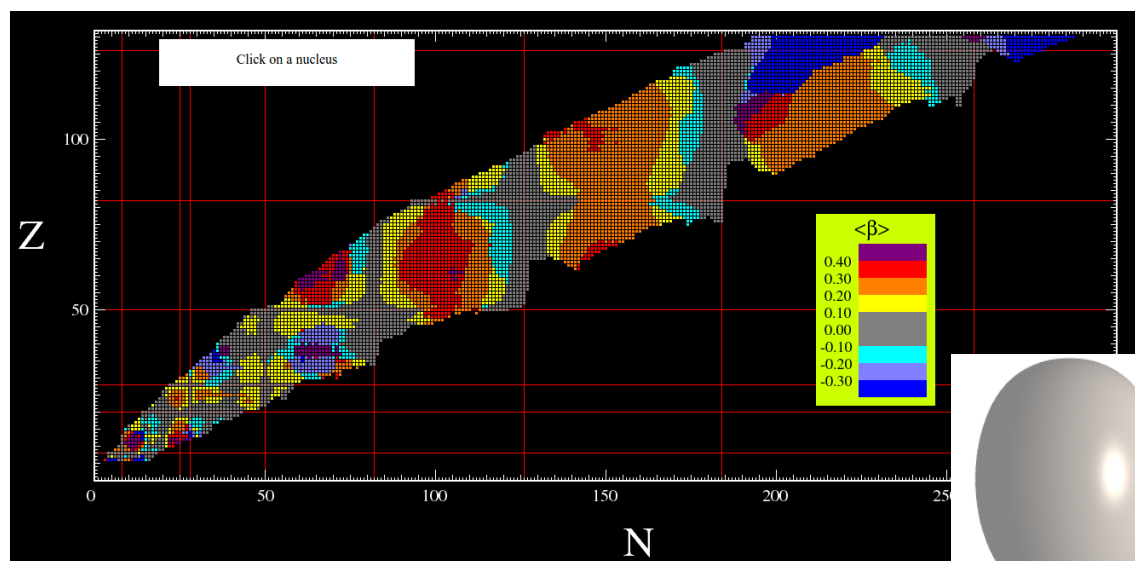
The restoration of broken symmetries (**transformation to the LAB frame**) generates a “band” for each intrinsic state. Band members have quantum numbers of the symmetry

Deformation described in terms of multipole moments $R(\theta, \phi) = R_0(1 + \sum_{LM} \alpha_{LM} Y_{LM})$

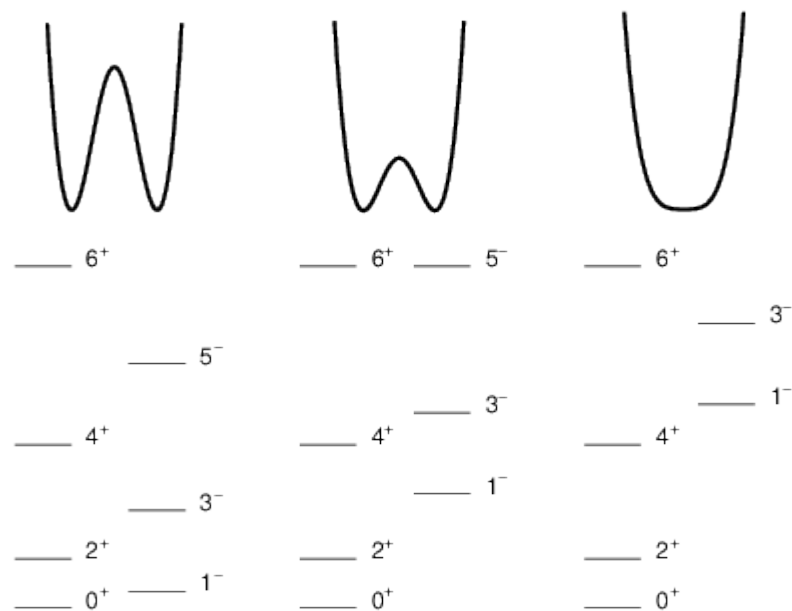
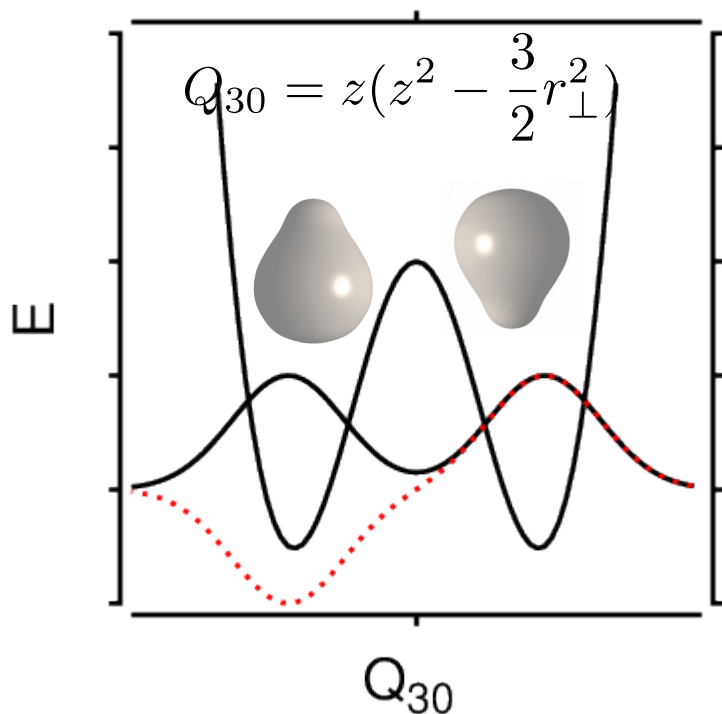
Deformation	Symmetry	Bands	Transitions
Quadrupole	Rotational	Rotational (J)	E2
Octupole	Parity	Parity doublets (π)	E1,E3

$$Q_{20} = z^2 - \frac{1}{2}r_{\perp}^2$$

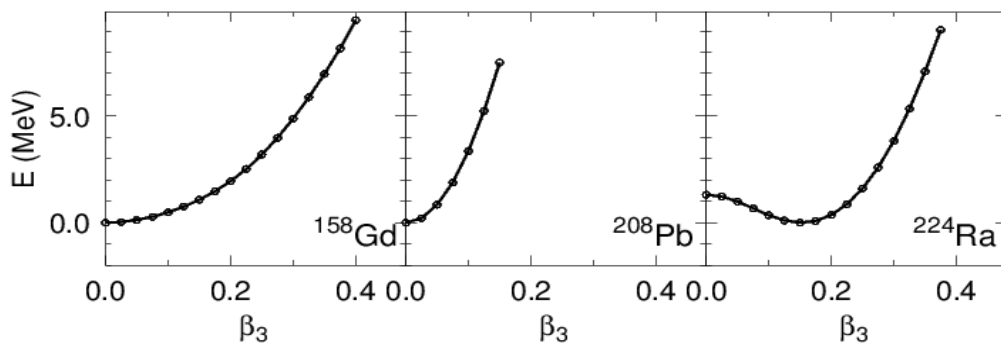
$$Q_{30} = z(z^2 - \frac{3}{2}r_{\perp}^2)$$



Octupoles 1.0 (Octupole deformation)



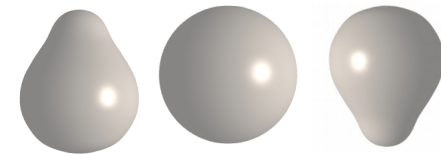
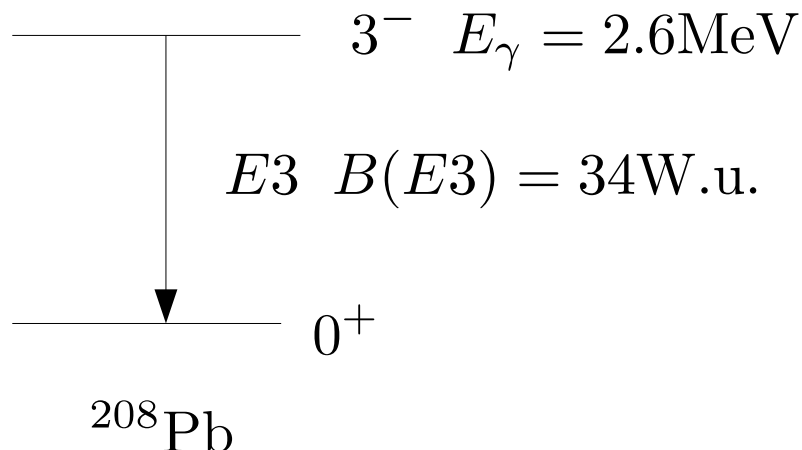
- Octupole deformation shows up as minima of $E_{\text{HFB}}(Q_{30})$
- $E(Q_{30}) = E(-Q_{30})$ (Parity invariance)
- In the LAB frame: parity doublets in the limit when there is no tunneling through the barrier
- Strong E3 transition strengths



Three typical results obtained with Gogny D1S

Octupoles 1.0 (Vibrational states)

- The nucleus can **vibrate** around its equilibrium position $R(\theta, \phi) = R_0(1 + \sum_{LM} \alpha_{LM} Y_{LM})$
- Vibration characterized by the new dynamical variables α_{LM}
- Harmonic oscillator like quantum states (phonons) carrying angular momentum L and parity $\pi=(-1)^L$ B_{LM}^\dagger
- The oscillator frequency and characteristic length depend upon **two parameters: spring constant and inertia**. The latter is not easy to determine in mean field theories.
- Energies and transition strength depend on those two parameters.
- Octupole vibration corresponds to L=3 and the corresponding phonon carries 3 units of angular momentum



- Well defined only in weakly deformed nuclei ?
- Quadrupole-Octupole coupling
- Two octupole phonons and 0_2^+

Our goal is to describe octupole correlations in an **unified framework** to treat in the same footing **vibrations, octupole deformed states and any intermediate situation**

- Use of an “**universal**” **interaction** (EDF) is required for predictability
- **Based on Hartree Fock Bogoliubov (HFB) intrinsic states. Must be flexible** enough to accommodate many physical situations like quadrupole and octupole coupling

$$|\Phi(Q_2, Q_3)\rangle$$

- **Symmetry restoration:**

- Angular momentum projection
- Particle Number projection
- Parity projection

$$\begin{matrix} P^J \\ P^N \\ P^\pi \end{matrix}$$

Can be avoided if the nucleus is strongly deformed (Rotational model) and quadrupole-octupole mixing negligible

- **Configuration mixing**

$$|\Psi_\sigma\rangle = \int dQ_2 dQ_3 f_\sigma(Q_2, Q_3) P^J P^N P^\pi |\Phi(Q_2, Q_3)\rangle$$

The **Gogny force** is a popular choice but others (Skyrme, relativistic, etc) are possible

$$V(\vec{r}_1 - \vec{r}_2) = V_C(1, 2) + V_{LS}(1, 2) + V_{Coul}(1, 2) + V_{DD}$$

$$V_C(\vec{r}_1 - \vec{r}_2) = \sum_i (W_i - H_i P_\tau + B_i P_\sigma - M_i P_\sigma P_\tau) \exp((\vec{r}_1 - \vec{r}_2)^2 / \mu_i^2)$$

$$V_{LS}(1, 2) = W_{LS}^i (\nabla_{12} \delta(\vec{r}_1 - \vec{r}_2) \nabla_{12}) (\vec{\sigma}_1 + \vec{\sigma}_2) \quad V_C(1, 2) = \frac{e^2}{4\pi\epsilon_0 r}$$

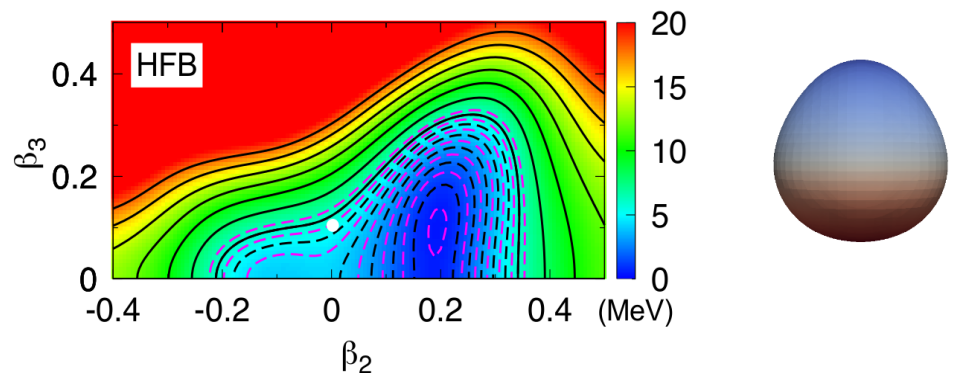
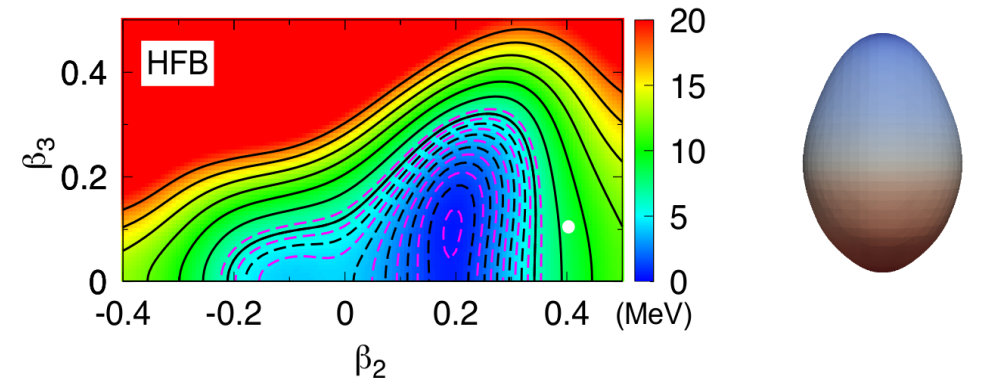
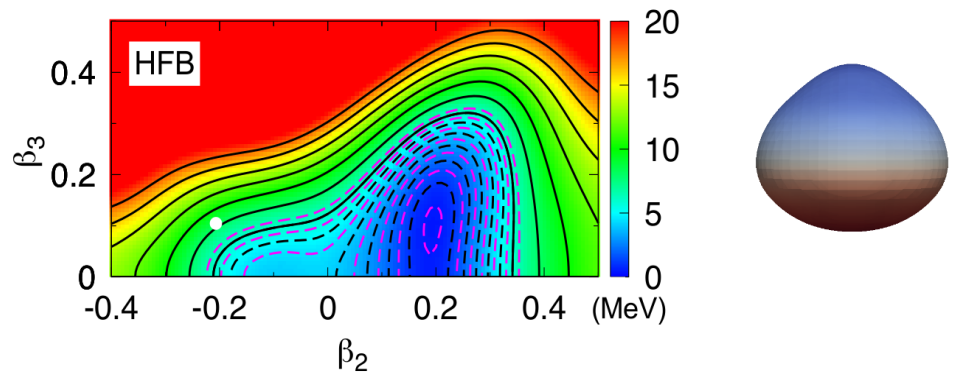
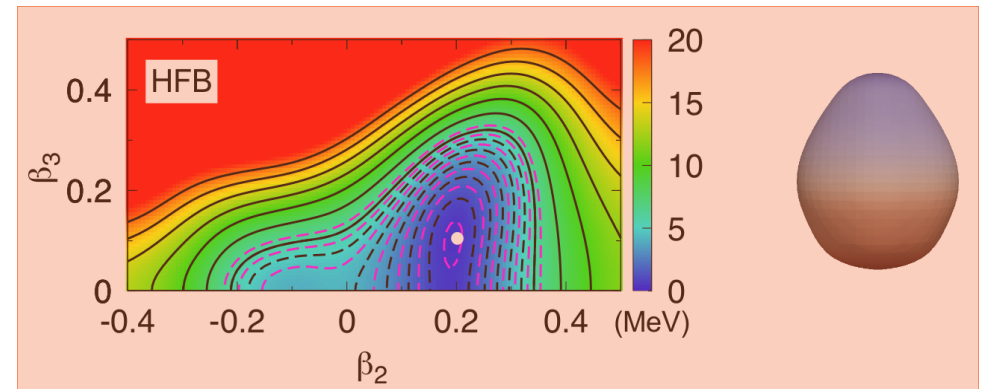
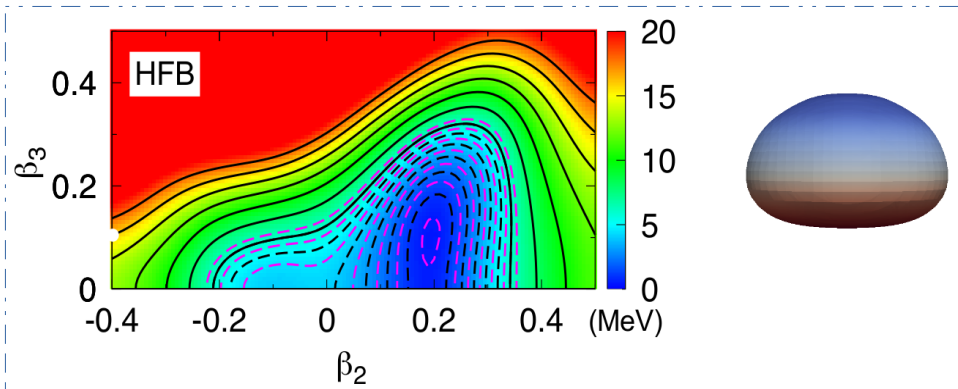
$$V_{DD}(1, 2) = t_3 \delta(\vec{r}_1 - \vec{r}_2) (1 + x_0 P_\sigma) \rho^\alpha(\vec{R})$$

Parameters fixed by fitting some general nuclear matter properties and a few values from finite nuclei (binding energies, s.p.e. splittings and some radii information).

- **D1S:** surface energy fine tuned to reproduce fission barriers
- **D1N:** Realistic neutron matter equation of state reproduced
- **D1M:** Realistic neutron matter + Binding energies of essentially all nuclei with approximate beyond mean field effects

Pairing and time-odd fields are taken from the interaction itself

Intrinsic HFB configurations



- Configurations at and around the HFB minimum
- Axially symmetric HFB with constraints in Q_{20} Q_{30}
- Efficient second order gradient solver
- Finite range Gogny (D1S, D1M, etc)

The example corresponds to ^{144}Ba with rather strong quadrupole-octupole mixing

Symmetry restoration

Parity symmetry is broken when $\beta_3 \neq 0$

$$|\varphi(\beta_3)\rangle$$



To restore the symmetry, apply the symmetry operator to the intrinsic wave function

$$\hat{\Pi}|\varphi(\beta_3)\rangle$$



Parity transformation



And take the appropriate **linear combination** of the two shapes to restore the symmetry

$$|\Psi_\pi\rangle = \mathcal{N}_\pi(1 + \pi\hat{\Pi})|\varphi(\beta_3)\rangle \quad \pi = \pm 1 \quad \hat{\Pi}|\Psi_\pi\rangle = \pi|\Psi_\pi\rangle$$

The procedure works because of the special properties (**group theory**) of the symmetry operator $\hat{\Pi}^2 = 1$

Parity restoration is so simple because it is a **discrete symmetry** made of two elements: **identity and parity**

Symmetry restoration: Continuous symmetries

Particle number and angular momentum restoration involve continuous symmetries

$$e^{i\varphi\hat{N}} \quad \hat{R}(\alpha, \beta, \gamma) = \exp(-i\alpha J_z) \exp(-i\beta J_y) \exp(-i\gamma J_z)$$

And “linear combinations of rotated intrinsic states” become integrals

$$P^N |\Phi\rangle = \int_0^{2\pi} d\varphi \, e^{-i\varphi N} e^{i\varphi \hat{N}} |\Phi\rangle$$

Linear combination weight rotated intrinsic state

This “simple” structure is due to the Abelian character of the underlying group **U(1)**

In the angular momentum case the symmetry group is **SU(2)** (not Abelian)

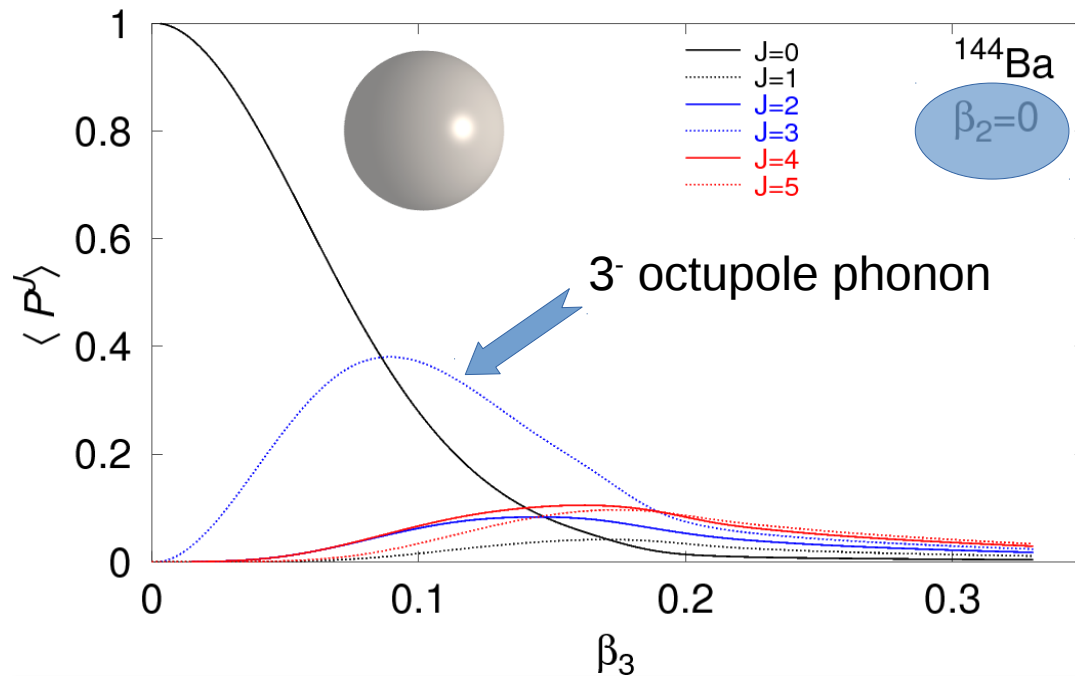
$$P^J |\Phi\rangle = \sum_K g_K \int d\Omega \mathcal{D}_{KM}^{*J}(\Omega) \hat{R}(\Omega) |\Phi\rangle$$

We assume axial symmetry and good signature in the intrinsic wave function.

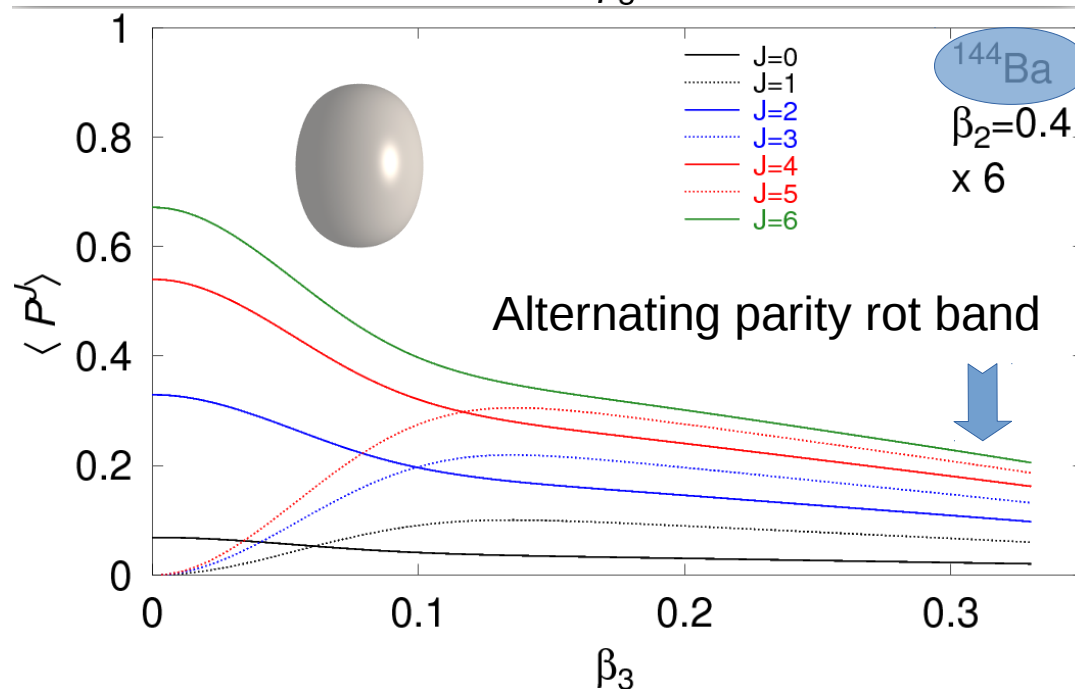
$$\mathcal{S} = \mathcal{P} \mathcal{R}_y(\pi) \rightarrow \pi = (-1)^J$$

Natural parity selection rule

AM contents of the intrinsic states



- $|\langle P^J \rangle|^2$ is the probability of finding angular momentum J in the intrinsic state
- The 3- configuration is dominant for negative parity states and spherical nuclei
- For deformed nuclei, the ordering of the negative parity states is similar to the one of positive parity states
- In the strong deformation limit of the octupole, both positive and negative parity amplitudes exactly follow the same pattern (alternating parity rotational bands)



The last step is **configuration mixing**

$$|\Psi_{\sigma}^{J\pi N}\rangle = \int dQ_2 dQ_3 f_{\sigma}^{J\pi N}(Q_2, Q_3) P^J P^N P^{\pi} |\Phi(Q_2, Q_3)\rangle$$

This is a **Projection After Variation (PAV)** procedure because the intrinsic states are determined by solving the HFB equation

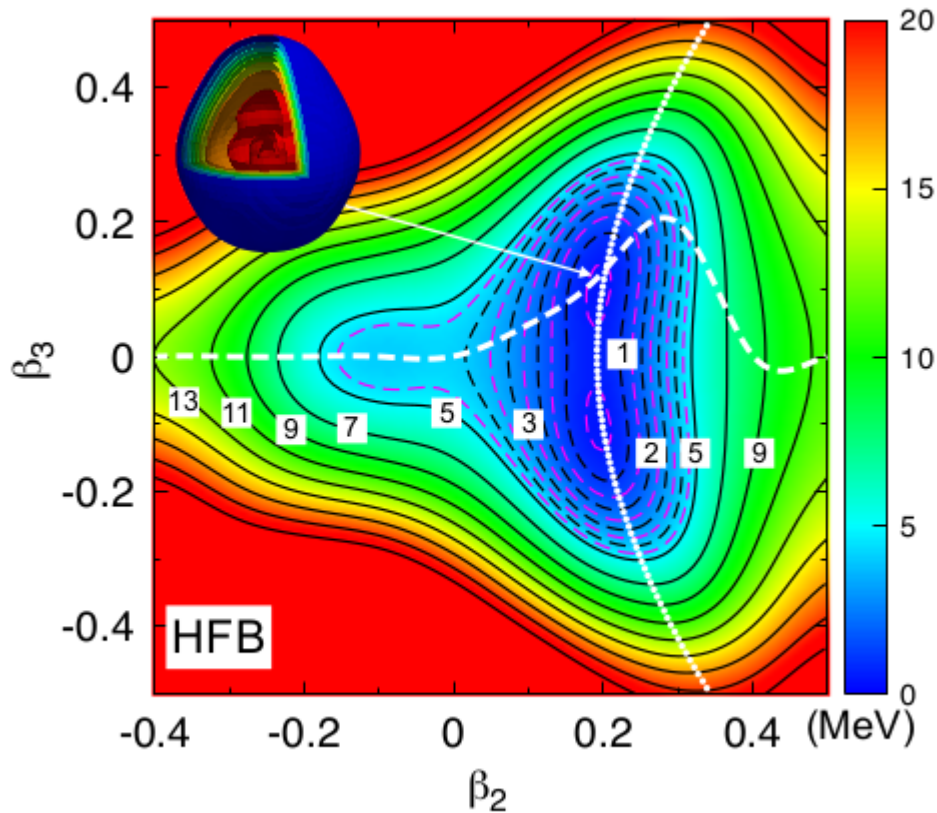
The f amplitudes are obtained by solving the **Schrodinger equation** in the reduced configuration space (**Hill-Wheeler equations for each J, π**)

The final wf has good quantum numbers J, N , etc. This is very important as **electromagnetic transition strengths** and their associated selection rules strongly depend on them. To compute transition strengths we need the overlaps of the EM transition operators

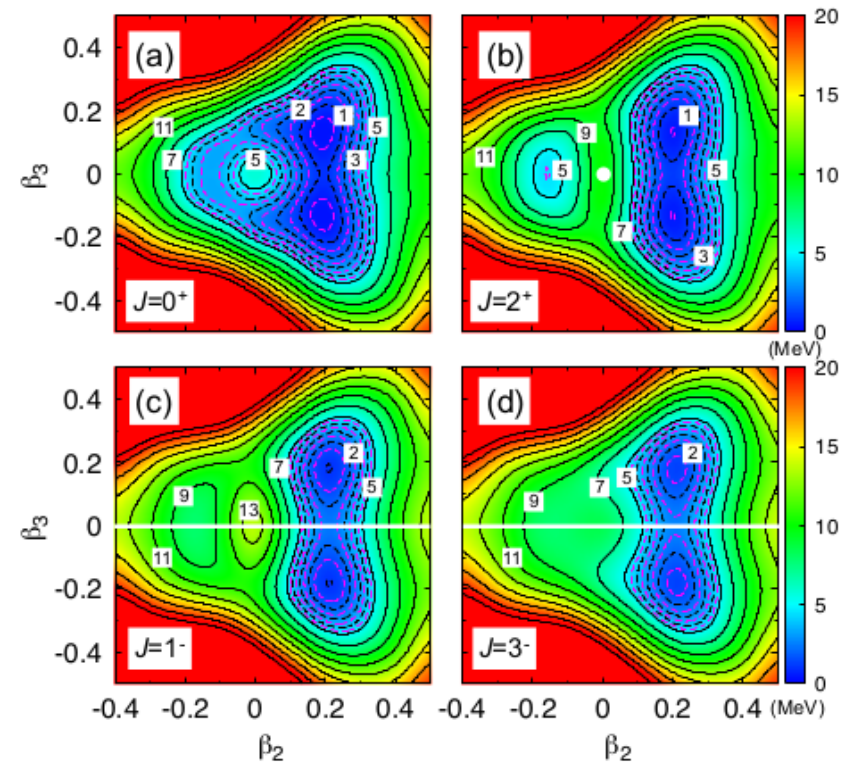
$$\langle \Psi_{\sigma_1}^{J_1 \pi_1} | \hat{O} | \Psi_{\sigma_2}^{J_2 \pi_2} \rangle \longrightarrow \langle \Phi(Q_2, Q_3) | P^{J_1} P^{\pi_1} \hat{O} P^{J_2} P^{\pi_2} | \Phi(Q'_2, Q'_3) \rangle$$

In the present approach, assumptions like the “rotational formula” often used to compute transition strengths are not required !

The “rotational formula” $B(EL) \propto \beta_L^2$ fails in weakly deformed nuclei and in computing transitions among different intrinsic states



Intrinsic energy



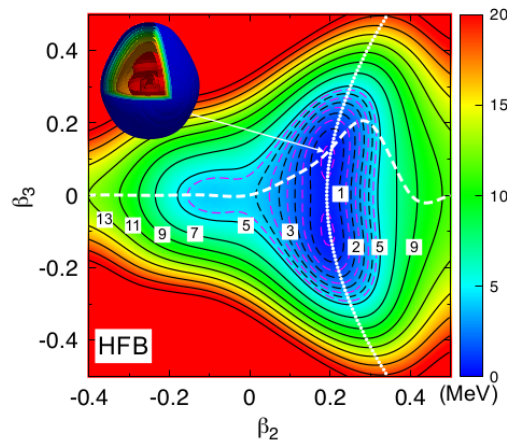
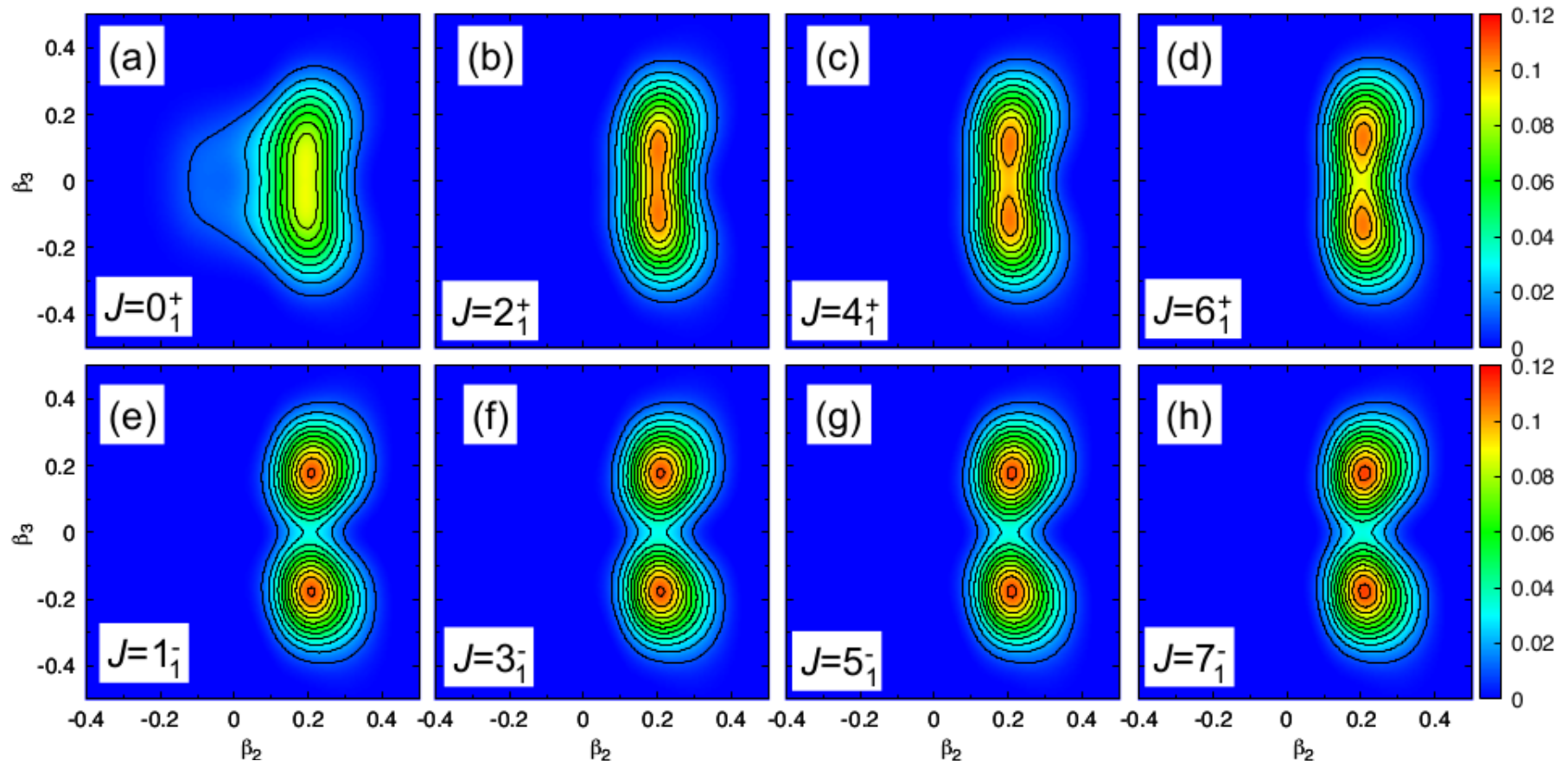
Projected LAB energies

Gogny D1S calculation (one day in a 600 node computer farm)

R.N. Bernard, L.M. Robledo and T.R. Rodriguez

Octupole correlations in the nucleus ^{144}Ba described with symmetry conserving configuration mixing calculations

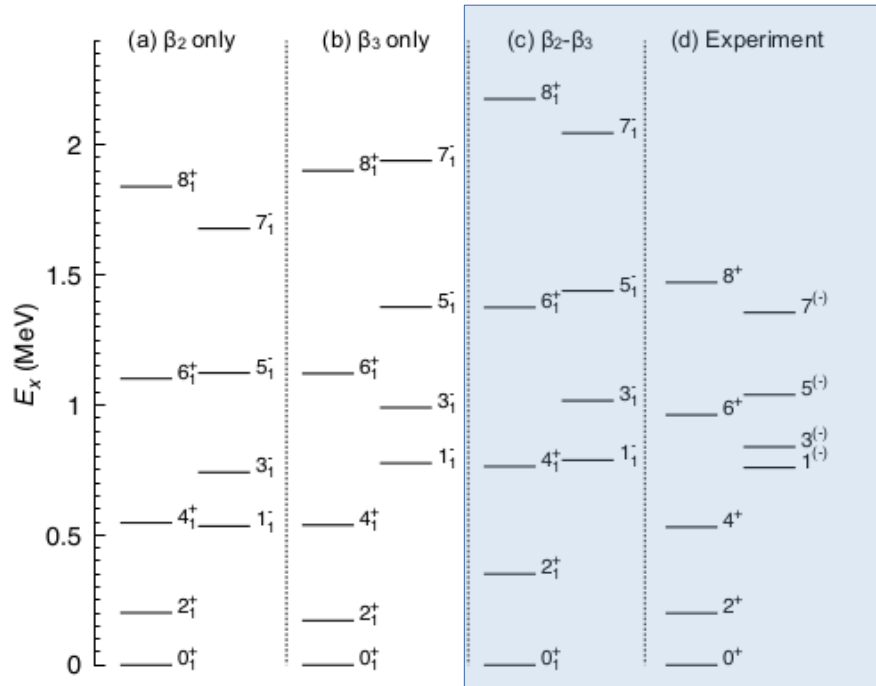
Phys. Rev. C 93, 061302 (R) (2016)



Collective amplitudes:

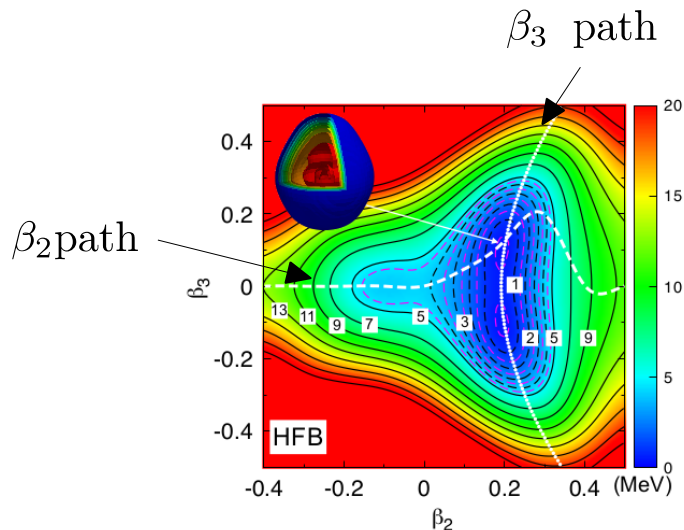
- Follow the topology of the energy surface
- Symmetry restrictions (wf zero if $\pi=-1$ and $\beta_3=0$)
- Fairly constant as a function of J (collective rotational band)
- Positive parity amplitudes evolve to match negative parity ones (stabilization of octupole deformation at high spins)

Recent experimental data from B. Bucher et al PRL 116, 112503 (2016)



$J_i^\pi \rightarrow J_f^\pi$	$E\lambda$	GCM β_2	GCM β_3	GCM $\beta_2 - \beta_3$	Exp
$0^+ \rightarrow 2^+$	E2	1.148	1.121	1.023	1.042^{+17}_{-22}
$2^+ \rightarrow 4^+$	E2	1.865	1.803	1.845	1.860^{+86}_{-81}
$4^+ \rightarrow 6^+$	E2	2.371	2.287	2.360	1.78^{+12}_{-10}
$6^+ \rightarrow 8^+$	E2	2.800	2.696	2.793	2.04^{+35}_{-23}
$0^+ \rightarrow 1^-$	E1	0.007	0.006	0.008	
$1^- \rightarrow 2^+$	E1	0.005	0.009	0.006	
$0^+ \rightarrow 3^-$	E3	0.450	0.477	0.460	0.65^{+17}_{-23}
$1^- \rightarrow 4^+$	E3	0.599	0.635	0.695	
$2^+ \rightarrow 5^-$	E3	0.708	0.745	0.810	< 1.2
$3^- \rightarrow 6^+$	E3	0.804	0.865	0.810	
$4^+ \rightarrow 7^-$	E3	0.887	0.945	1.031	< 1.6

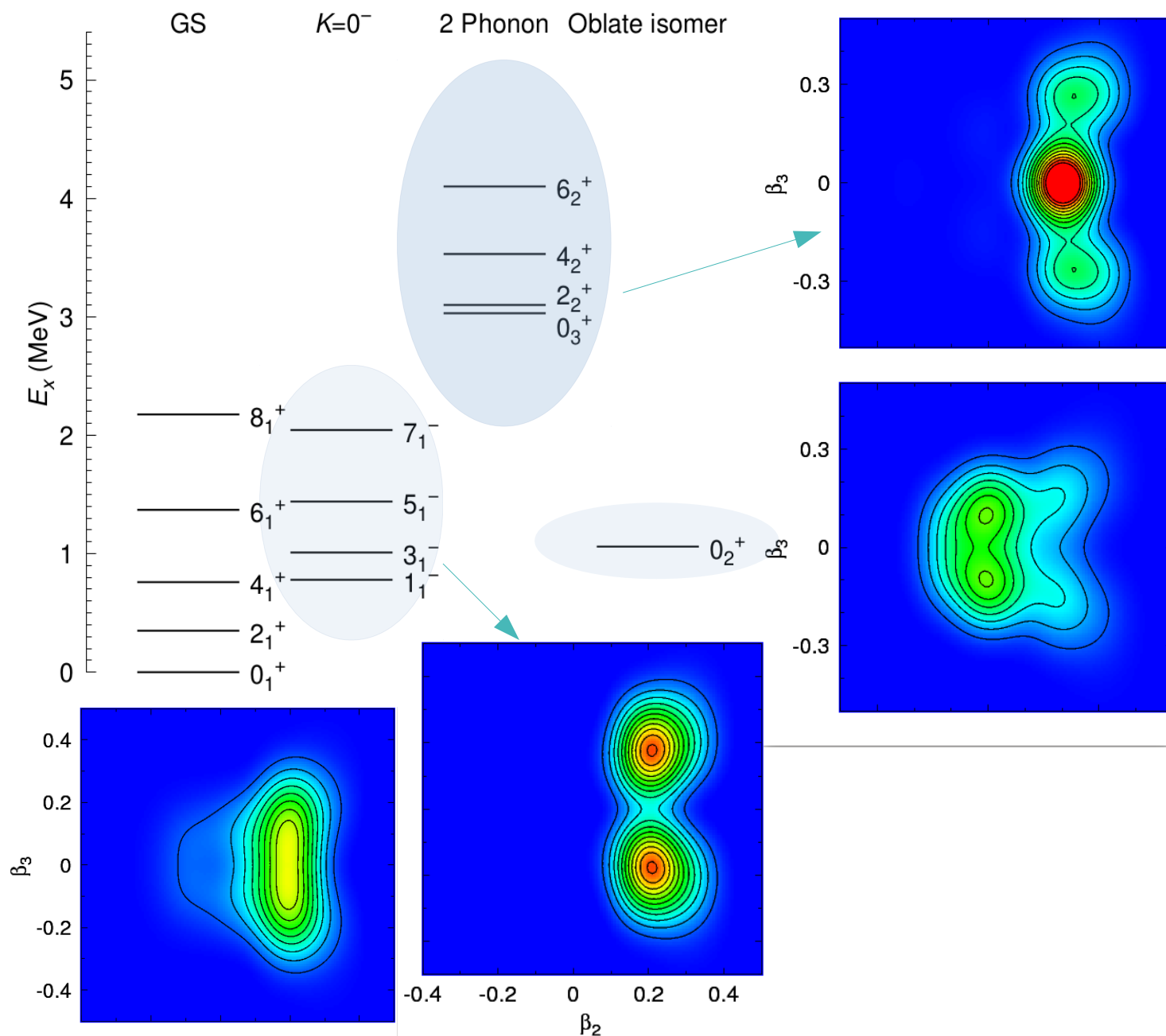
TABLE I. Absolute values of the transition matrix elements $|\langle J_i^\pi || E\lambda || J_f^\pi \rangle|$ (in $eb^{\lambda/2}$) for several transitions of interest.



- Weakly deformed nucleus (both quadrupole and octupole) with strong coupling
- Good agreement for the 1^- excitation energy
- Wrong moments of inertia (understood: missing cranking-like states (*))
- Good transition strengths E2 and E3

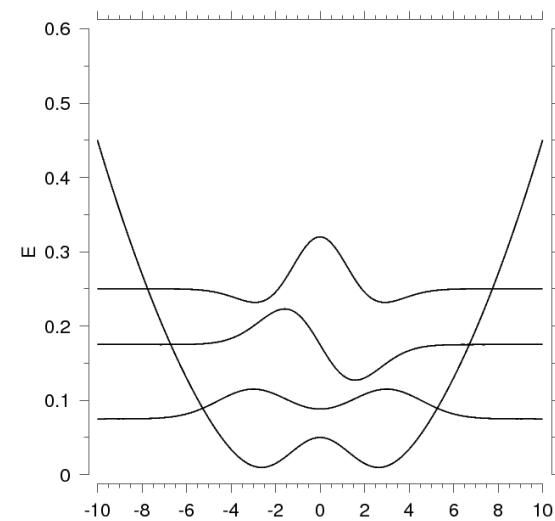
(*) PRC62, 054319; PLB746, 341

144Ba double octupole phonon

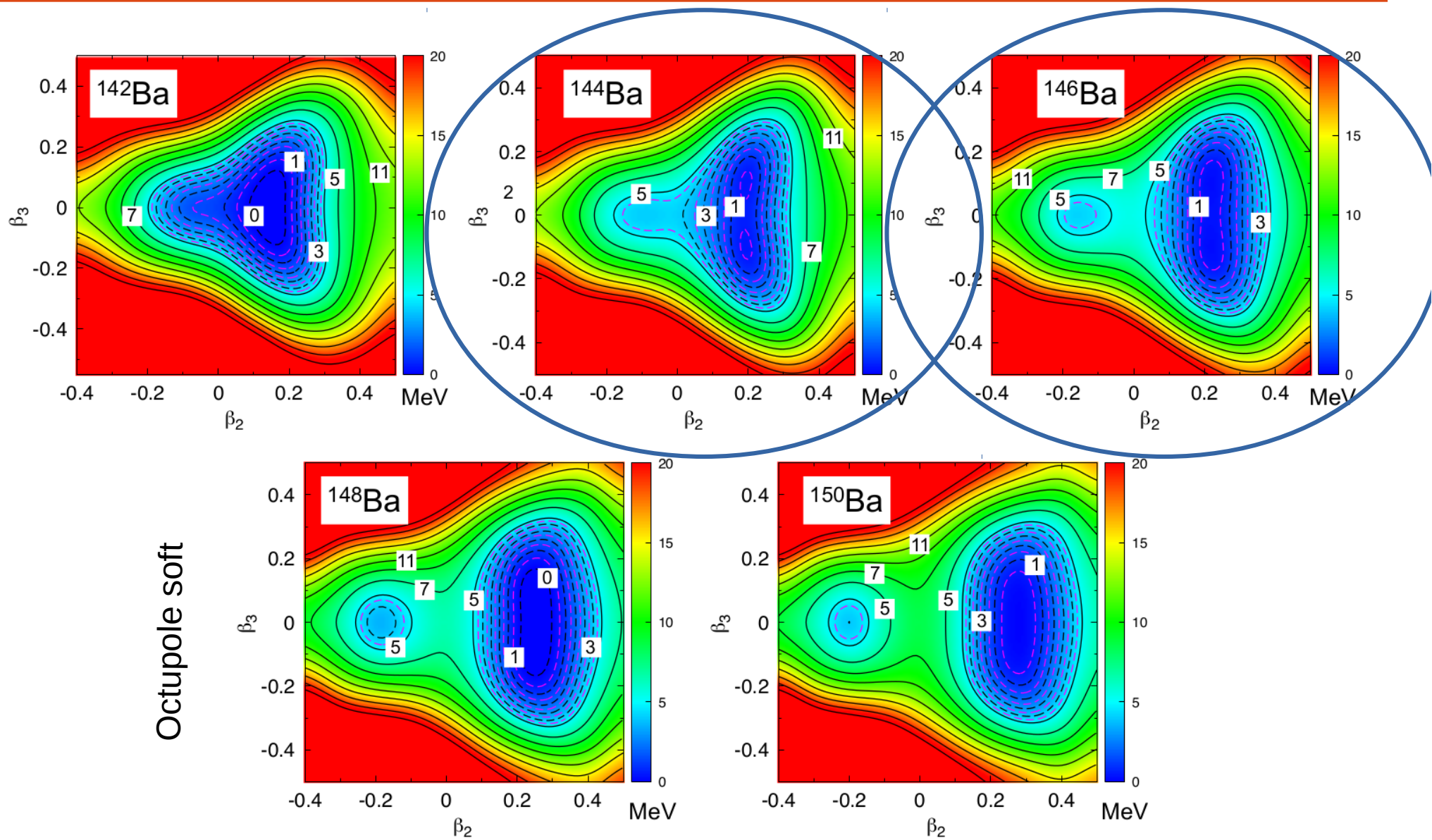


Additional collective states observed

- Oblate isomer
- Two octupole phonon multiplet



Other Ba isotopes

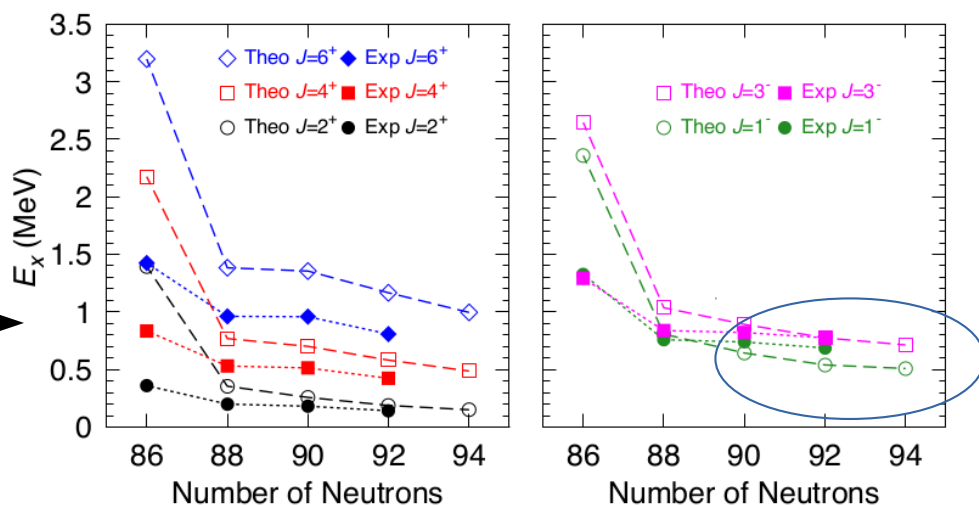


Gogny D1S
HFB potential energy surfaces

Other Ba isotopes

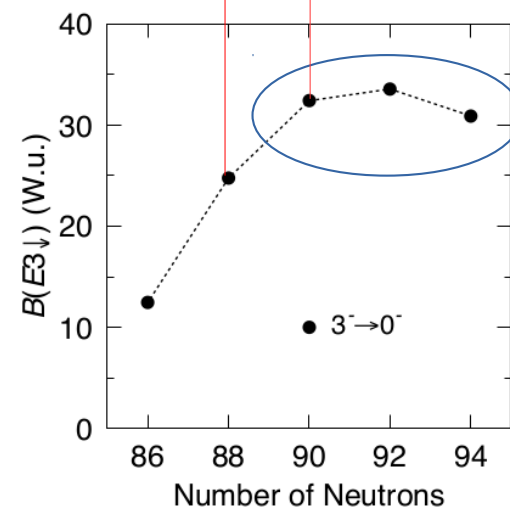
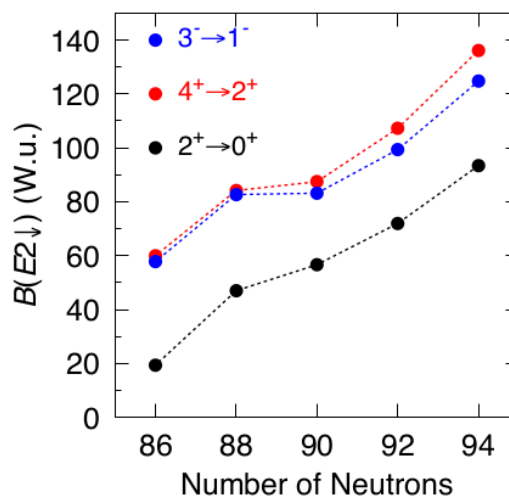
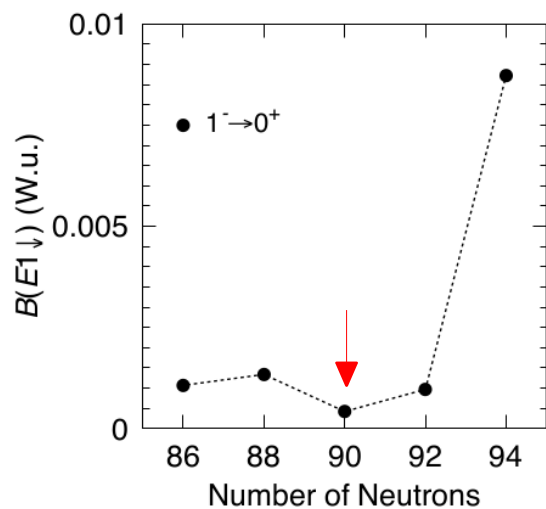
Gogny D1S
GCM results

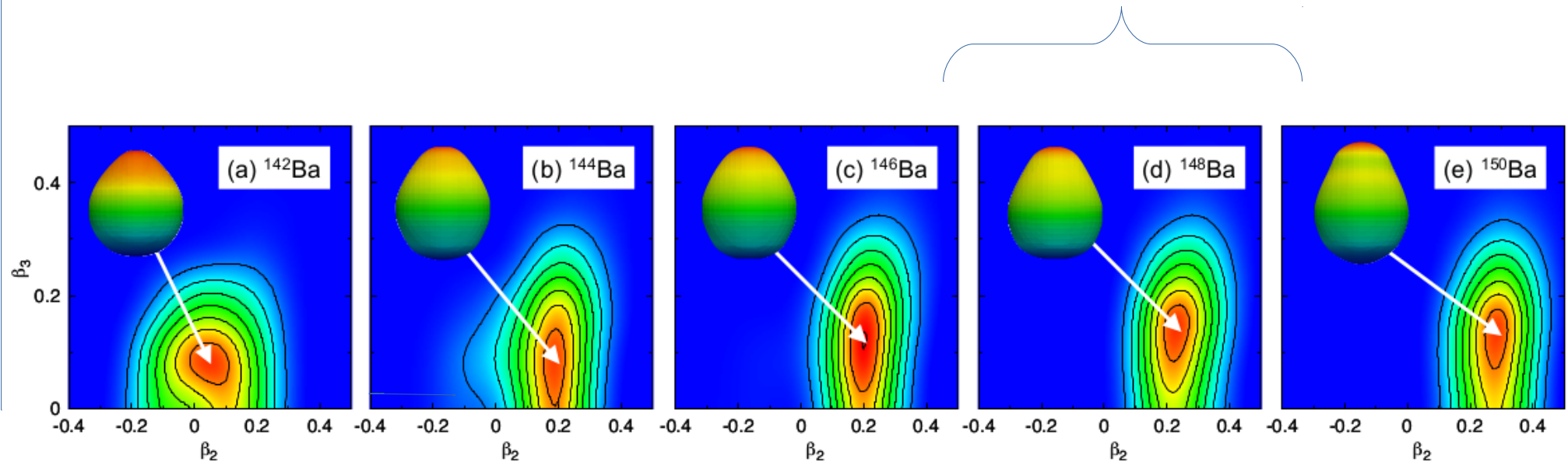
Too small moments
of inertia



Enhanced
octupolarity

Bucher et al (~48 Wu)





Gogny D1S
ground state collective wave functions

- Larger quadrupole-octupole mixing in $^{142-144}\text{Ba}$
- Coll w.f. peaked at Q_{30} different from zero ! Not so well correlated with E_{HFB} topology: consequence of dynamical quantum correlations



Responsible for enhanced $B(E3)$ in $N=90, 92, 94$

- Our computational framework **reproduces quite nicely** many of the experimental features of octupole deformed nuclei in the Ba region
- Its microscopic foundation avoids uncontrolled assumptions of phenomenological models (vibrational or octupole deformed) as well as approximations (like the rotational formula for transition strengths)
- Its use of “global” EDFs like Gogny allows its use in **other regions of the periodic table (work in progress!)**
- **Computationally demanding** but still within the reach of modest computational facilities
- It can be extended to consider the coupling with other relevant degrees of freedom like pairing or single particle excitations (**work in progress!**)

ToDo

- Release axial symmetry assumption
- Release time reversal invariance assumption (cranking)
- Extend to odd mass nuclei

This work is the result of a collaboration with

- Tomás Rodríguez (UAM)



- Remi Bernard
(former postdoc@UAM, now at CEA)





Second step beyond mean field: configuration mixing

Flat energy surfaces imply configuration mixing can lower the ground state energy

Generator Coordinate Method (GCM) ansatz

$$|\Psi_\sigma\rangle = \int dQ_{30} f_\sigma(Q_{30}) |\varphi(Q_{30})\rangle$$

The amplitude $f_\sigma(Q_{30})$ has good parity under the exchange $Q_{30} \rightarrow -Q_{30}$

Parity projection recovered with $f_\pm(Q_{30}) = \delta(Q_{30} - Q'_{30}) \pm \delta(Q_{30} + Q'_{30})$

Energies and amplitudes solution of the Hill-Wheeler equation

$$\int dQ'_{30} \mathcal{H}(Q_{30}, Q'_{30}) f_\sigma(Q'_{30}) = E_\sigma \int dQ'_{30} \mathcal{N}(Q_{30}, Q'_{30}) f_\sigma(Q'_{30})$$

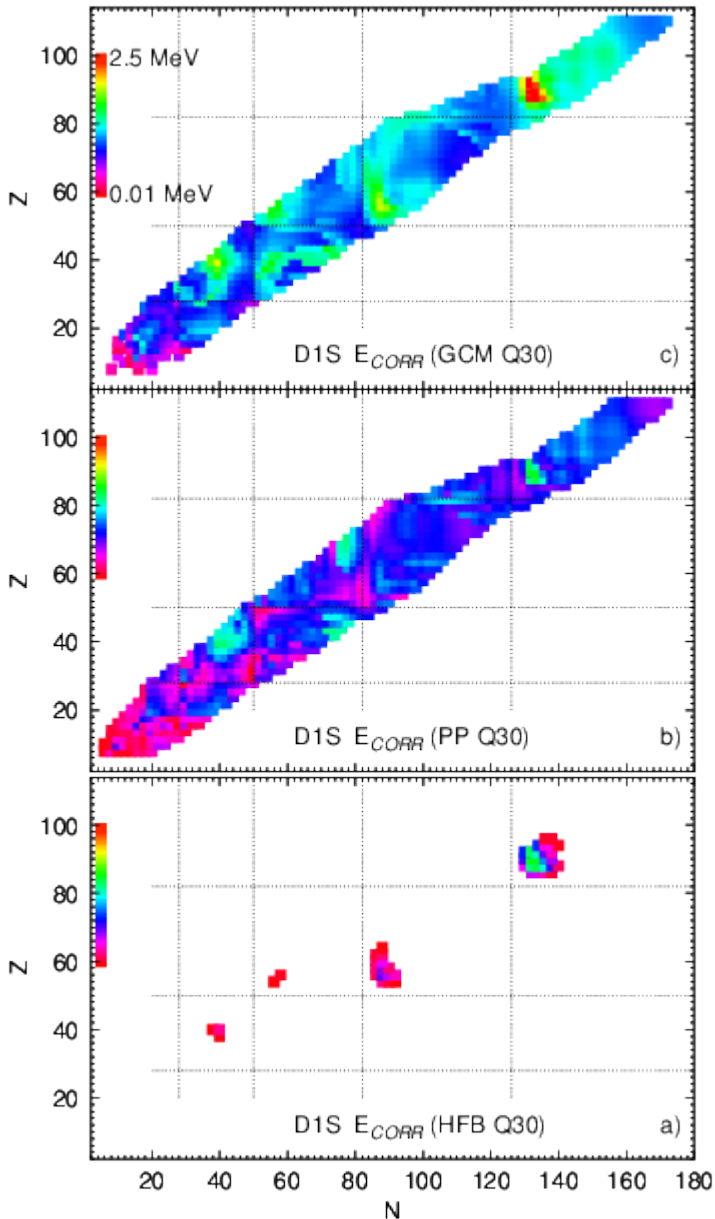
Collective wave functions

$$g_\sigma(\beta_3) = \int d\beta'_3 \mathcal{N}^{1/2}(\beta_3, \beta'_3) f_\sigma(\beta'_3)$$

Transition strengths with the rotational approximation

$$B(E3, 3^- \rightarrow 0^+) = \frac{e^2}{4\pi} \langle \Psi_{\sigma_2} | \hat{Q}_3 \frac{1+t_z}{2} | \Psi_{\sigma_1} \rangle^2$$

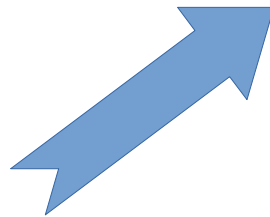
Beyond mean field: Correlation energies



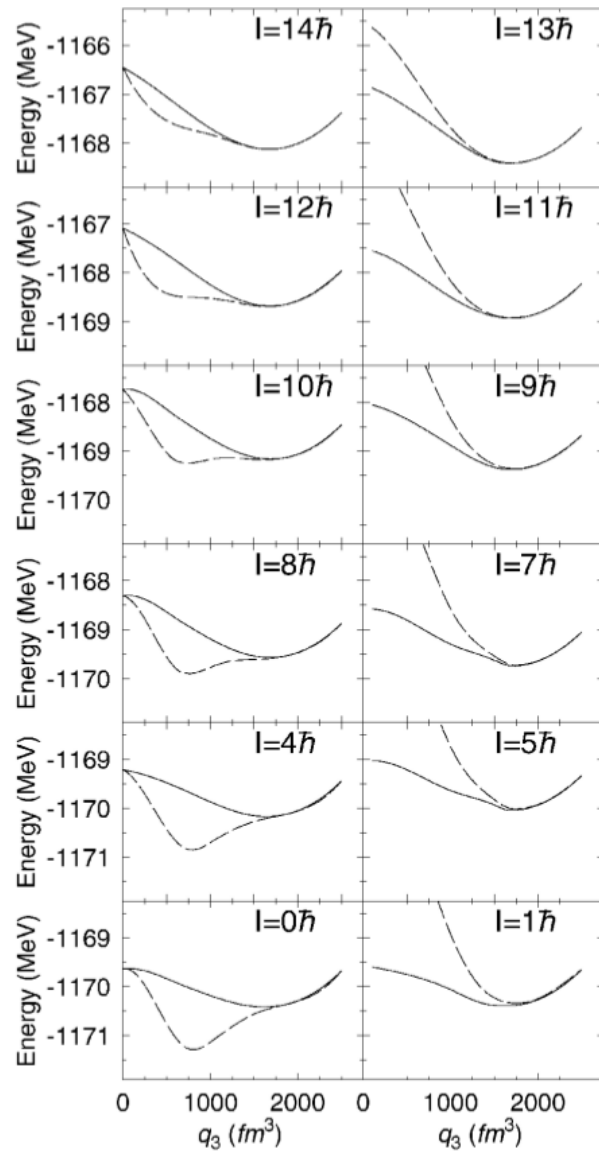
GS correlation energies ϵ_{GS}

- **HFB**: Present in just a few nuclei and around 1 MeV
- **Parity projection**: Present in all nuclei (except octupole deformed) ≈ 0.8 MeV
- **GCM**: Present in all nuclei ≈ 1.0 MeV

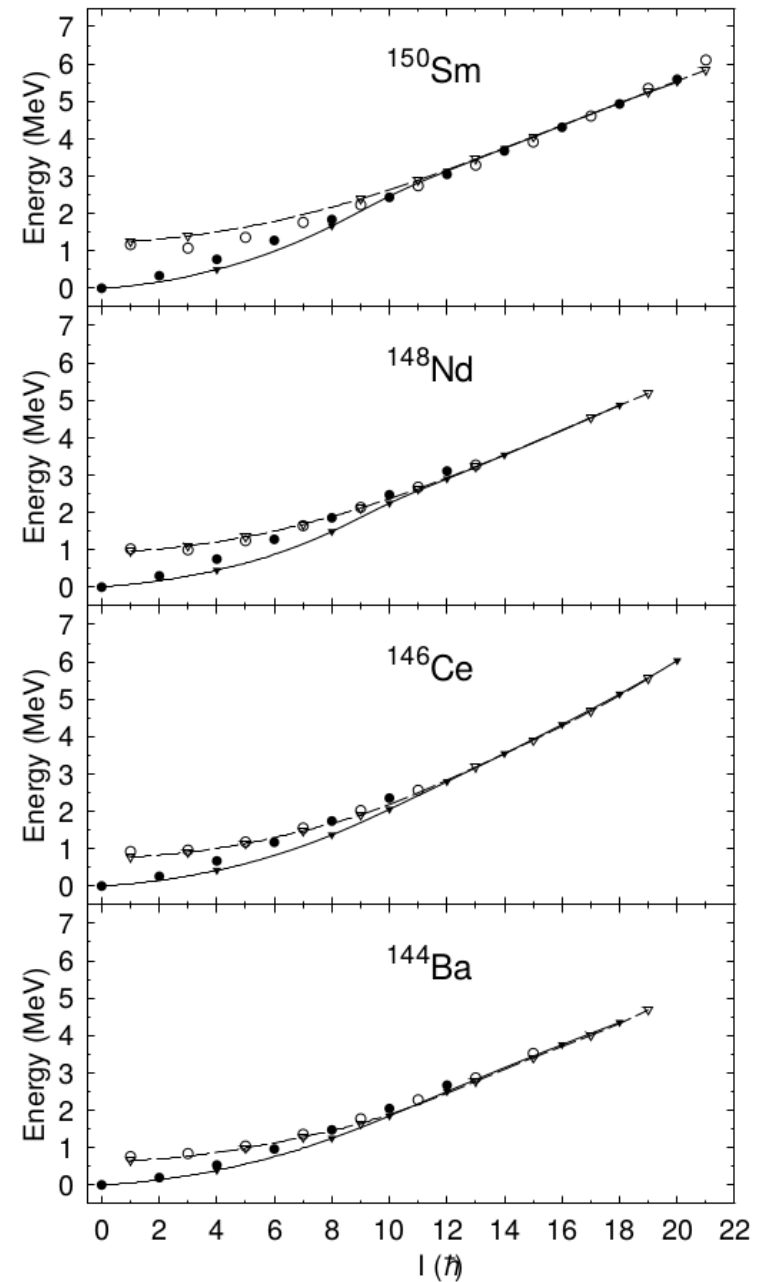
Almost all even-even nuclei have dynamic octupole correlation and their intrinsic ground state is octupole deformed



Octupoles at high spin

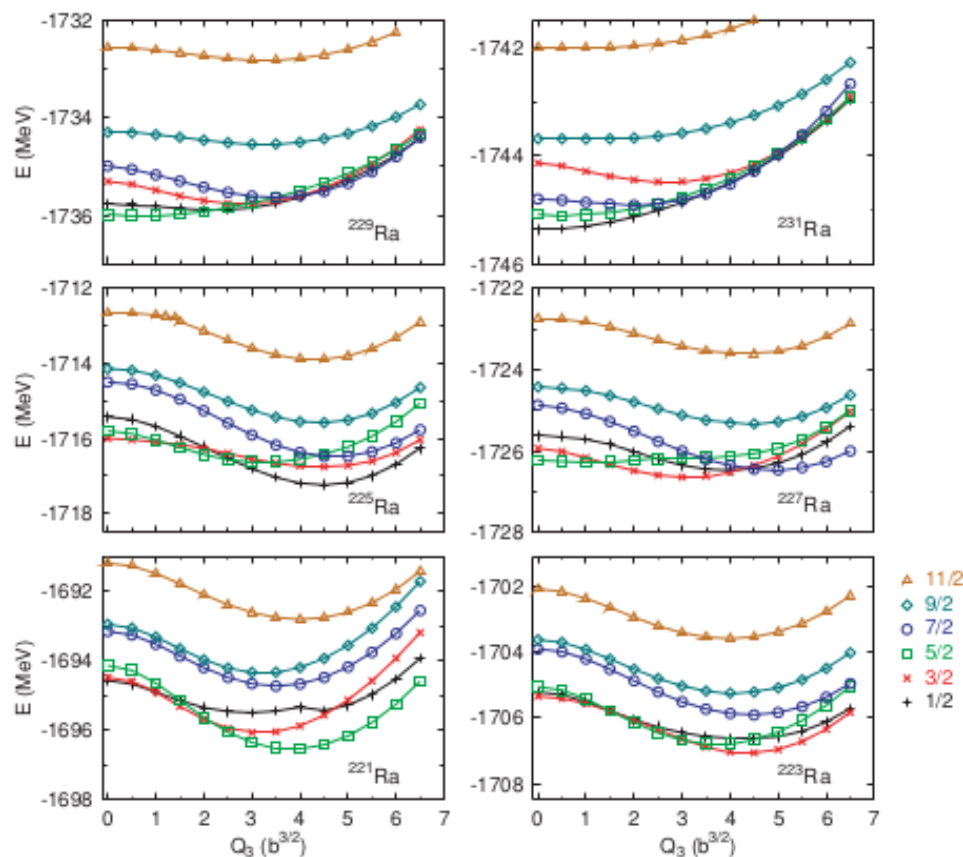


E. Garrote et al PRL 75, 2466



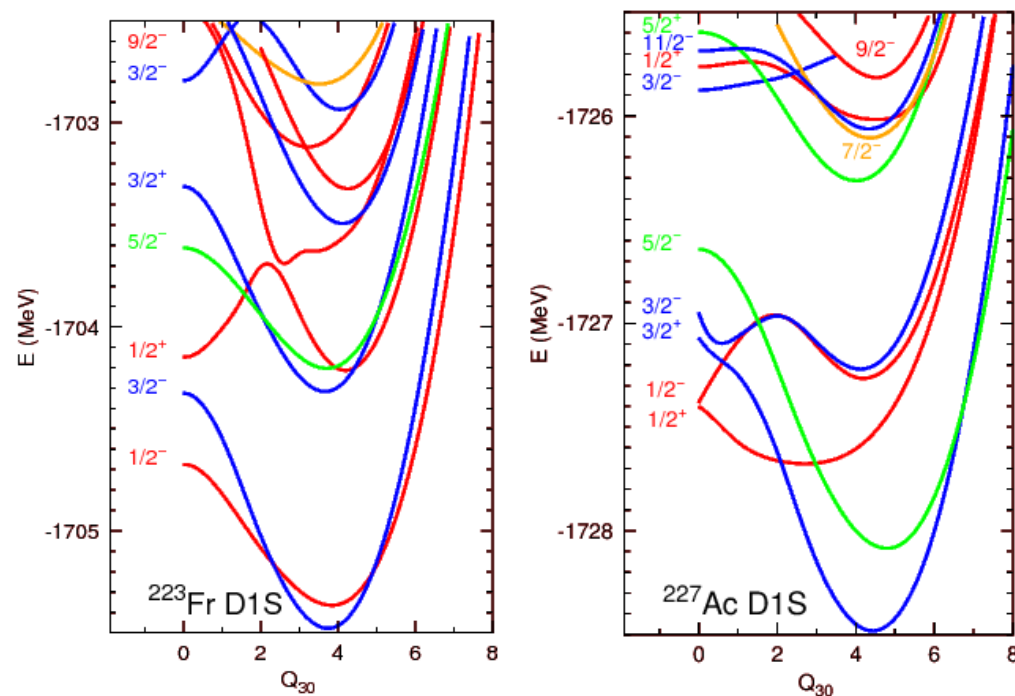
Odd-A and octupole deformation

Unpaired nucleon expected to polarize the even-even core



- Gogny D1S
- Uniform filling approximation
- Octupolarity changes level ordering

S. Perez, LMR PRC 78, 014304



- Full blocking (time odd fields)
- Parity projection
- Octupole GCM

Work in progress