

# **Large-Scale First Principles Calculations of Future Nano-Devices**

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**and**

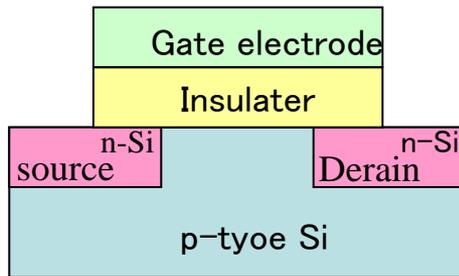
**University of Tsukuba**

# Contents

- Large Scale First Principles Calculations of Si Nanowire by Real Space Density Functional Theory (RSDFT)
- Realization of Mass Production of Modern Nano Devices Based on First Principles Calculations
  - MONOS memory (Memory for Automobile)
  - High-k LSI (Modern LSI (very common))
- Summary

# 1. Si Nanowire (The future technology)

## Conventional Planer MOSFET

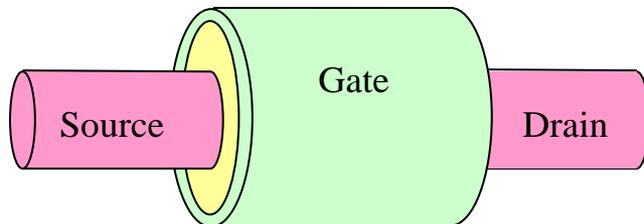


Development of electric circuit on LSI is governed by the downsizing of MOSFET (Gate length is less than 50nm)

However, downscaling will end during 2020 and 2030

**Downscaling → Serious increase in leakage current**

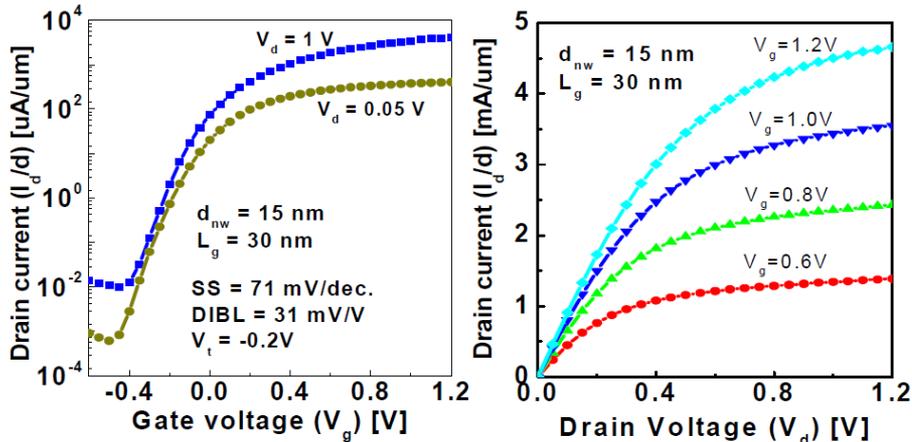
To suppress leakage current, Si nanowire FET is ideal structure.  
Due to the electromagnetic analysis



**Nano: Quantum Mechanics is inevitable**

First principles electronic structure calculations are necessary for designing Si nanowire FET

# Experiment of Si nanowire FET

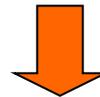


(Samsung, IEDM Technical Digest 2005)

Actually, suppressing leakage current is realized

**Next generation MOSFET**

However, quantum mechanical designing is necessary for development of Si nanowire FET



First principles device design is inevitable for Si nanowire FET

Large-scale first principles calculation is the key method!

# To realize large scale first principles program code

Collaboration with Computer Science Group  
Is necessary!!

Density functional theory with real space calculation suited for super parallel machine (to avoid FFT which need all to all communication)

# New Algorithm for super parallel machine (PACS-CS)

Massive Pararel algorithm of Gram-Schmit Orthogonalization  
 (with High Performance Computation Group)  
 J-I. Iwata et al. J. Comp. Phys. 2010.

	Time (sec)	GFLOPS/node
Old algorithm	661	0.70
<b>New algorithm</b>	<b>111</b>	<b>4.30</b>

Peak of PACS-CS = 5.6 GFLOPS/node

## 80%~90% of PACS-CS Peak

### New Algorithm

$$\psi'_1 = \psi_1$$

$$\psi'_2 = \psi_2 - \psi'_1 \langle \psi'_1 | \psi_2 \rangle$$

$$\psi'_3 = \psi_3 - \psi'_1 \langle \psi'_1 | \psi_3 \rangle - \psi'_2 \langle \psi'_2 | \psi_3 \rangle$$

$$\psi'_4 = \psi_4 - \psi'_1 \langle \psi'_1 | \psi_4 \rangle - \psi'_2 \langle \psi'_2 | \psi_4 \rangle - \psi'_3 \langle \psi'_3 | \psi_4 \rangle$$

$$\psi'_5 = \psi_5 - \psi'_1 \langle \psi'_1 | \psi_5 \rangle - \psi'_2 \langle \psi'_2 | \psi_5 \rangle - \psi'_3 \langle \psi'_3 | \psi_5 \rangle - \psi'_4 \langle \psi'_4 | \psi_5 \rangle$$

$$\psi'_6 = \psi_6 - \psi'_1 \langle \psi'_1 | \psi_6 \rangle - \psi'_2 \langle \psi'_2 | \psi_6 \rangle - \psi'_3 \langle \psi'_3 | \psi_6 \rangle - \psi'_4 \langle \psi'_4 | \psi_6 \rangle - \psi'_5 \langle \psi'_5 | \psi_6 \rangle$$

**Matrix Products**

**Blass 3 is available**

**T. Yokozawa, D. Takahashi, T. Boku  
 and M. Sato, (PMAA'06), (2006)**

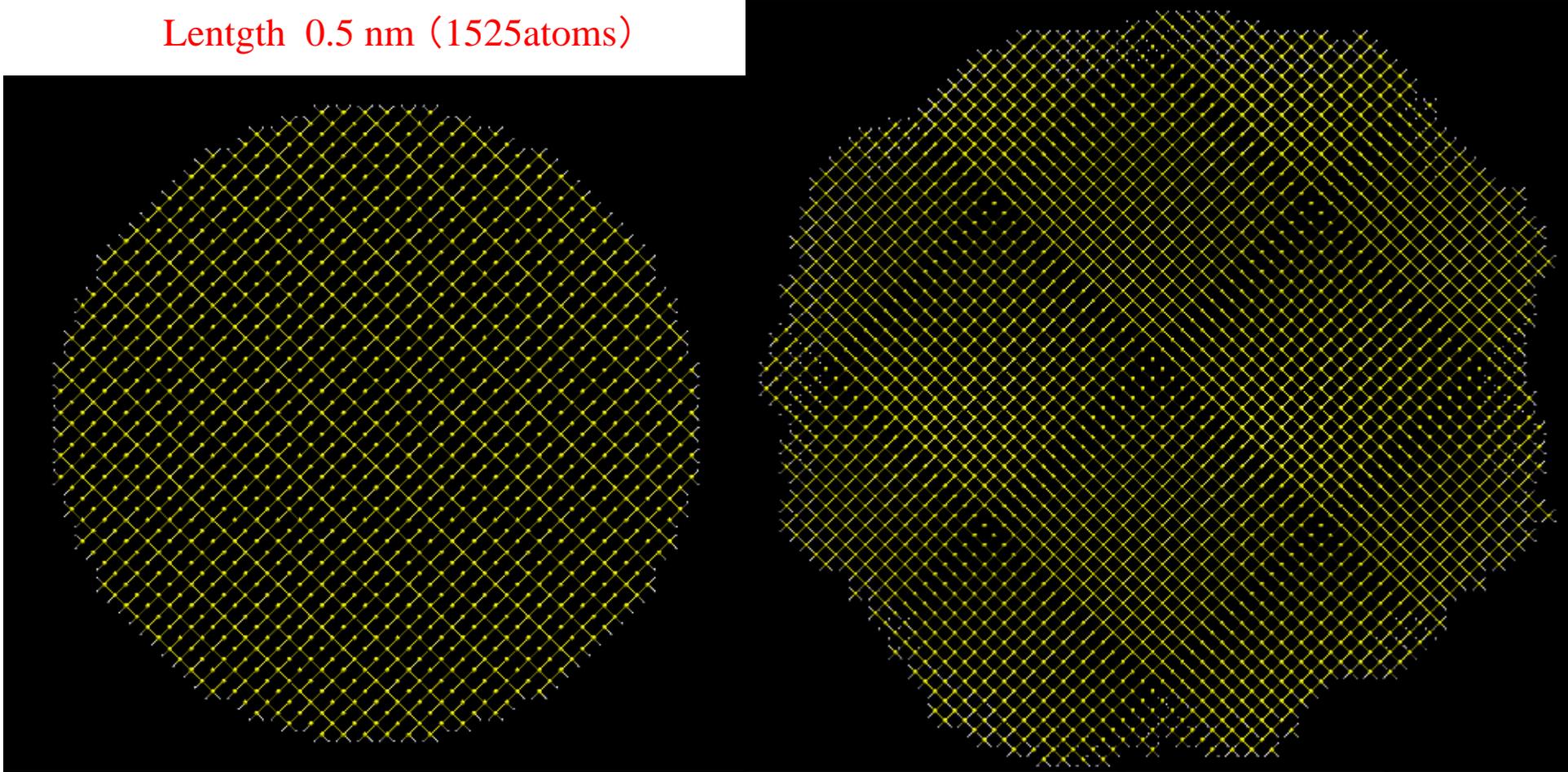
# Electronic Structures of Si Nanowires

J-I. Iwata et al. J. Comp. Phys. (2010)

Diameter 8nm

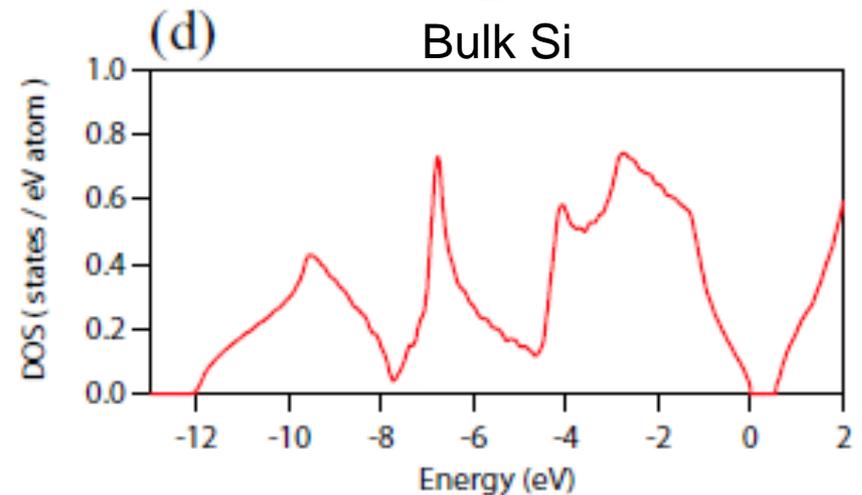
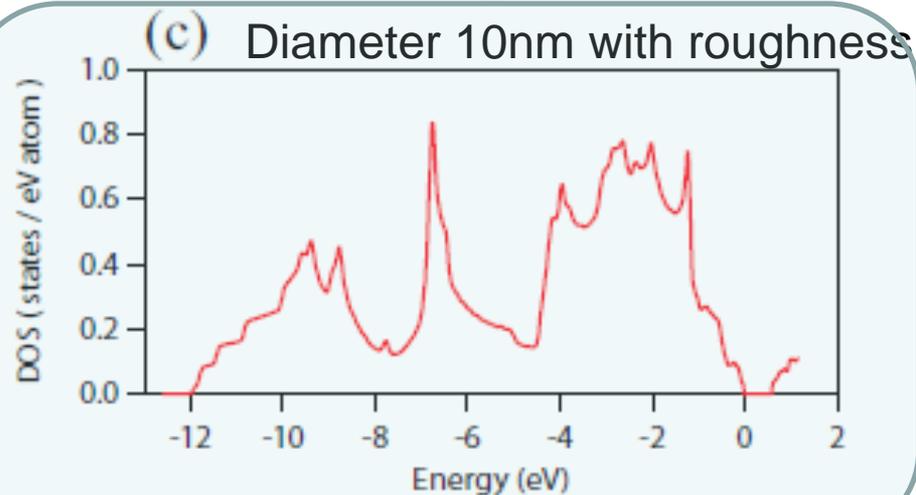
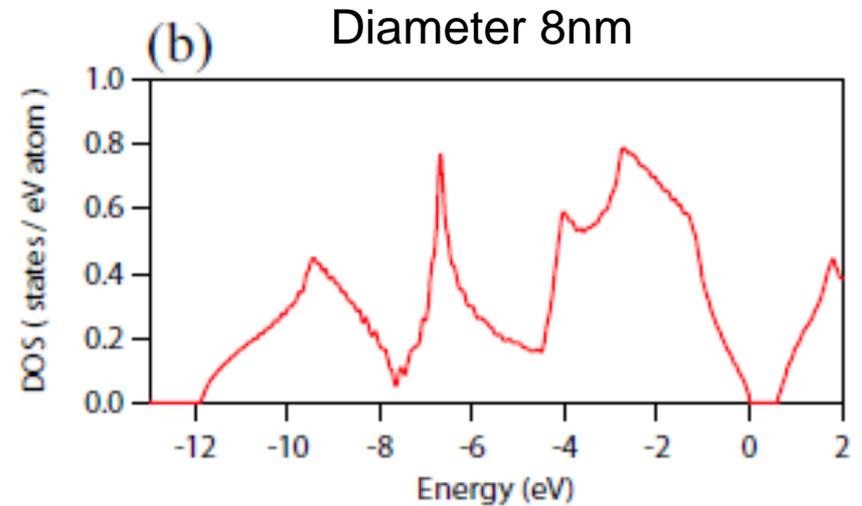
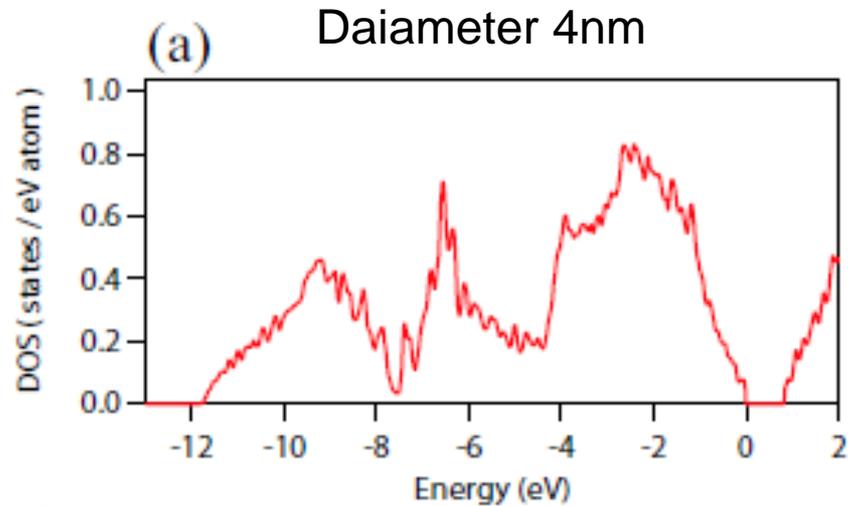
Length 0.5 nm (1525 atoms)

Average diameter 10nm + randomness  
length 3 nm (14,366 atoms)



In 2011, 100,000 atoms electronic structure calculation is performed by K computer → Gordon Bell prize (2011)

# Density of States obtained by large scale RSDFT calculations



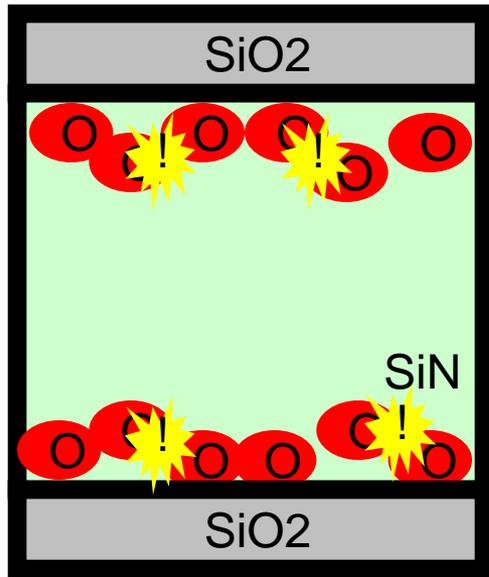
# Contents

- Large Scale First Principles Calculations by Real Space Density Functional Theory (RSDFT)
- Realization of Mass Production of Modern Nano Devices Based on First Principles Calculations
  - MONOS memory (Memory for Automobile)
  - High-k LSI (Modern LSI (very common))
- Interdisciplinary Collaboration toward New Astrobiology

# MONOS-type Memories

(K. Yamaguchi et al. IEDM 2009, IEDM 2010, JJAP 2011, etc)

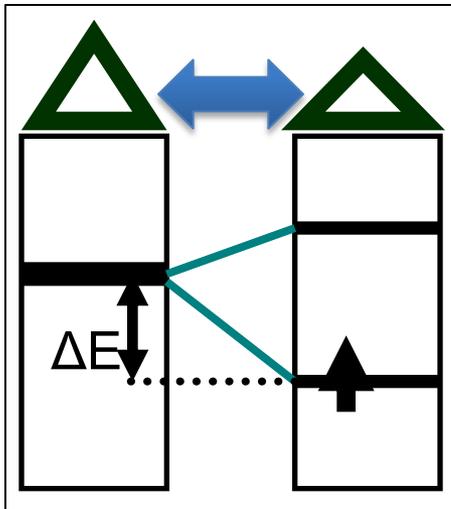
# Message



- We have clarified the detailed atomistic behavior of defects of MONOS memory by the *ab initio* calculation.

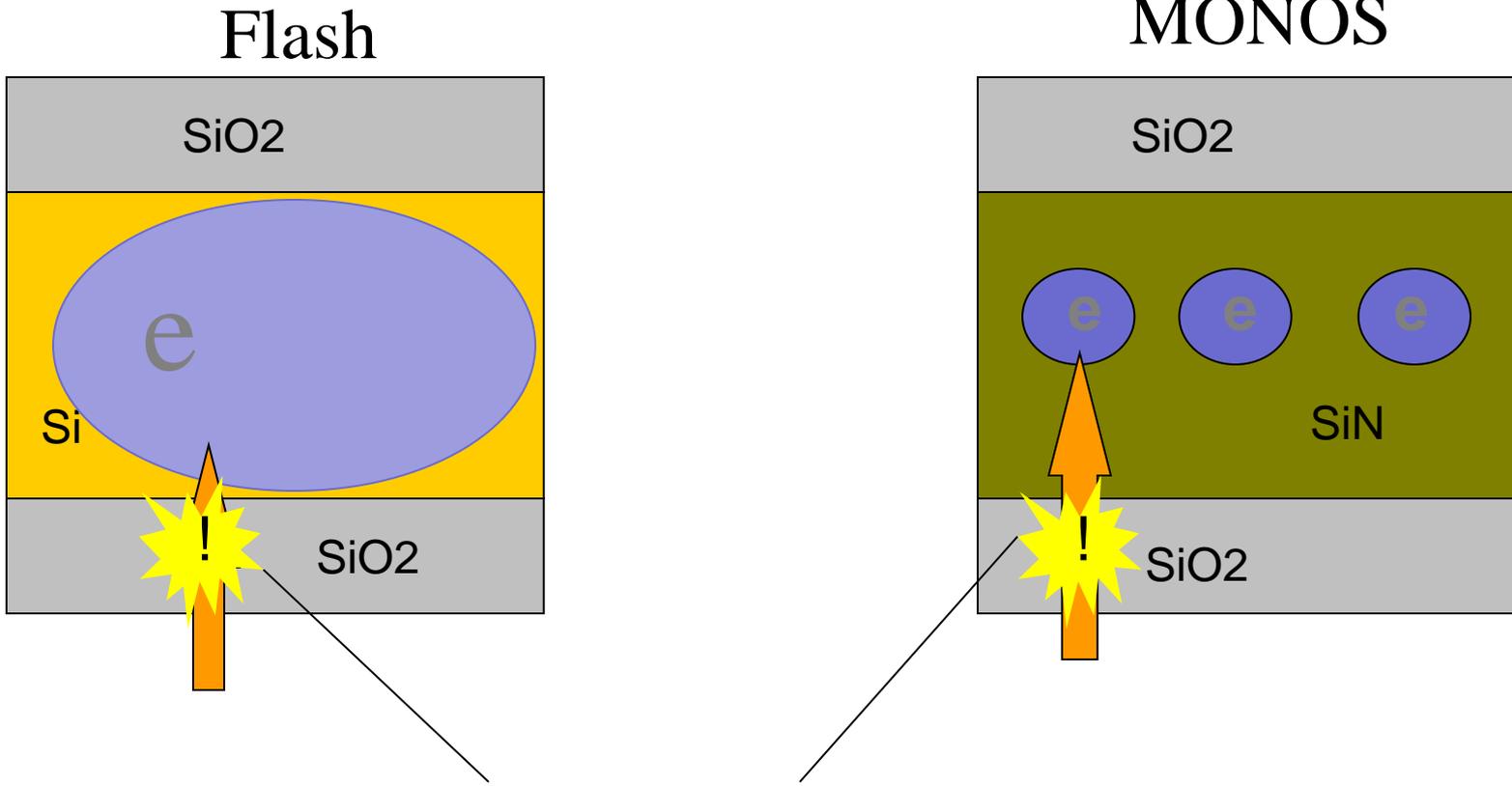
- The excess O atoms cause an irreversible structural change in the SiN layer.

→ The suppression of excess O atoms is effective to improve the MONOS characteristic.



- Defects with Jahn-Teller effect are the most suitable for charge-trap memories.

# Conventional Flash memory and MONOS memory

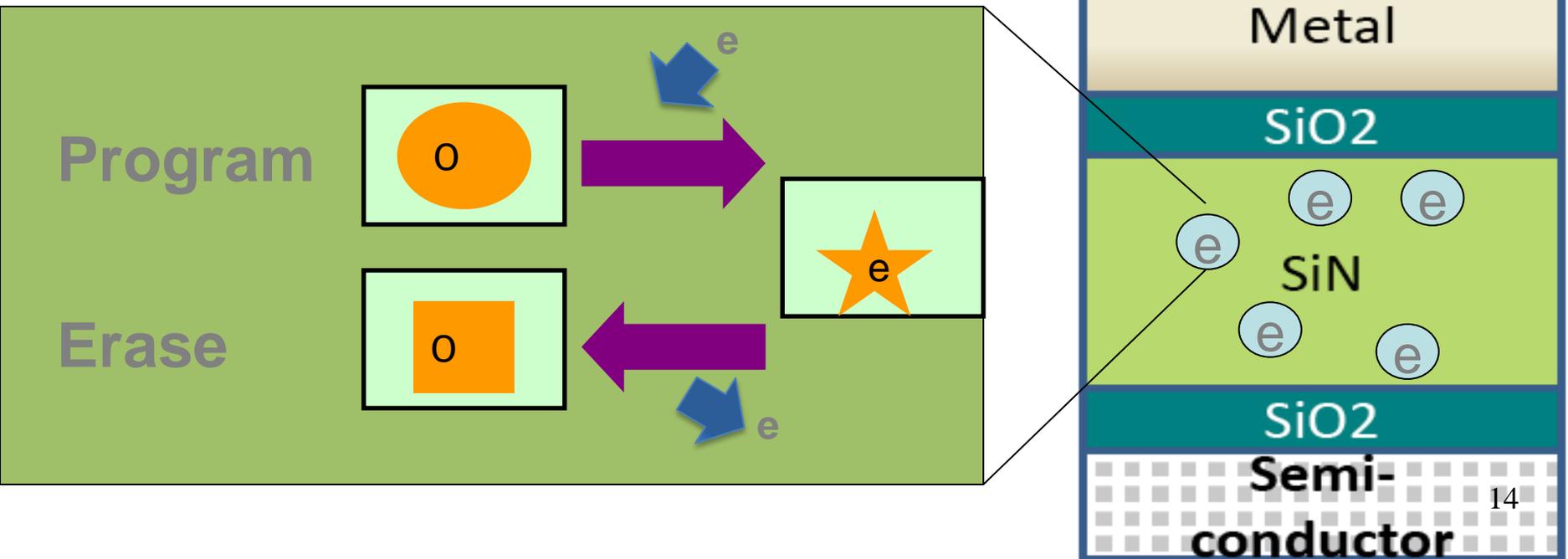


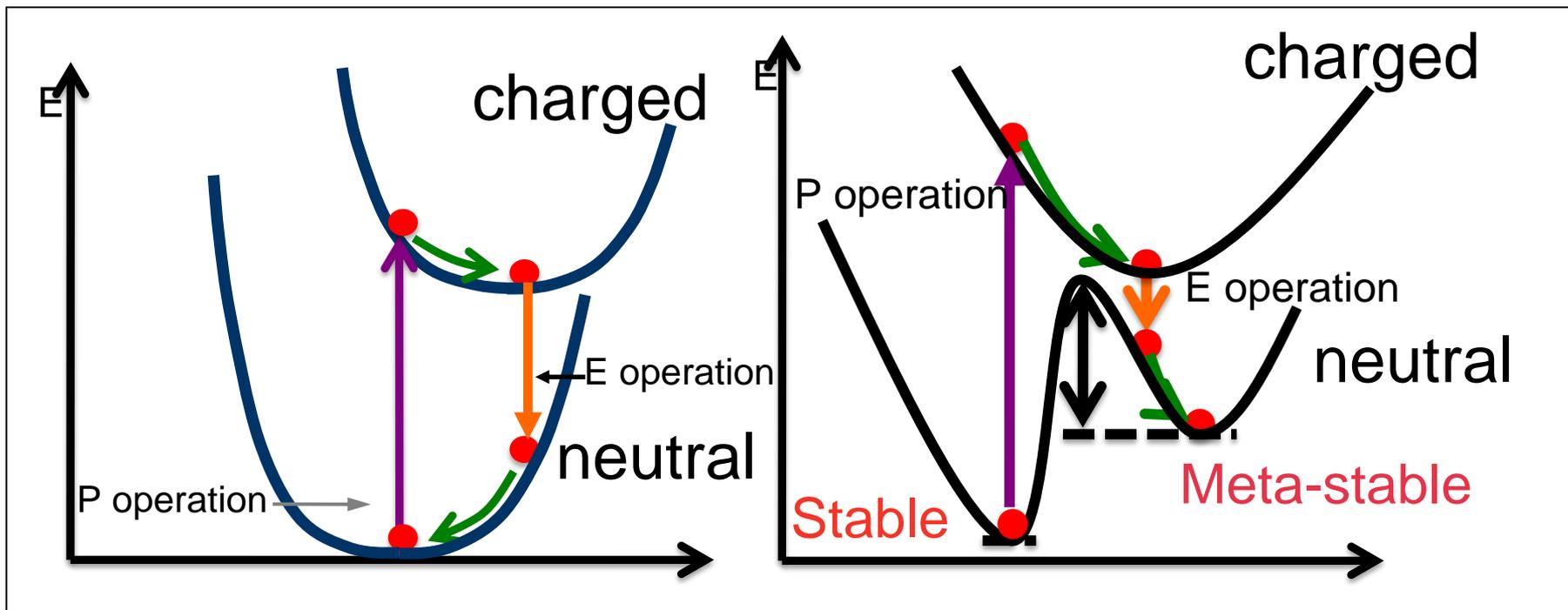
**Dielectric breakdown**

# Purpose of our studies

**We studied atomistic structural change of defects in SiN layers during Program/Erase cycles using first principles calculations.**

**We design defects which are suitable for charge trap memories.**



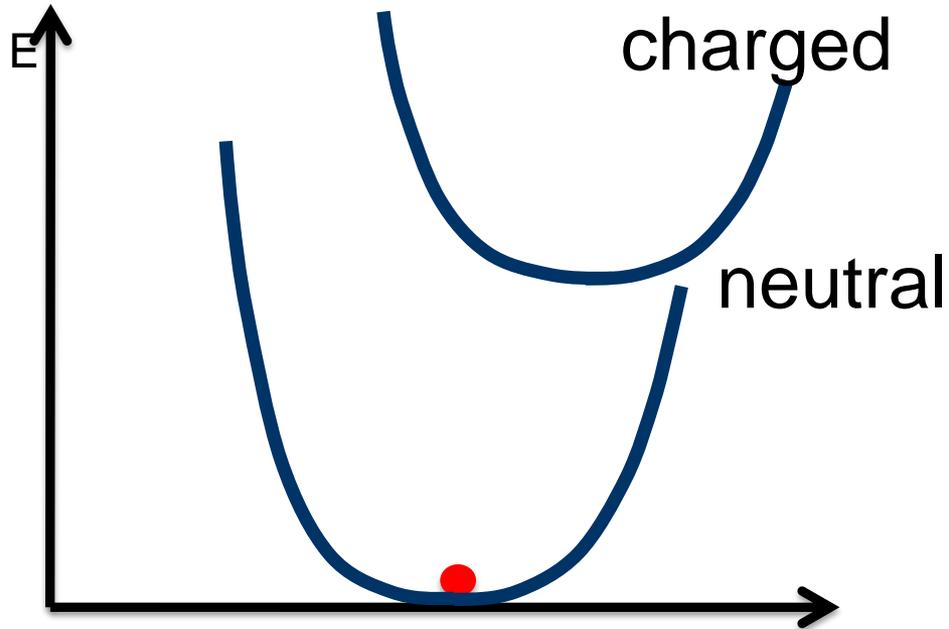


**Reversible  
(suitable)**

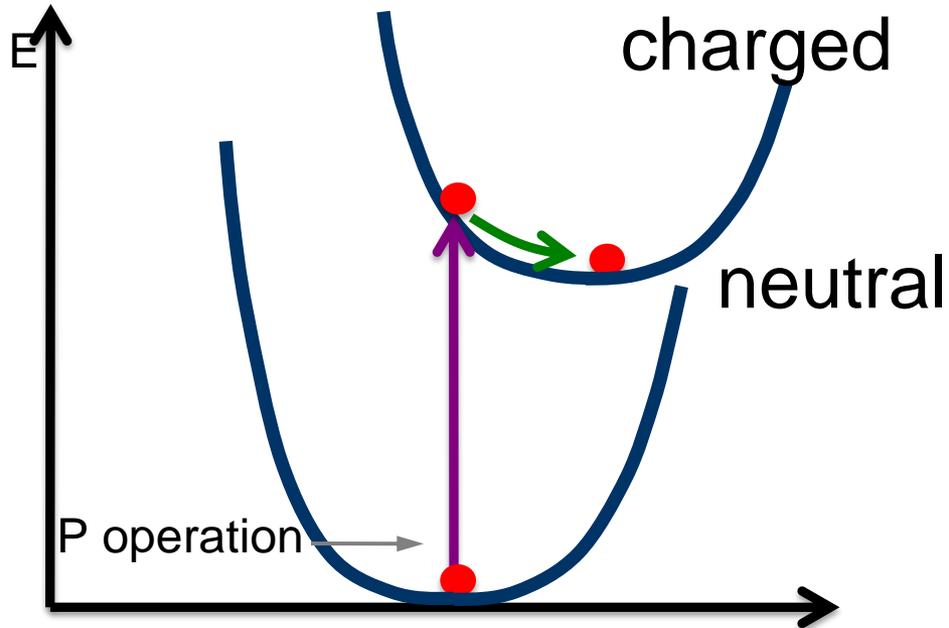
**Irreversible  
(not suitable)**

**There are two type structural  
change during P/E cycles.**

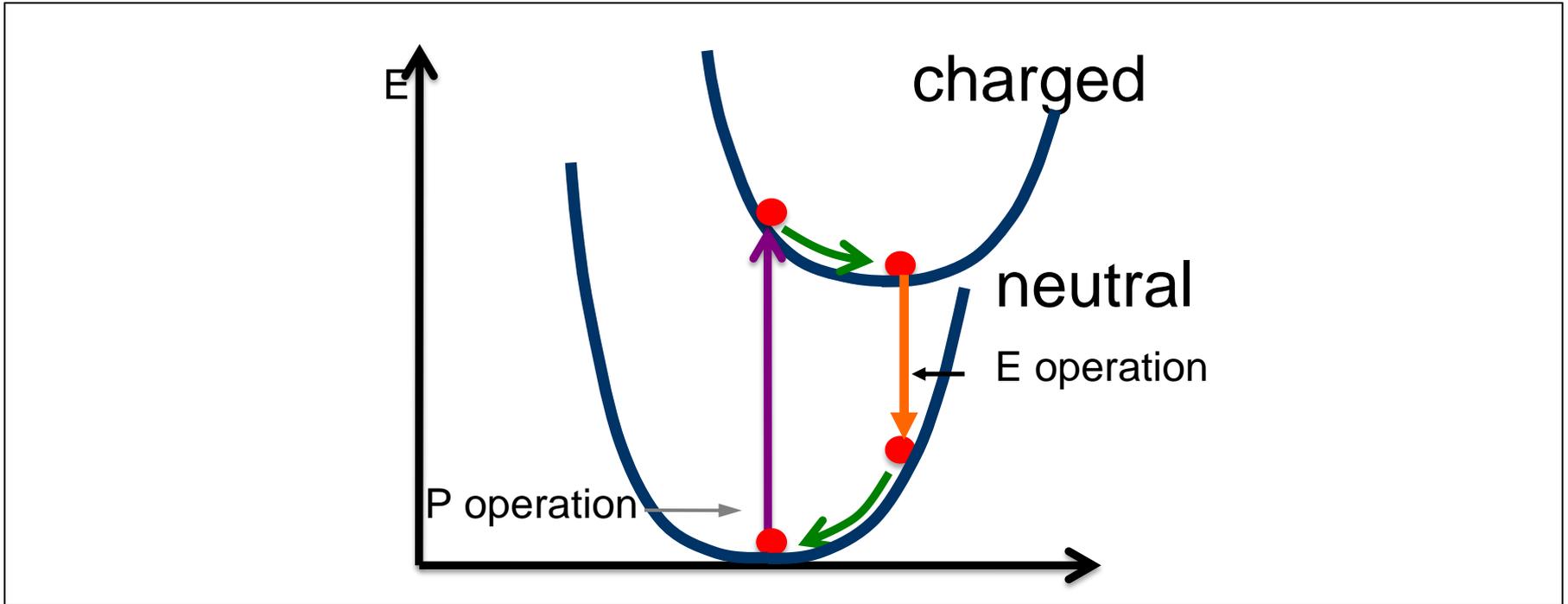
# Reversible structural change



# Reversible structural change

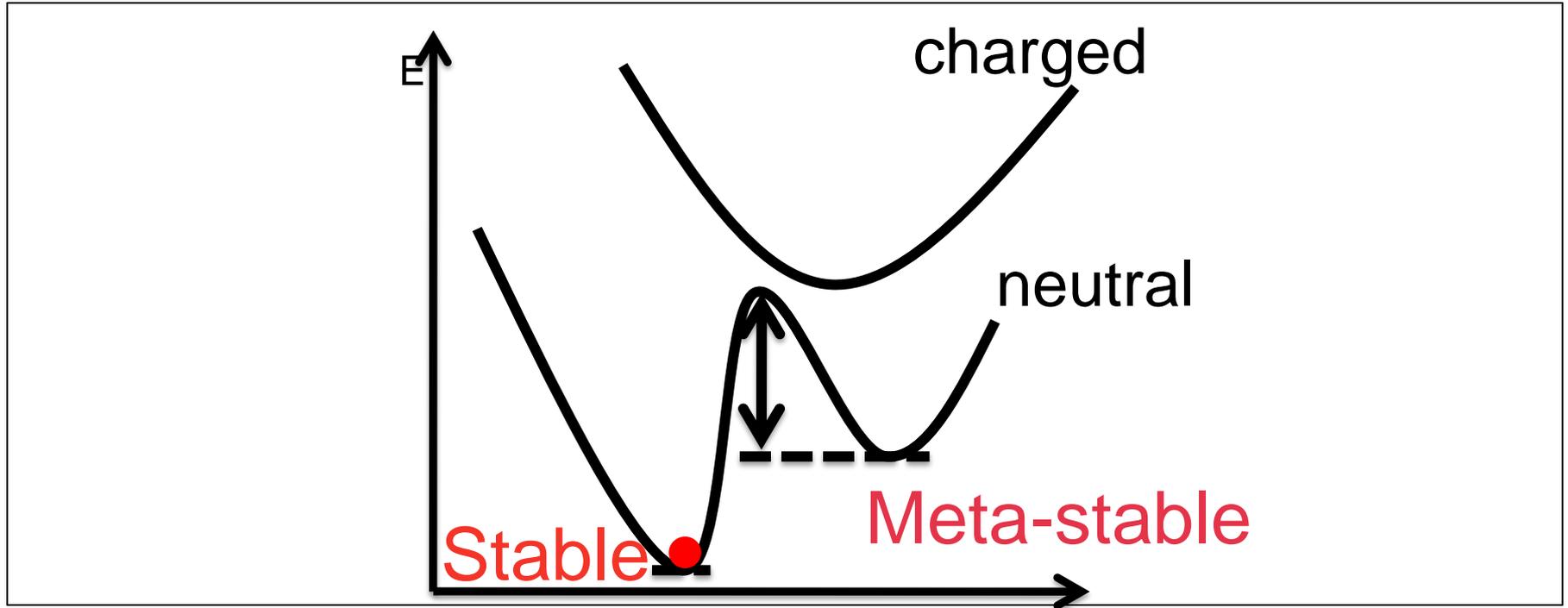


# Reversible structural change

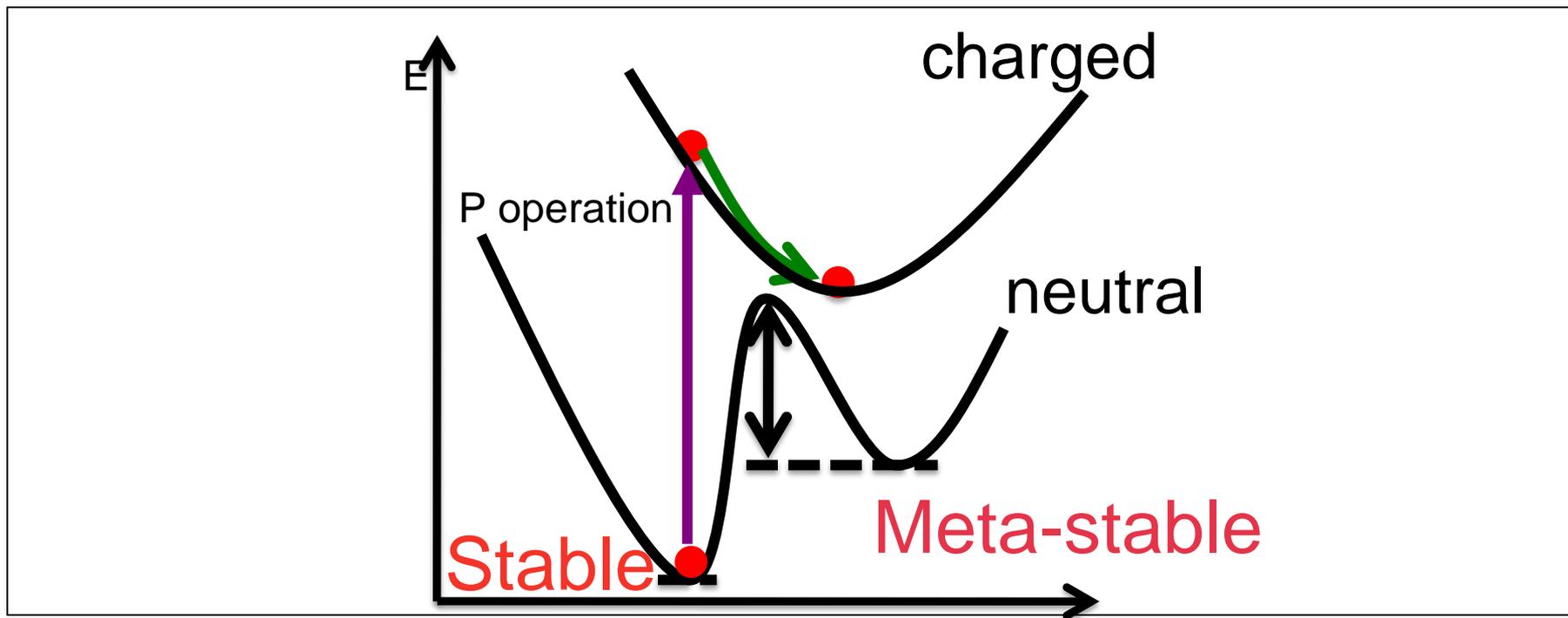


**This structural change is reversible during P/E cycles  
→ No degradation of memory**

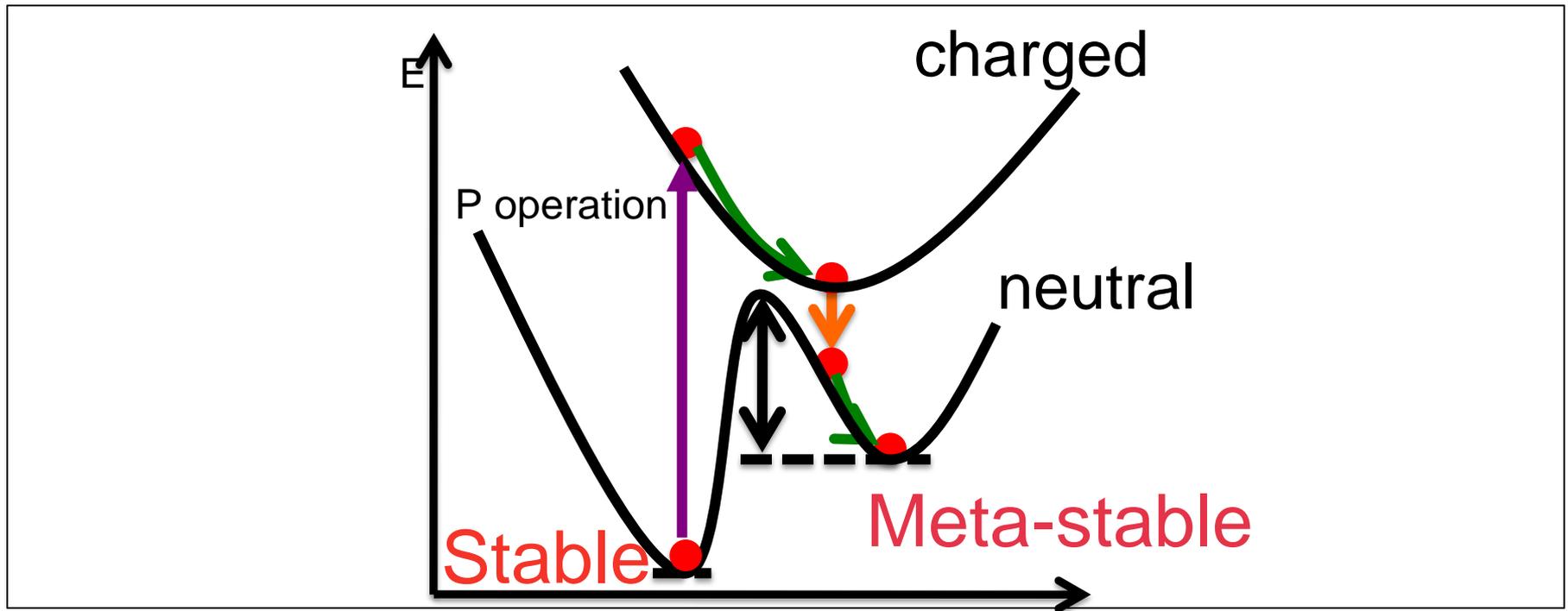
# Irreversible structural change



# Irreversible structural change

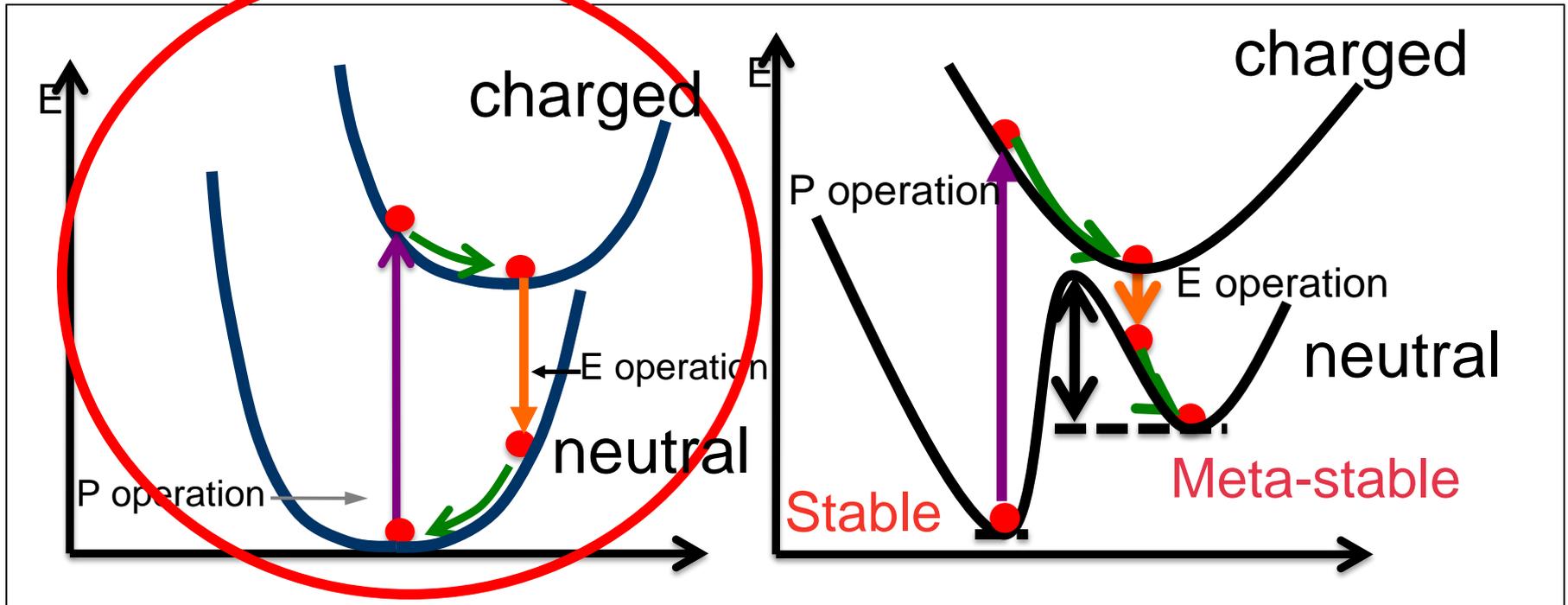


# Irreversible structural change



**This structural change is irreversible during P/E cycles.**  
**→ Memory degradation**

# Two-types of structural change



**Reversible  
(suitable)**

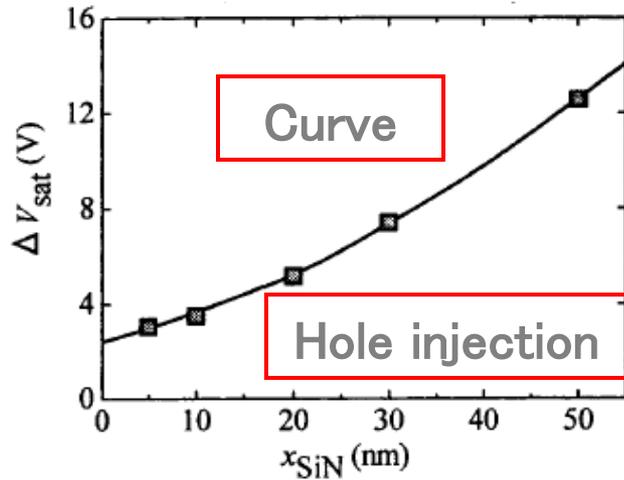
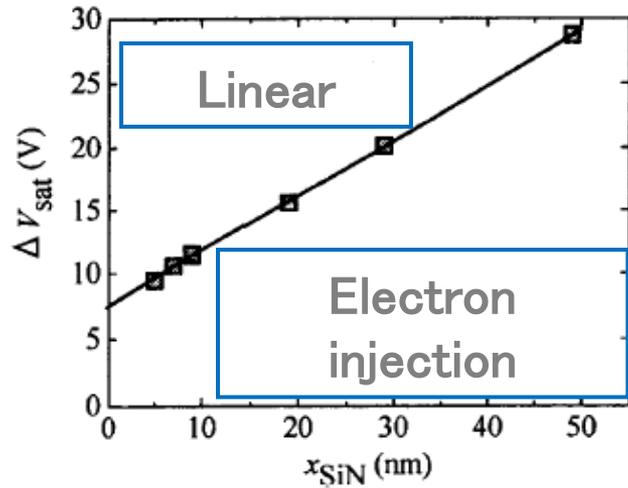
**Irreversible  
(not suitable)**

**We investigated how to design reversible type defects.**

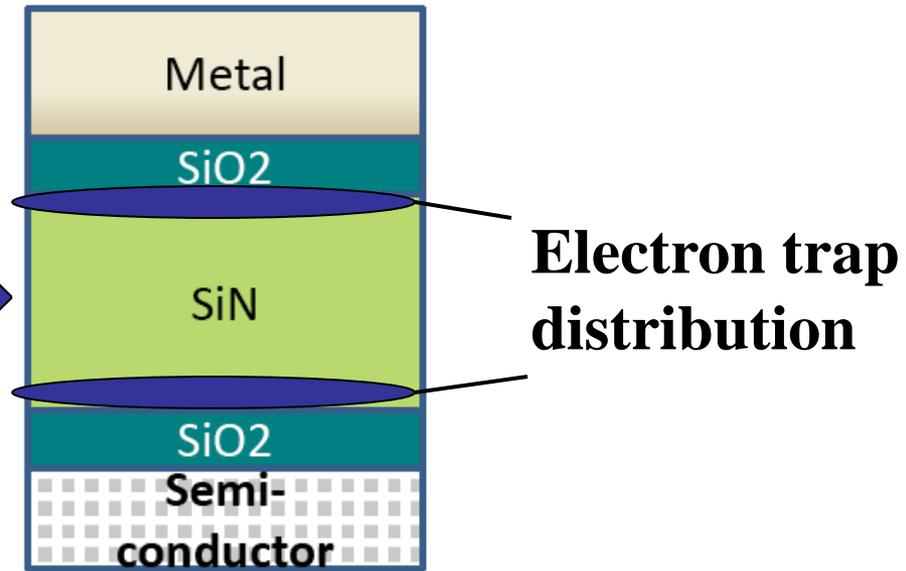
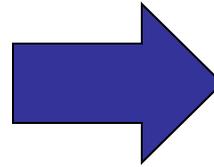
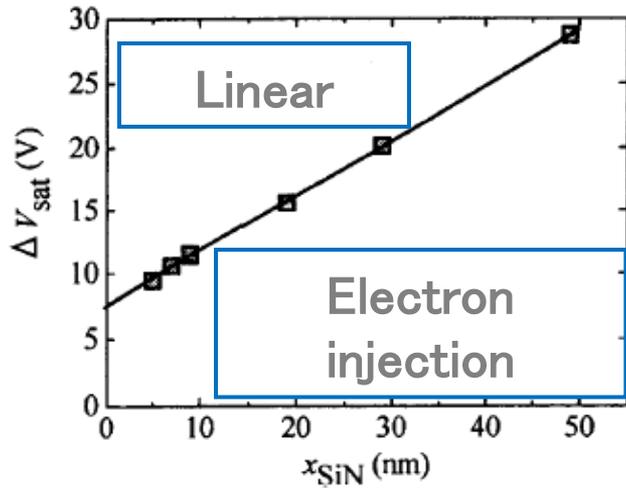
# Experimental knowledge



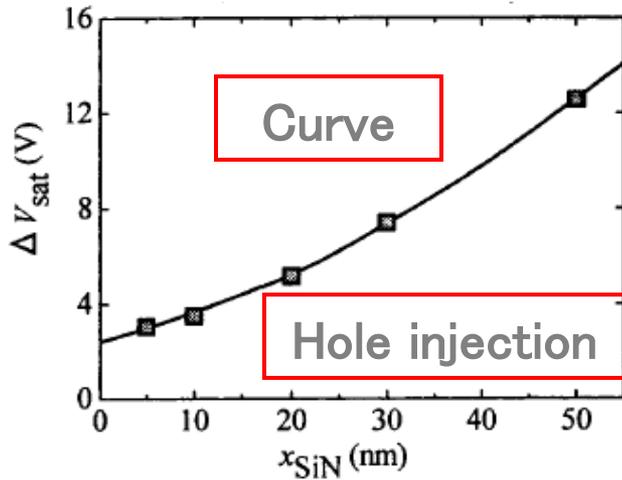
# Density of charge trap in SiN layer



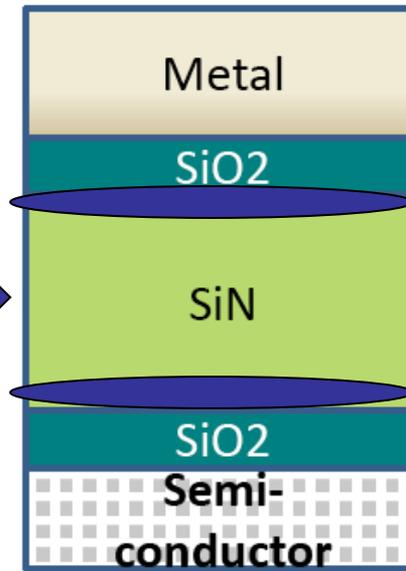
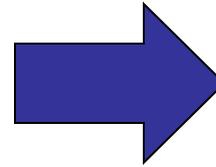
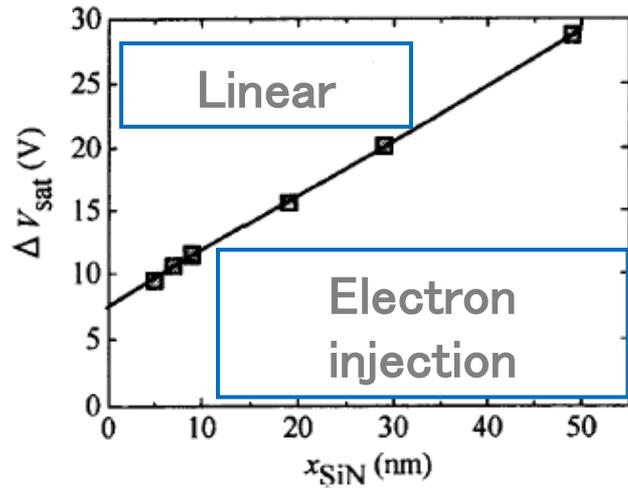
# Density of charge trap in SiN layer



Only SiN/SiO<sub>2</sub> interfaces

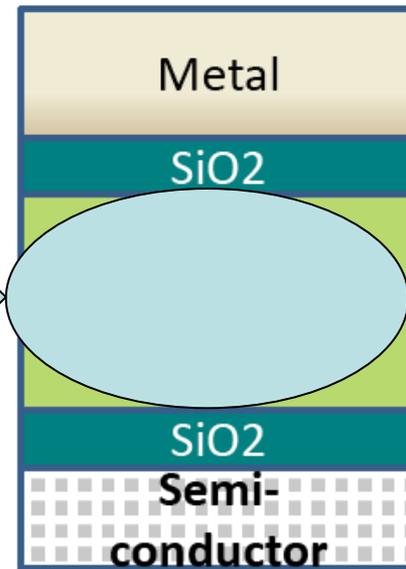
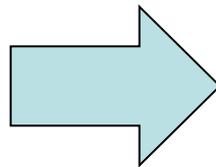
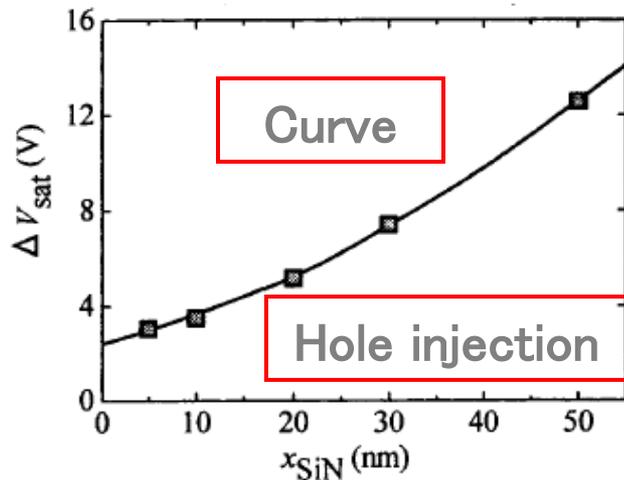


# Density of charge trap in SiN layer



**Electron trap distribution**

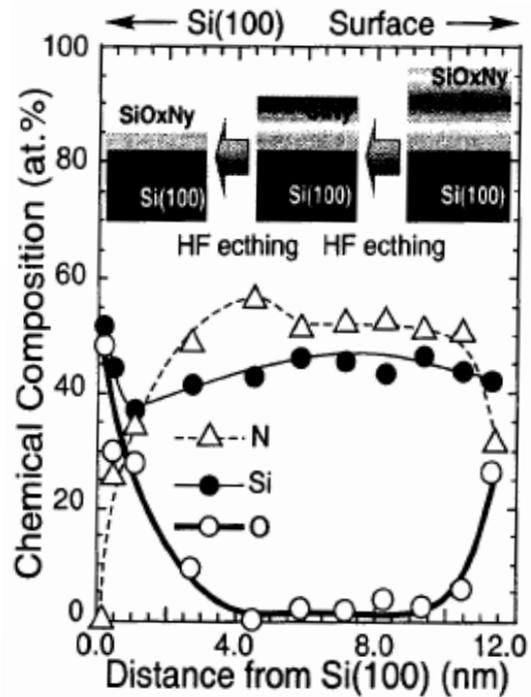
Only SiN/SiO<sub>2</sub> interfaces



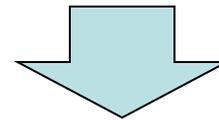
**Hole trap distribution**

Interface and central part

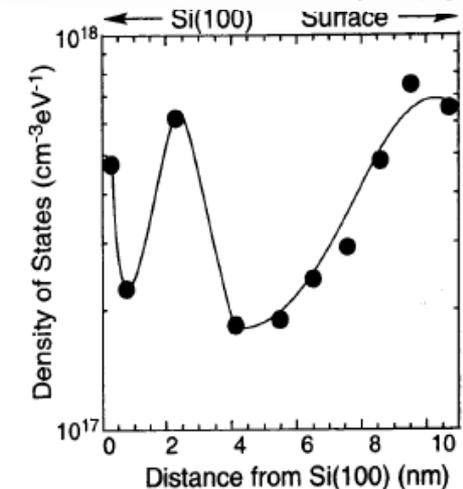
# Chemical composition and electronic occupation defect density for the depth direction of the SiN film



## Chemical composition

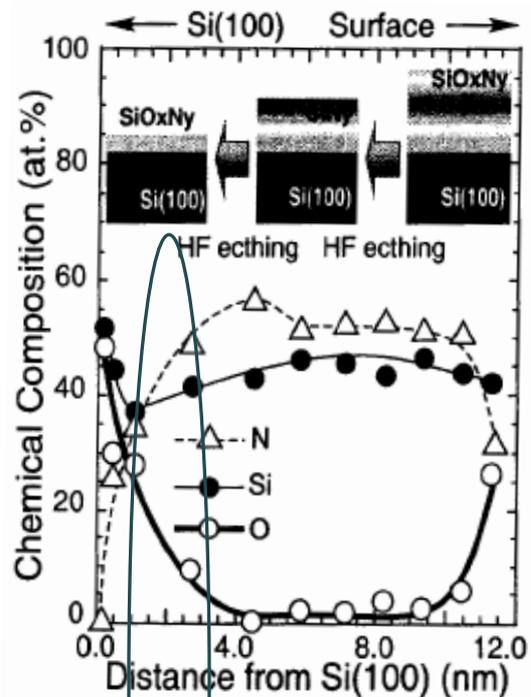


A lot of O atoms are in SiO<sub>2</sub>/SiN interfaces

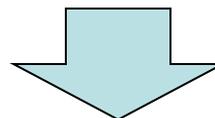


Electronic occupation defect density

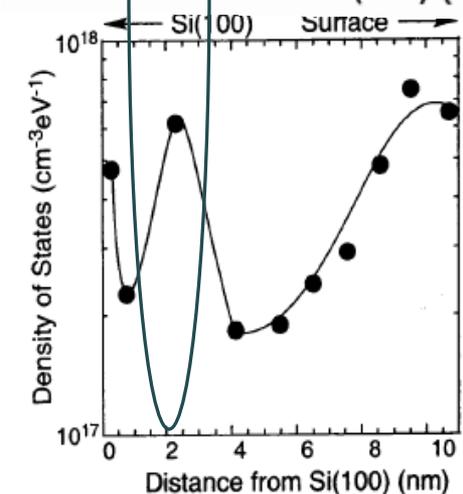
# Chemical composition and electronic occupation defect density for the depth direction of the SiN film



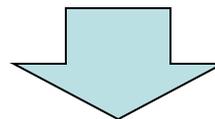
## Chemical composition



A lot of O atoms are in SiO<sub>2</sub>/SiN interfaces



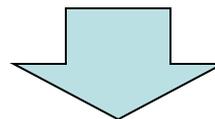
## Electronic occupation defect density



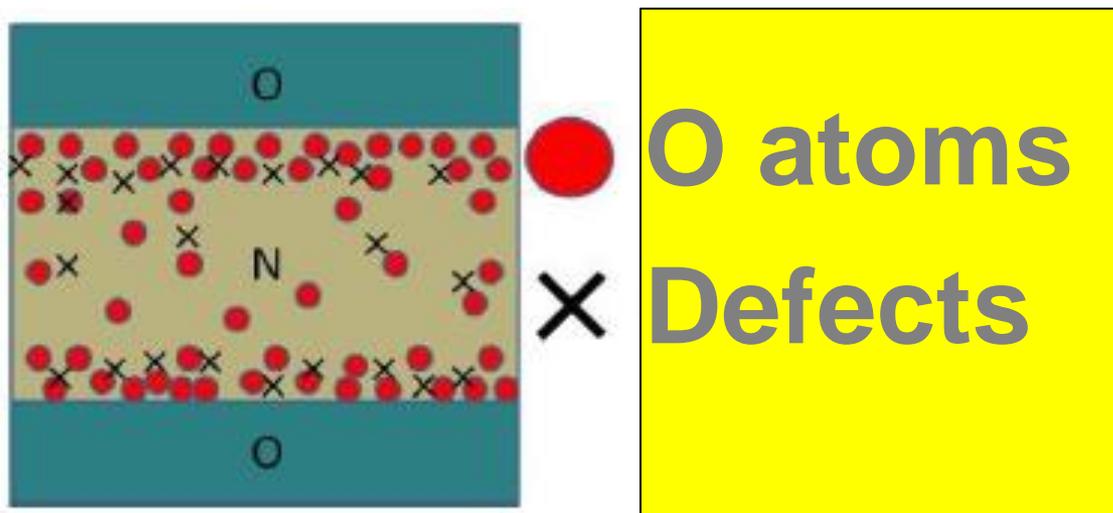
Occupation defects exist in same region with O atoms

# Chemical composition and electronic occupation defect density for the depth direction of the SiN film

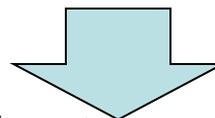
## Chemical composition



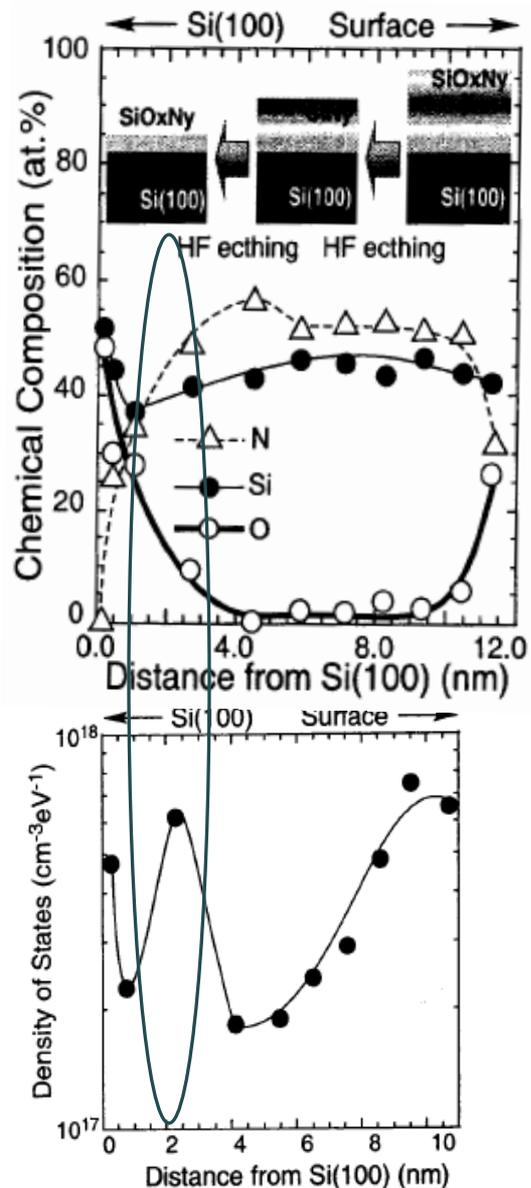
A lot of O atoms are in SiO<sub>2</sub>/SiN interfaces



Electronic occupation defect density

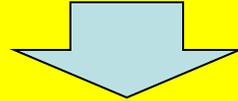


Occupation defects exist in same region with O atoms

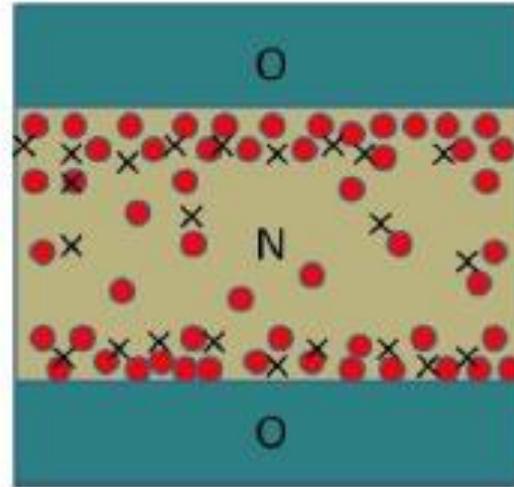
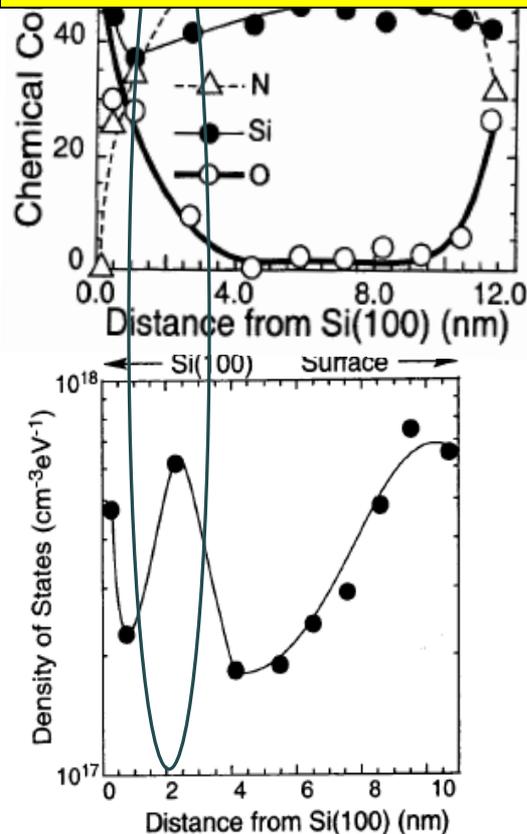


**Much of charge traps is necessary for charge trap memory.**

**O atoms make charge traps in SiN.**

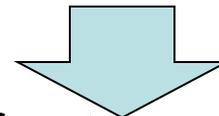


**O atoms are important in MONOS**



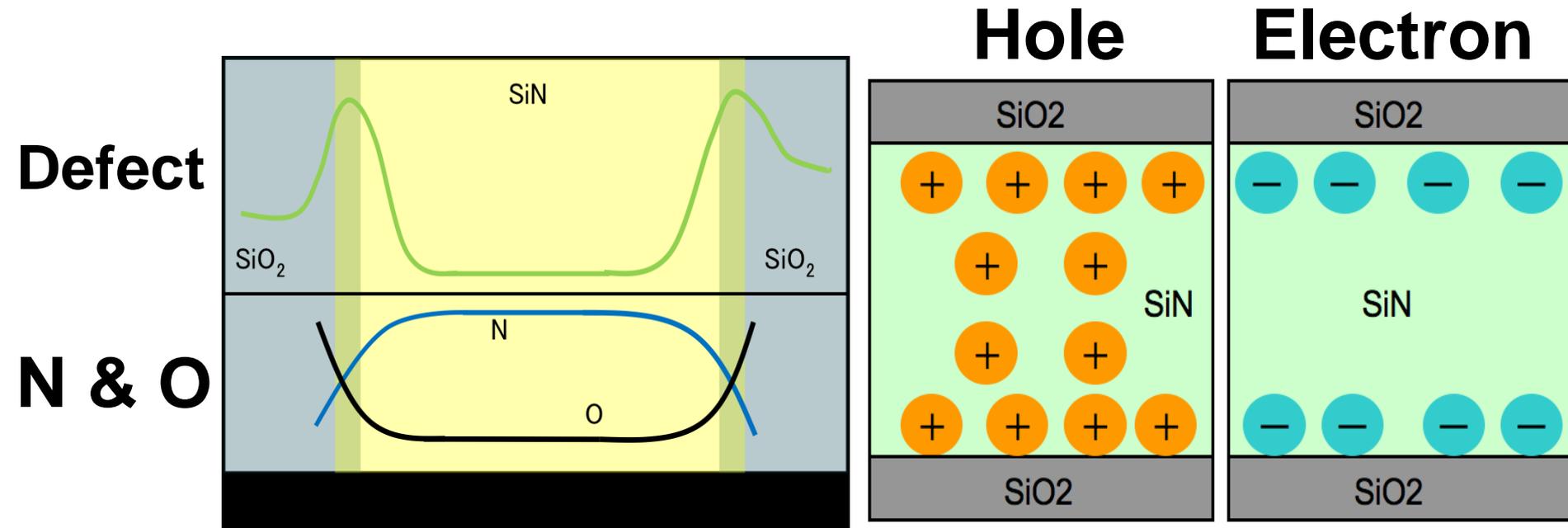
**O atoms**  
**X Defects**

**Electronic occupation defect density**



**Occupation defects exist in same region with O atoms**

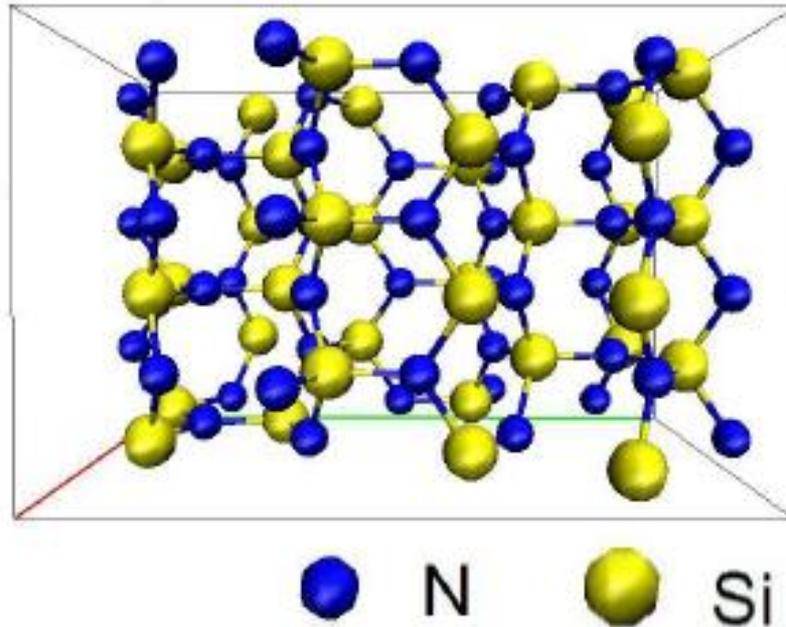
# Summary of experimental report



1. O inter-diffusion is observed at SiN/SiO<sub>2</sub> interfaces.
2. Lots of defects are located in the O containing interfacial SiN regions.
3. Electron traps are localized near SiN/SiO<sub>2</sub> interfaces. But hole traps are distributed both interface and the central part

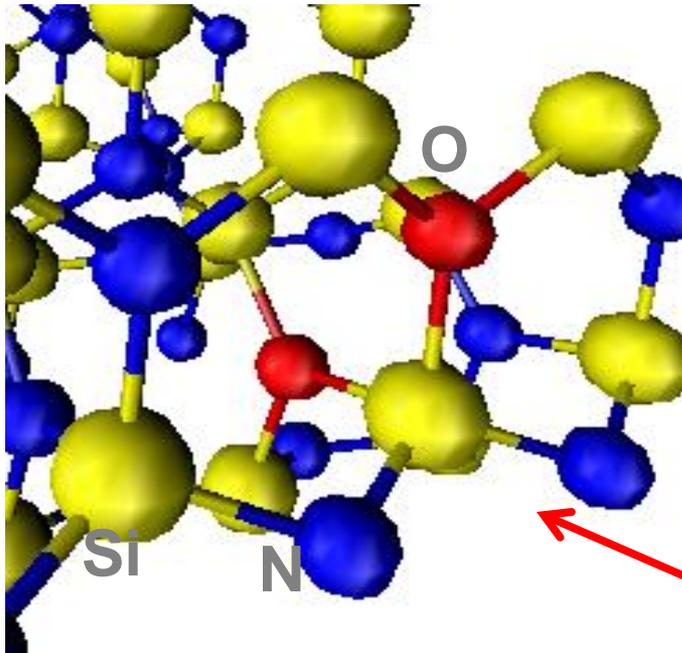
# Calculation Model

84 atoms



**We prepare the calculation models that Si<sub>3</sub>N<sub>4</sub>  
84 atoms cell.**

# Calculation Model 1

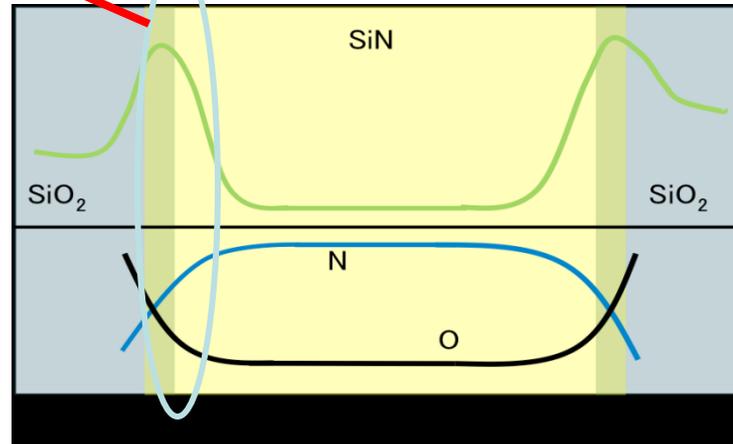


**A defect with two substitutional O atoms at N sites.**

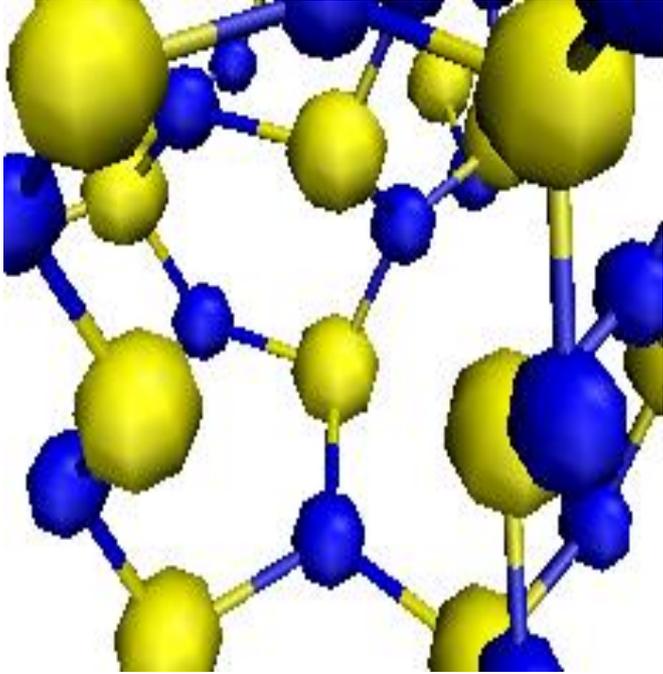
**This is the model of excess O atoms in SiN.**

**Defect**

**N & O**

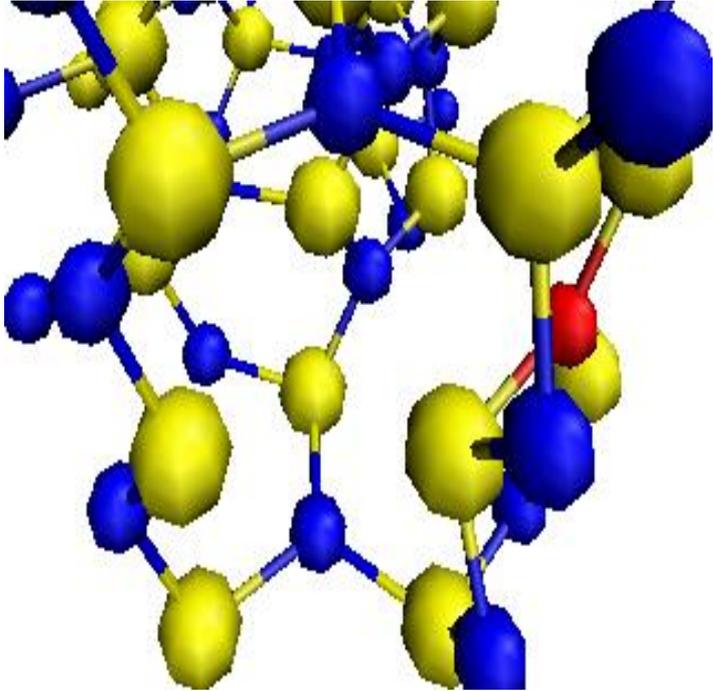


# Calculation Model 2



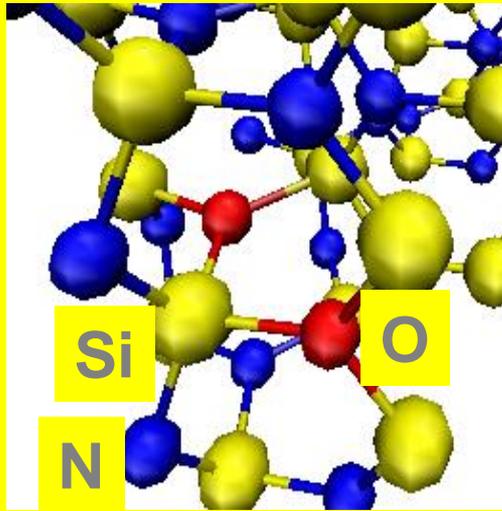
**A defect with a N vacancy.**

# Calculation Model 3



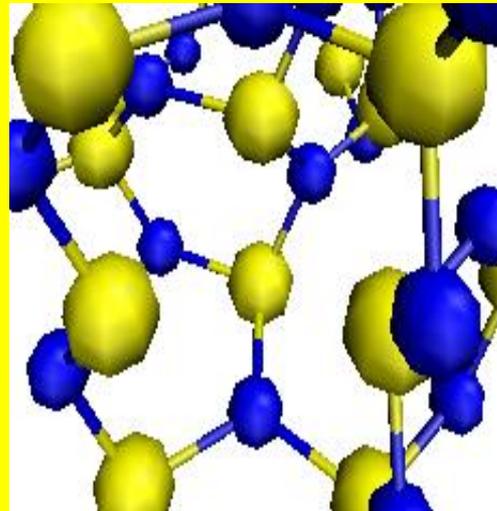
**A defect with a N vacancy with one O atom.**

# Three Calculation Models

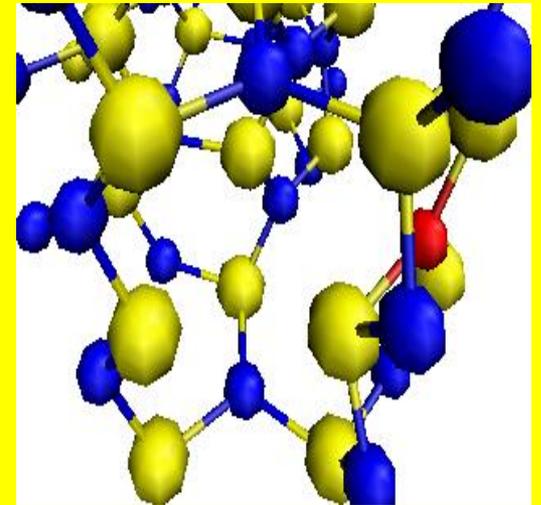


A defect with two substitutional O atoms at N sites.

This is the model of excess O atoms in SiN.

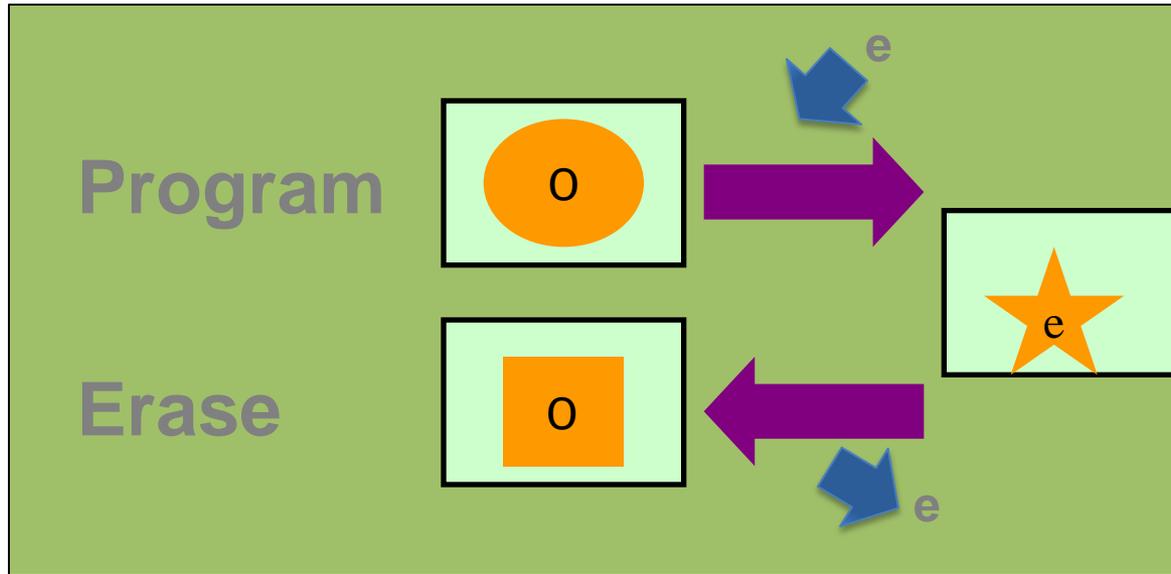


A defect with a N vacancy.



A defect with a N vacancy with one O atom.

# The calculation of P/E operation

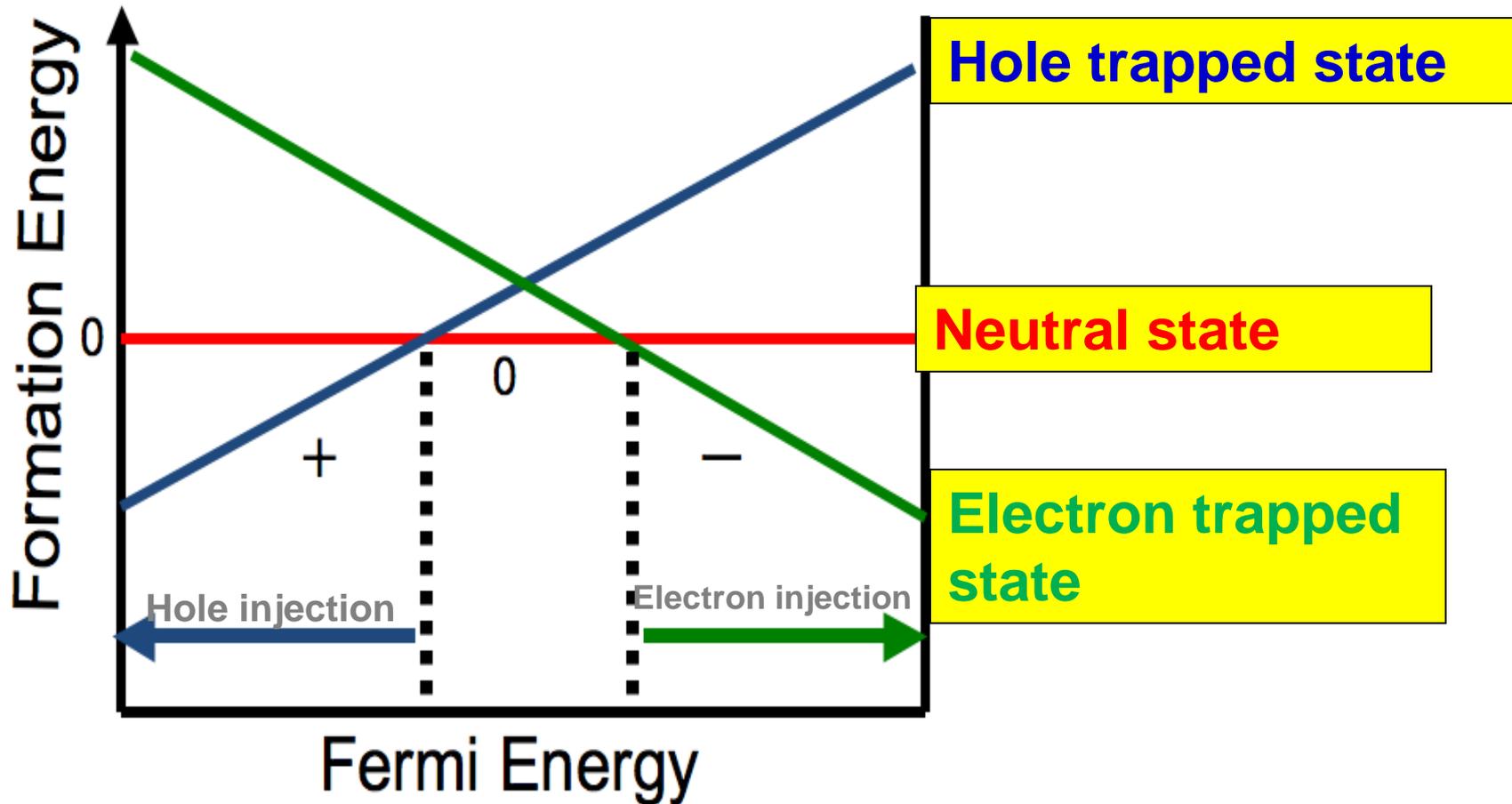


**We investigated atomic and electronic structural change during Program/Erase operations (carrier injection & removal).**

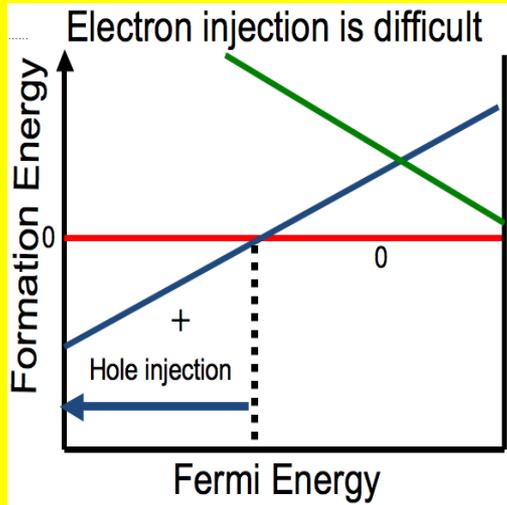
# Calculation Method

- **First principle calculation**
- **Generalized gradient approximation**
- **Ultra-soft pseudo-potential**

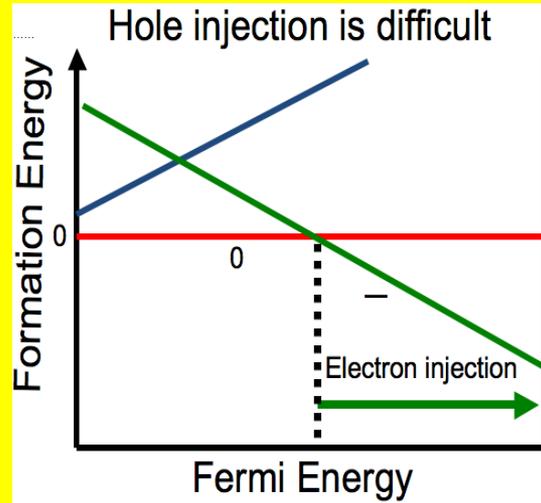
# Formation Energy Diagram (example)



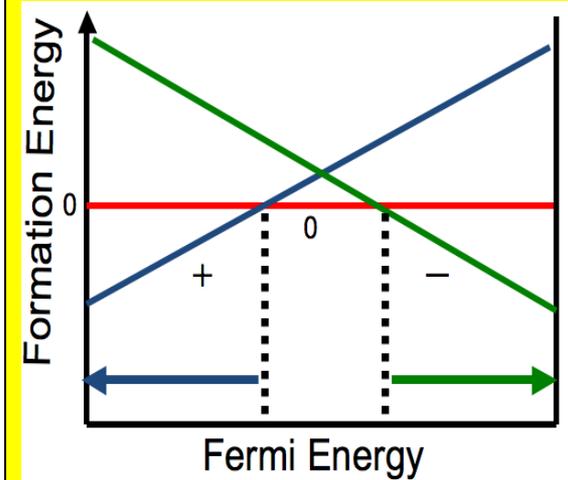
The charged state with the lowest energy is the optimal state at each Fermi energy.



**Only hole trapped state is possible**



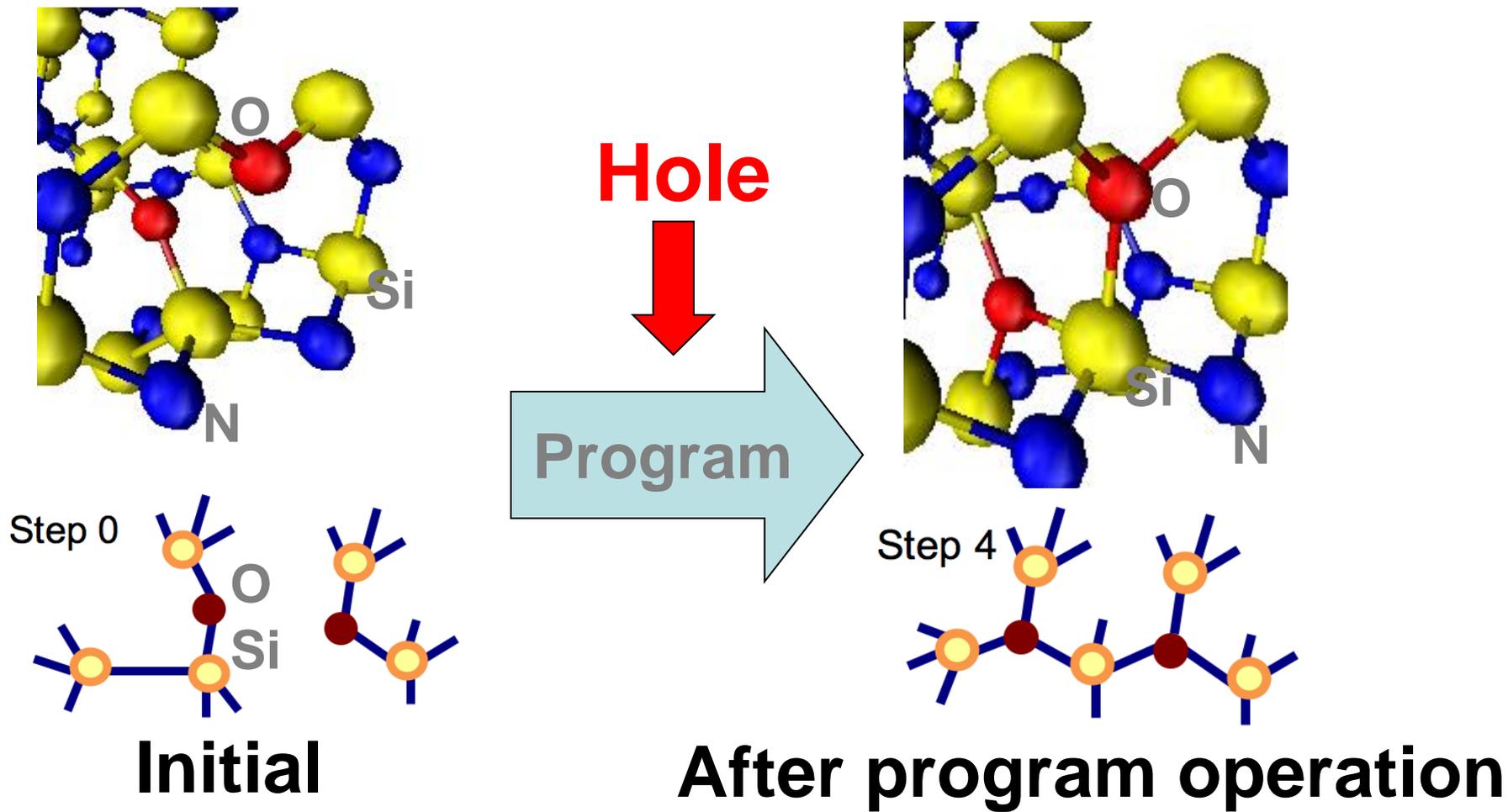
**Only electron trapped state is possible**



**Both electron and hole trapped states are possible**

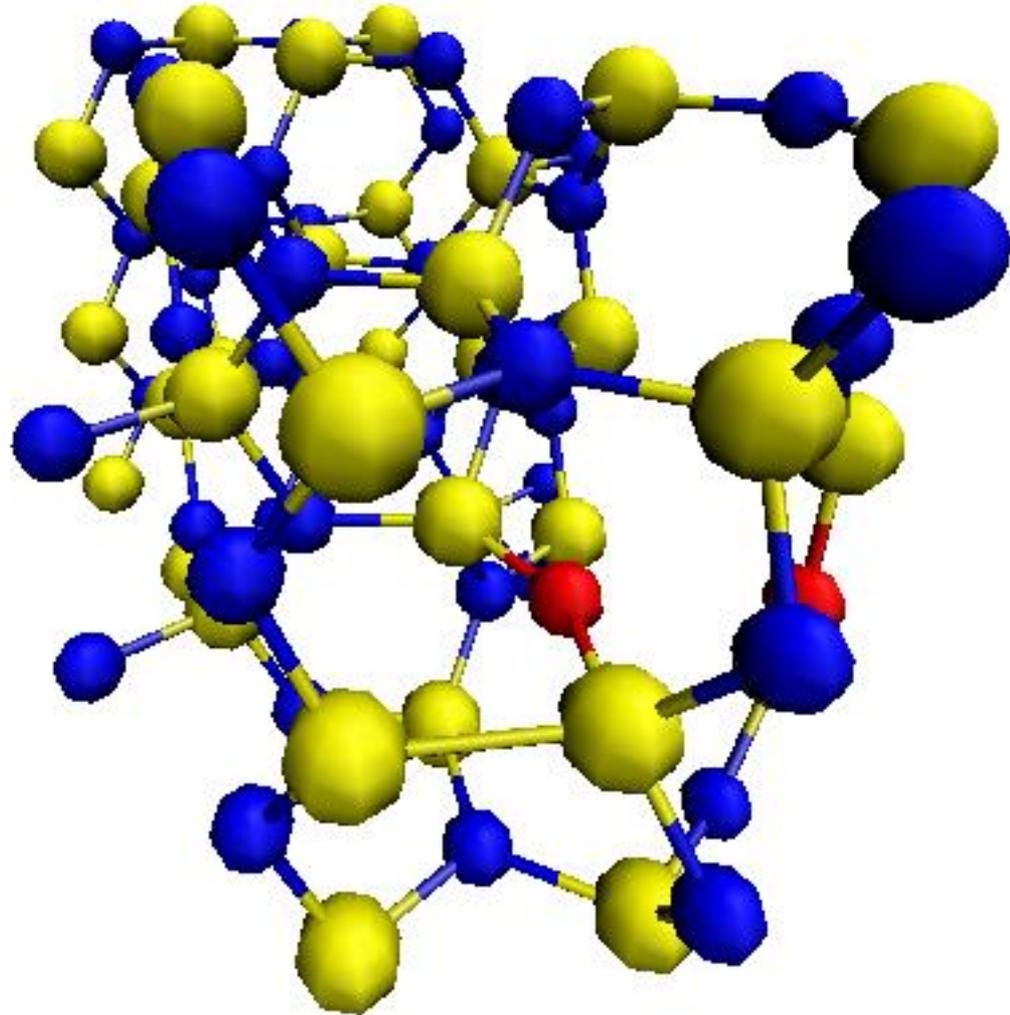
**Formation energy diagram corresponds to memory characteristic.**

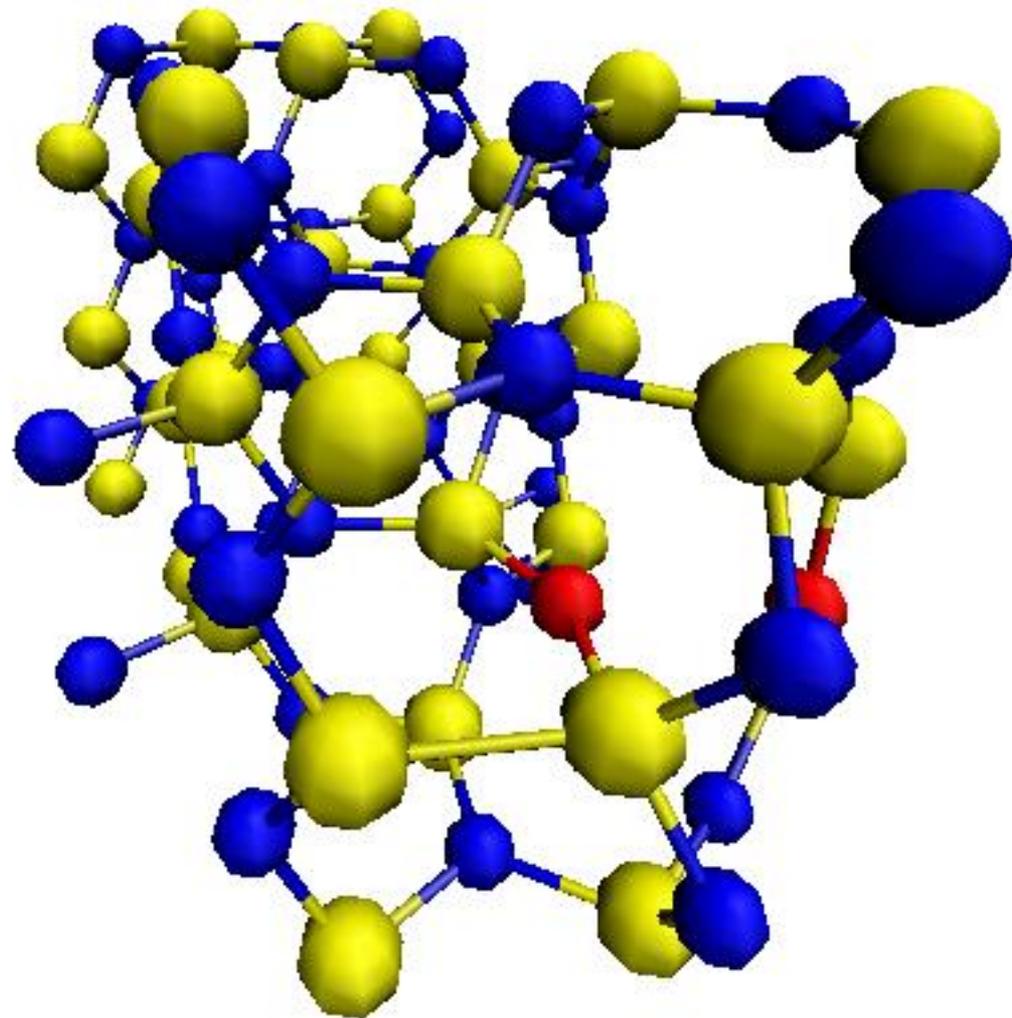
# 3.1 Result for excess O model

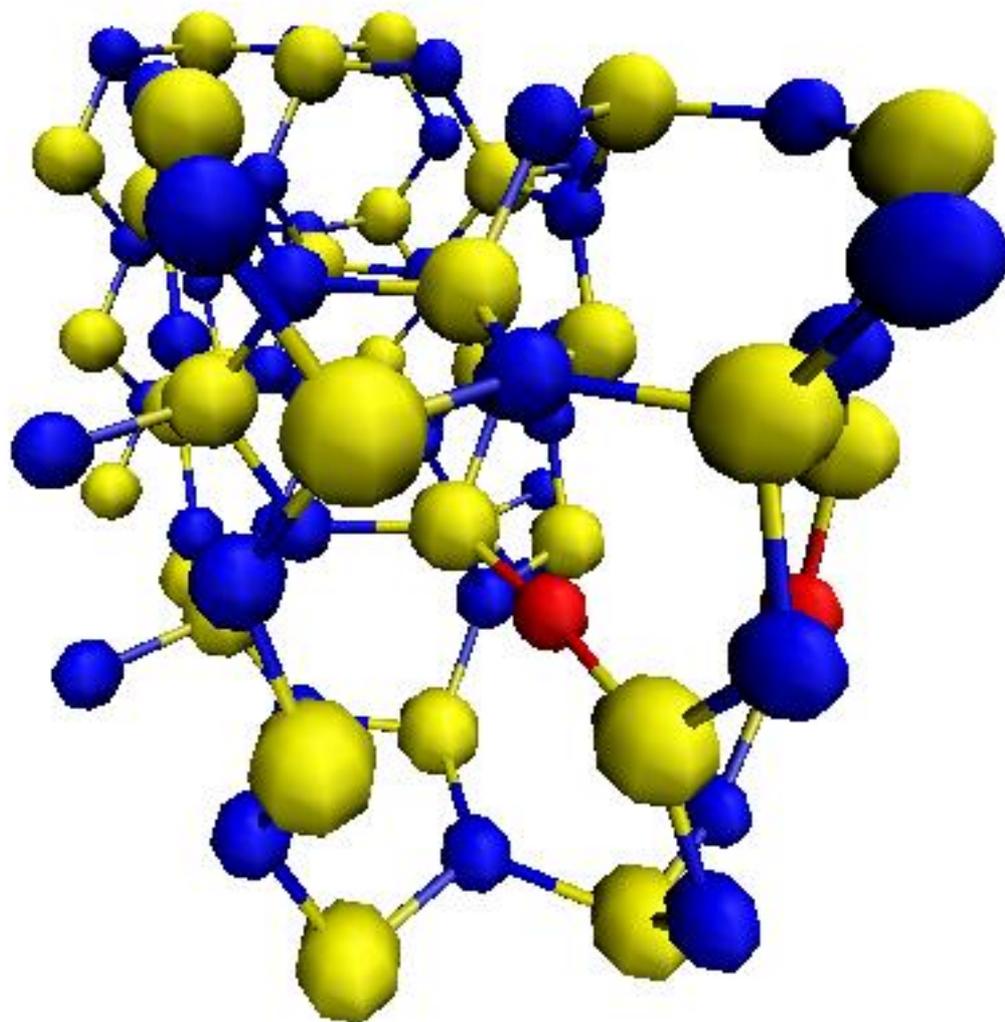


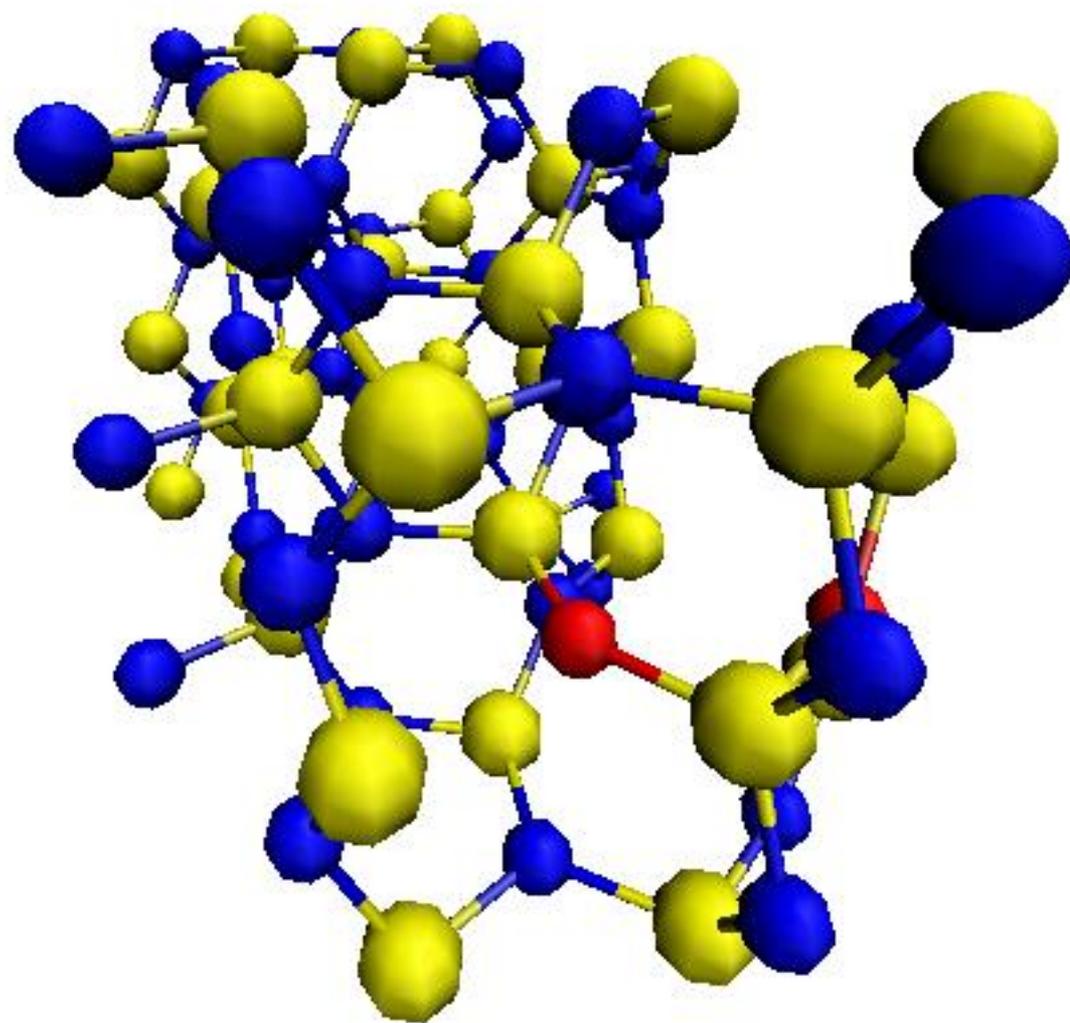
Atomistic structures before and after program operation.

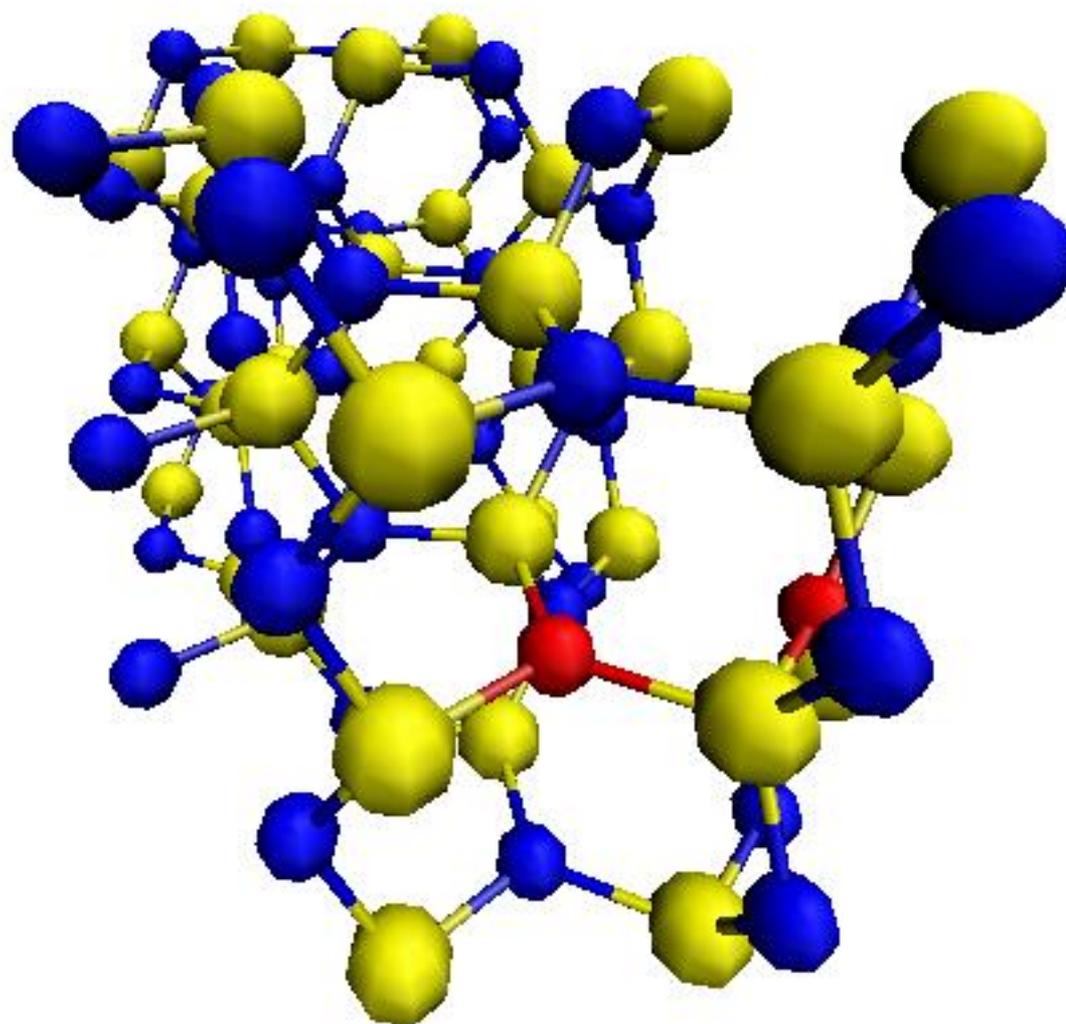
# Structural change by program operation



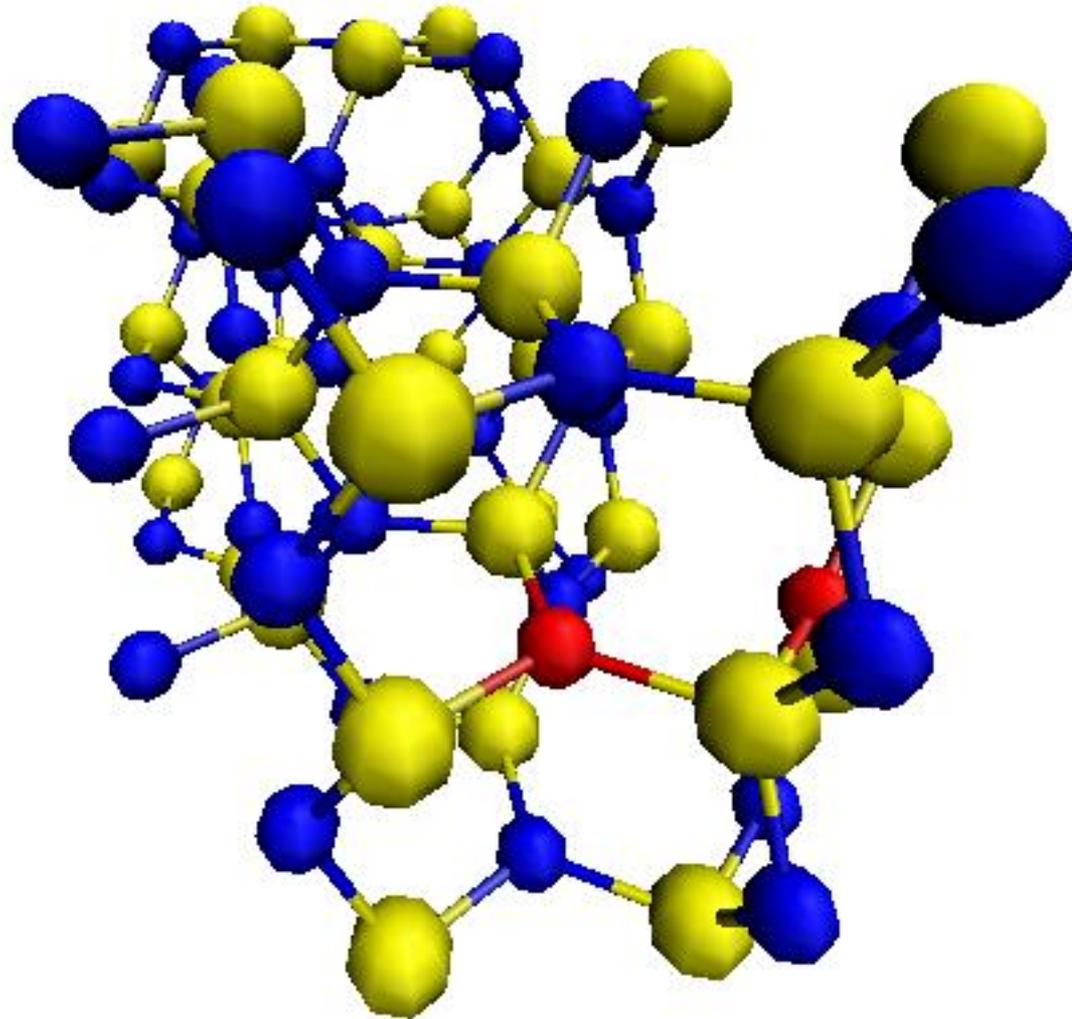




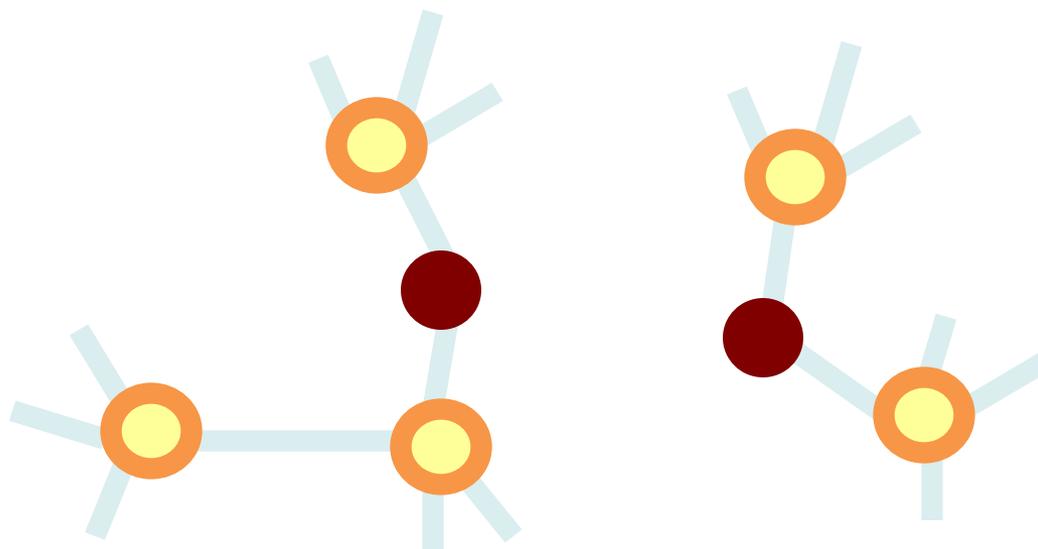




# After program operation



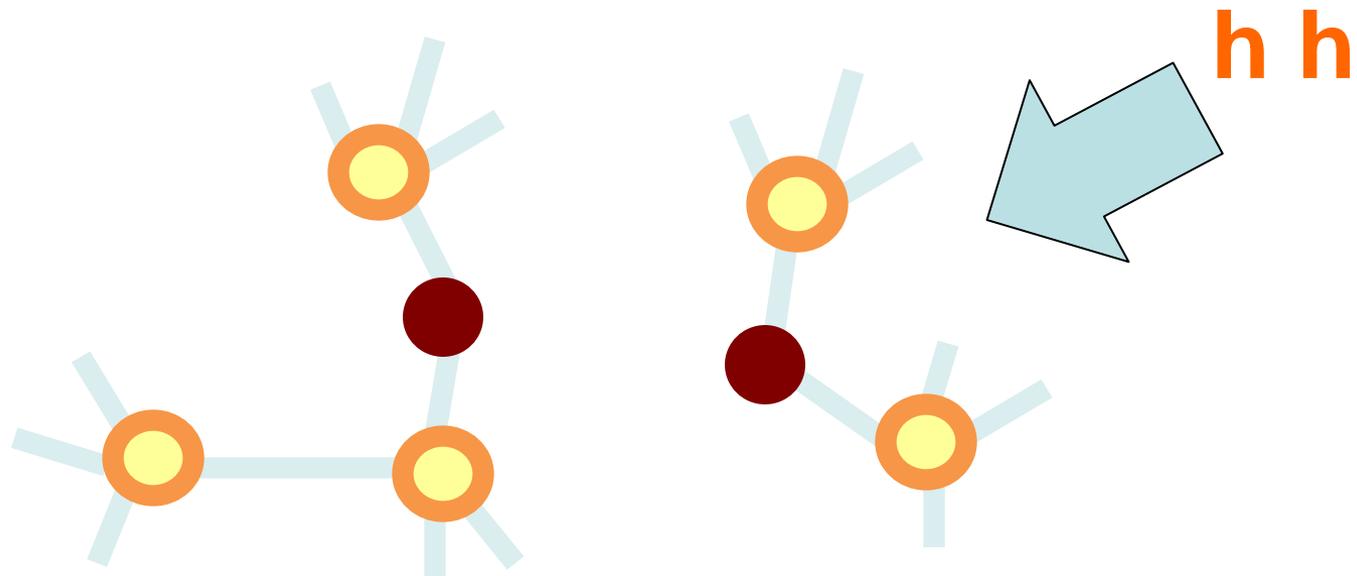
# Initial structure



**Step 0**

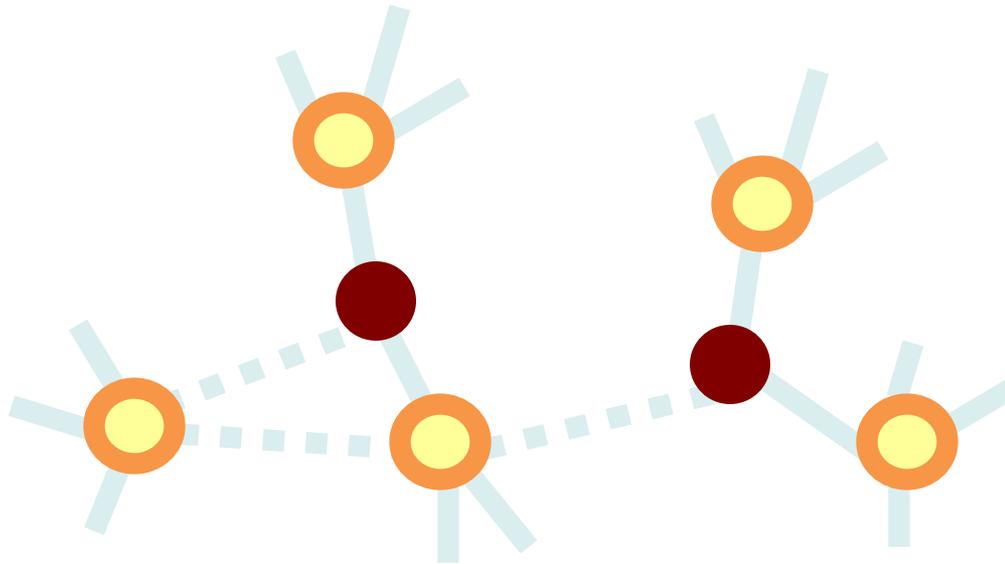


# Program operation



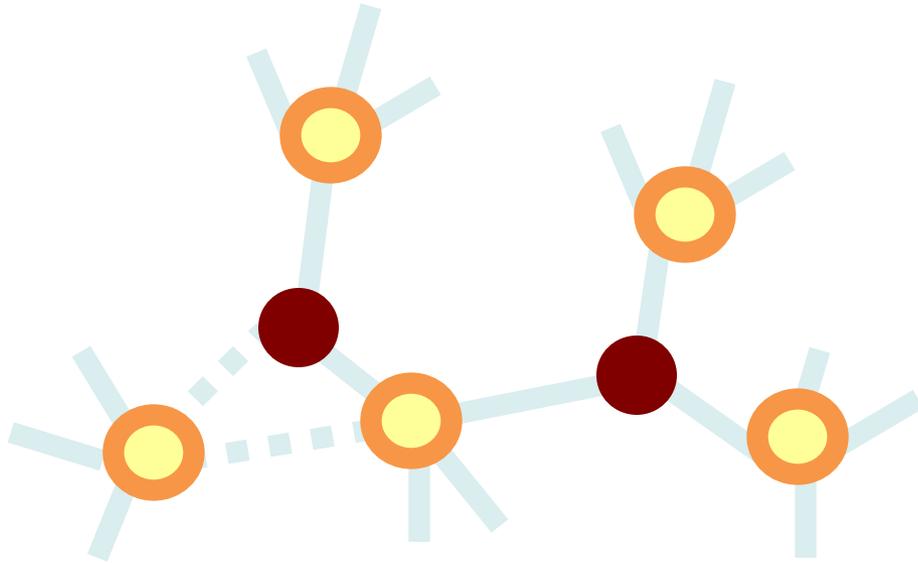
**Step 0**



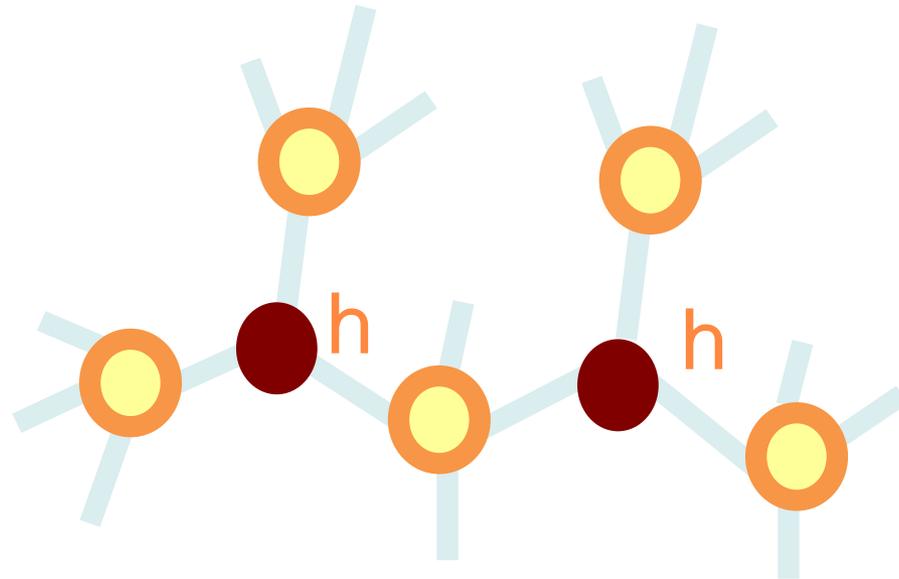


**Step 1**





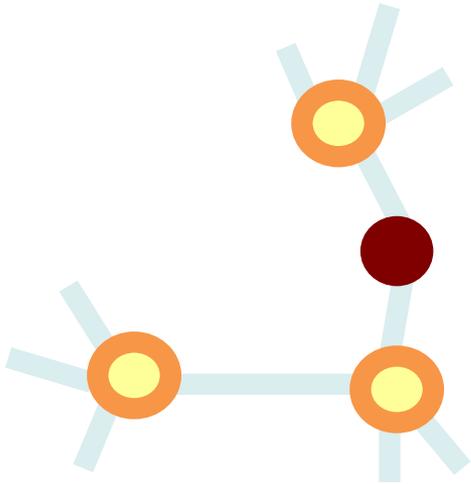
**Step 3**



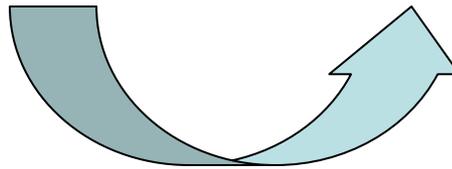
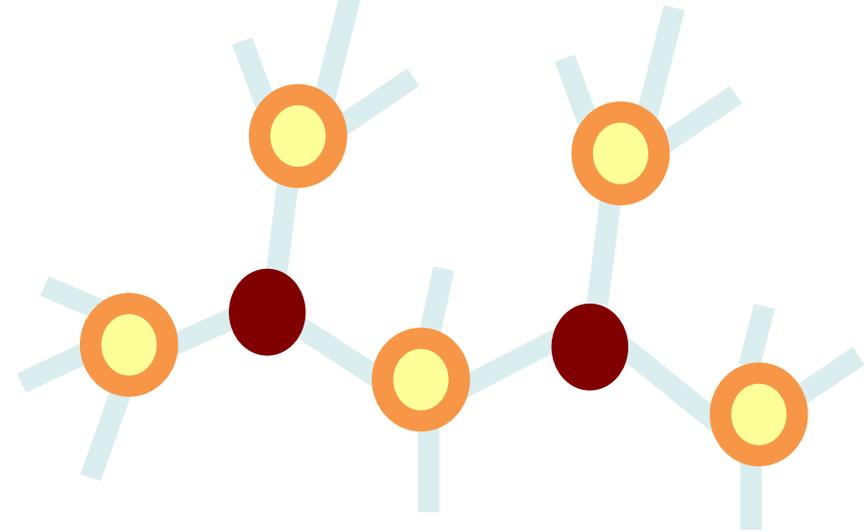
**Step 4**

# Schematic view of structural change by program operation

Initial

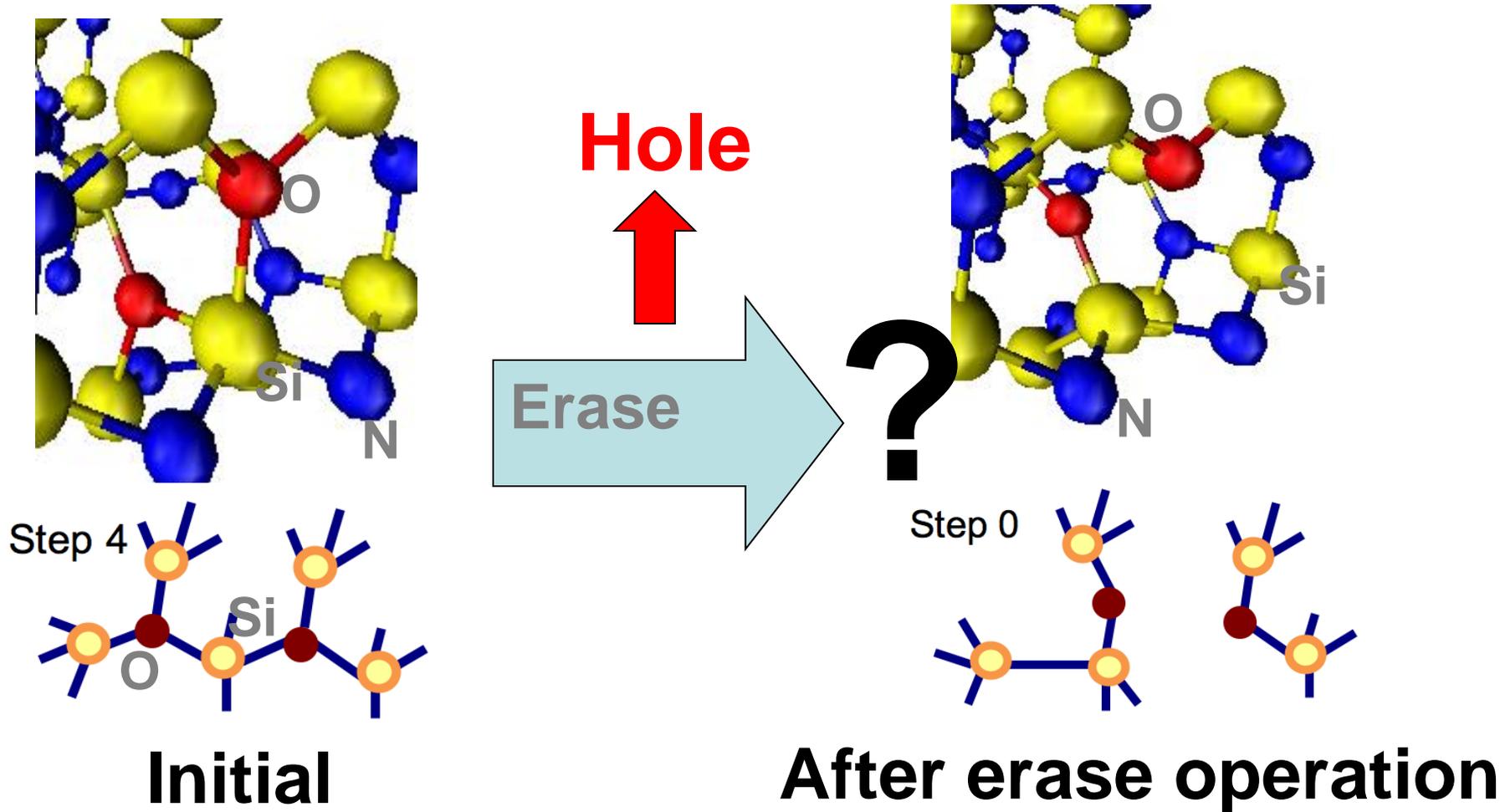


After program operation

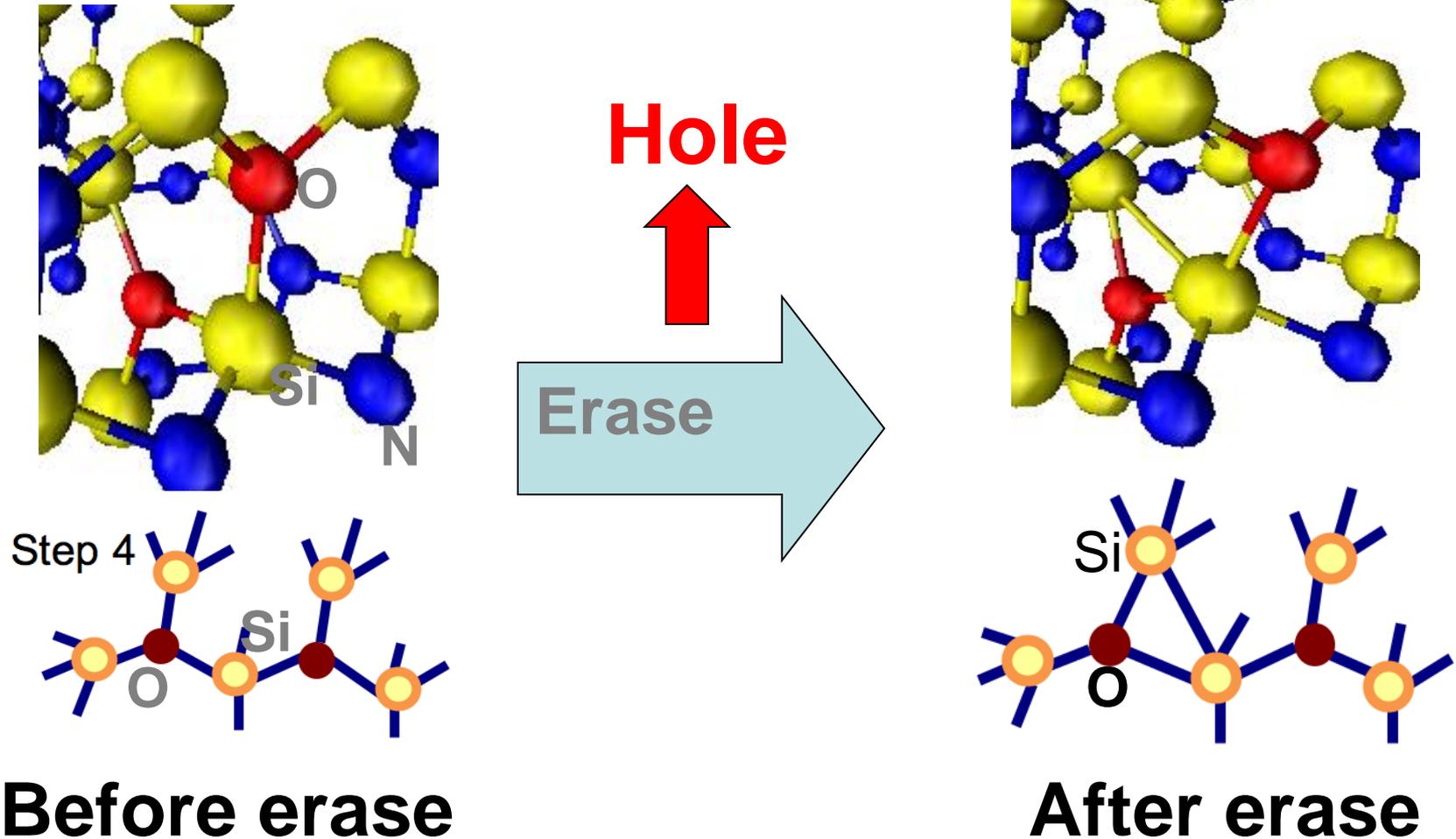


Drastic structural change

Is the structure recovered after erase operation?

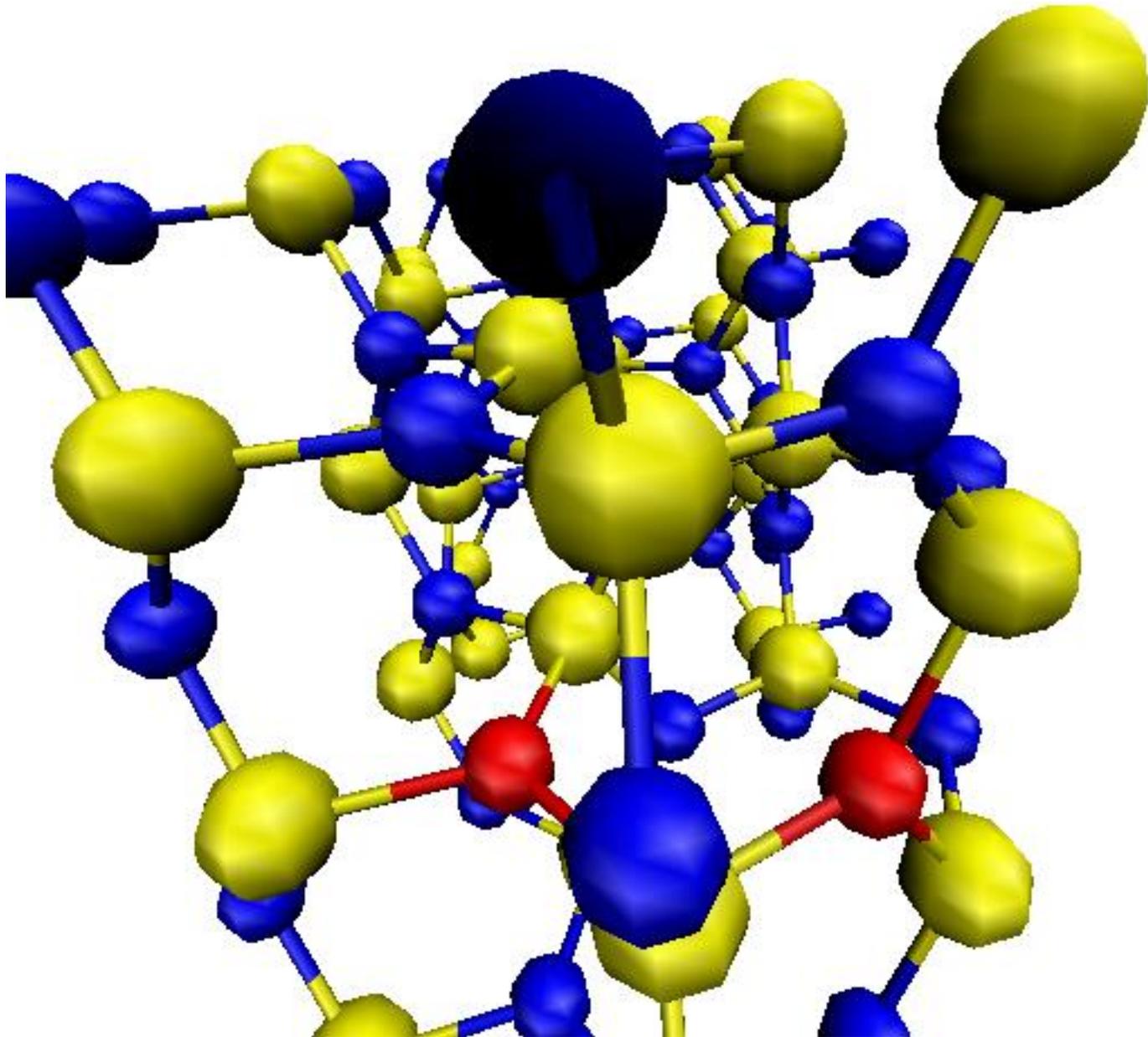


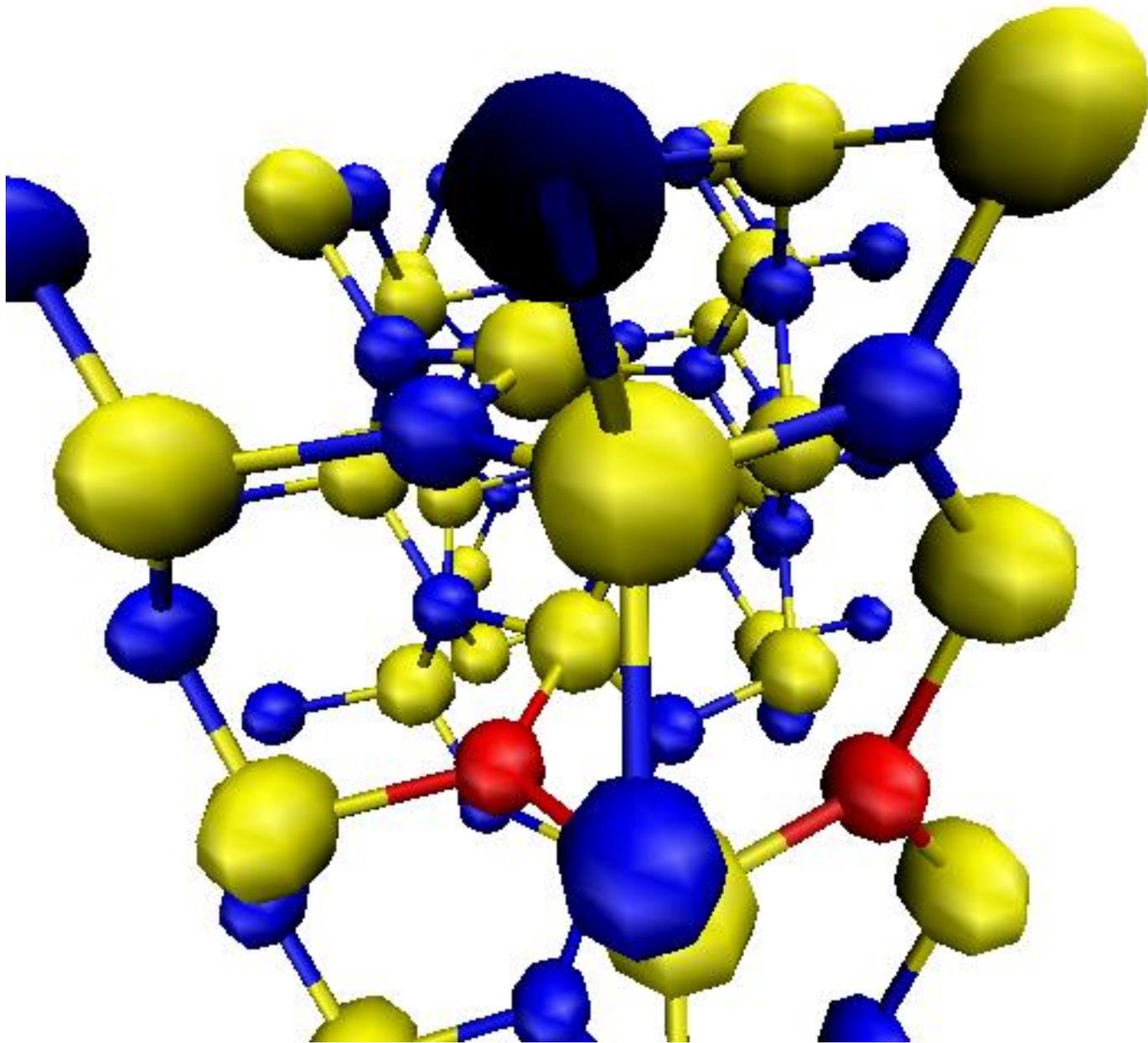
# Erase operation (carrier removal)

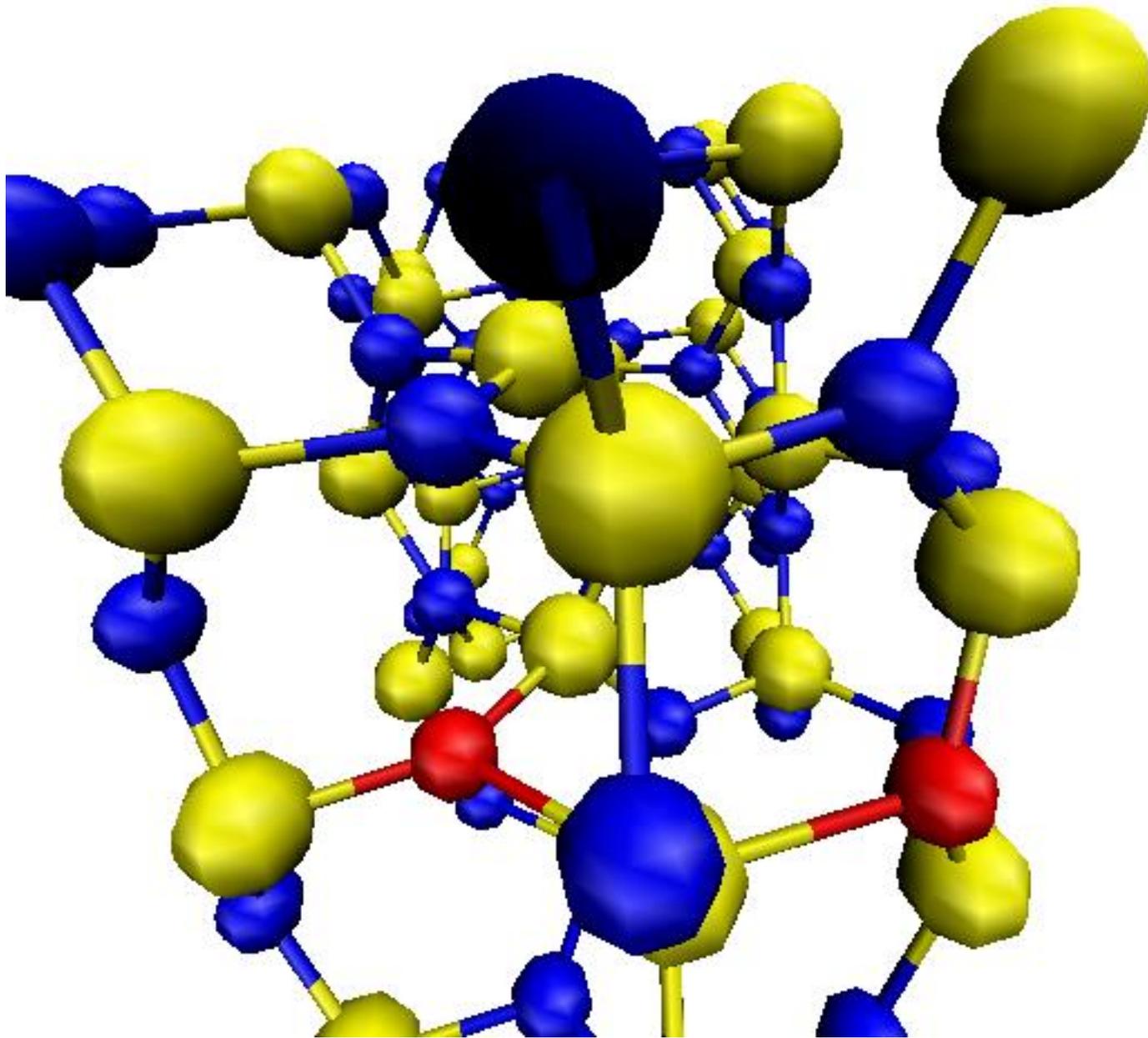


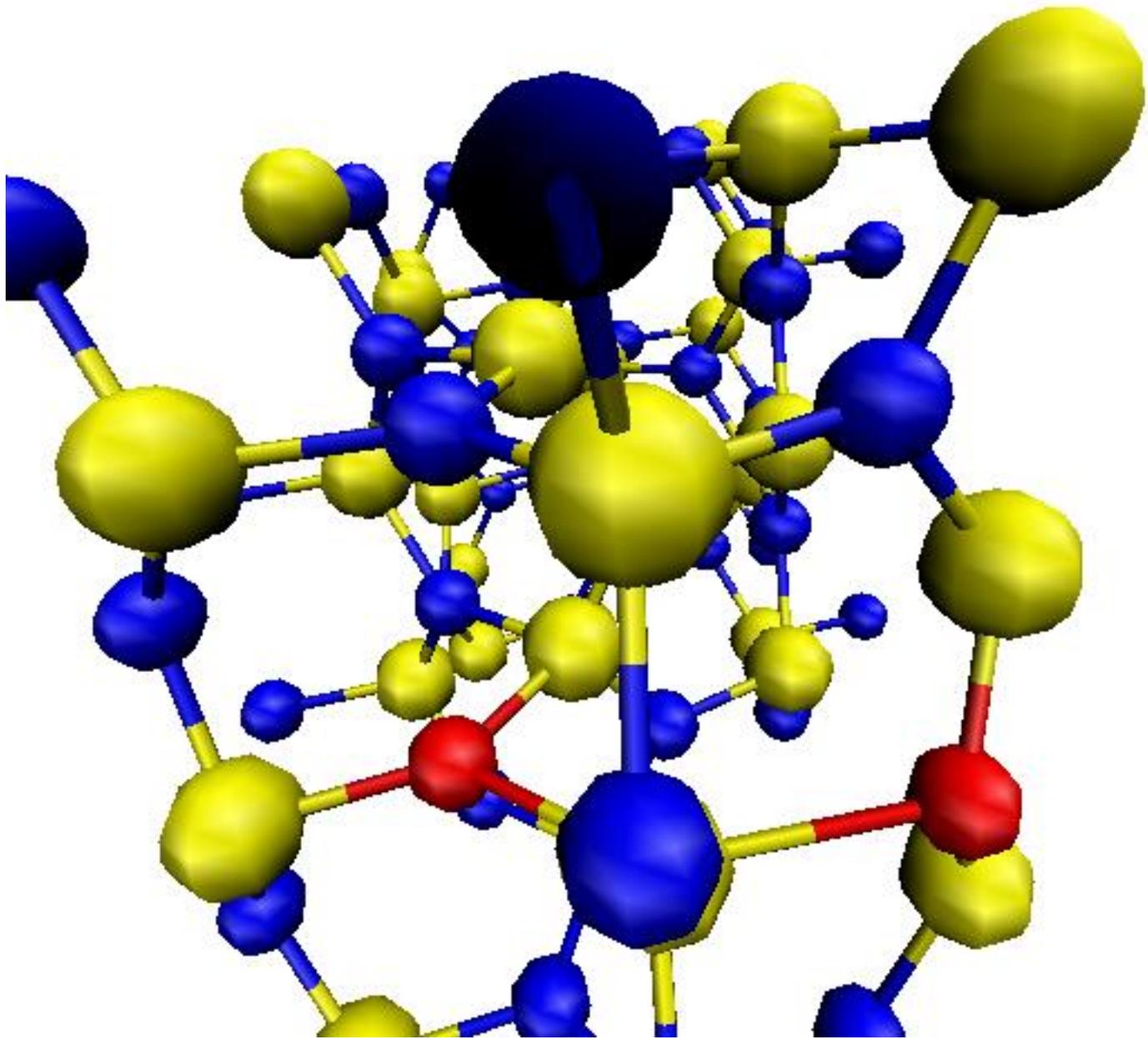
**Very little structural change.**

# Structural change by erase operation

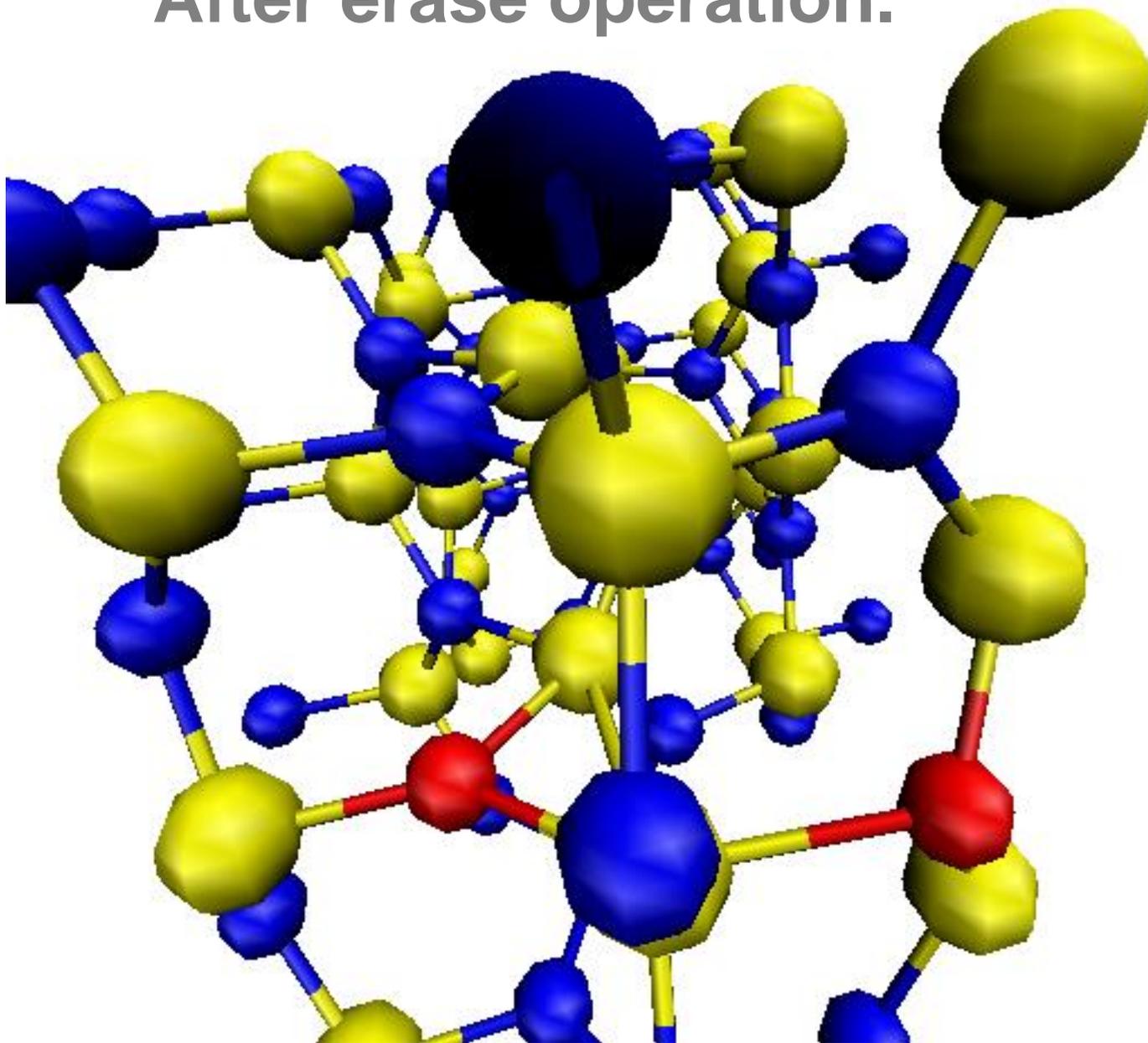






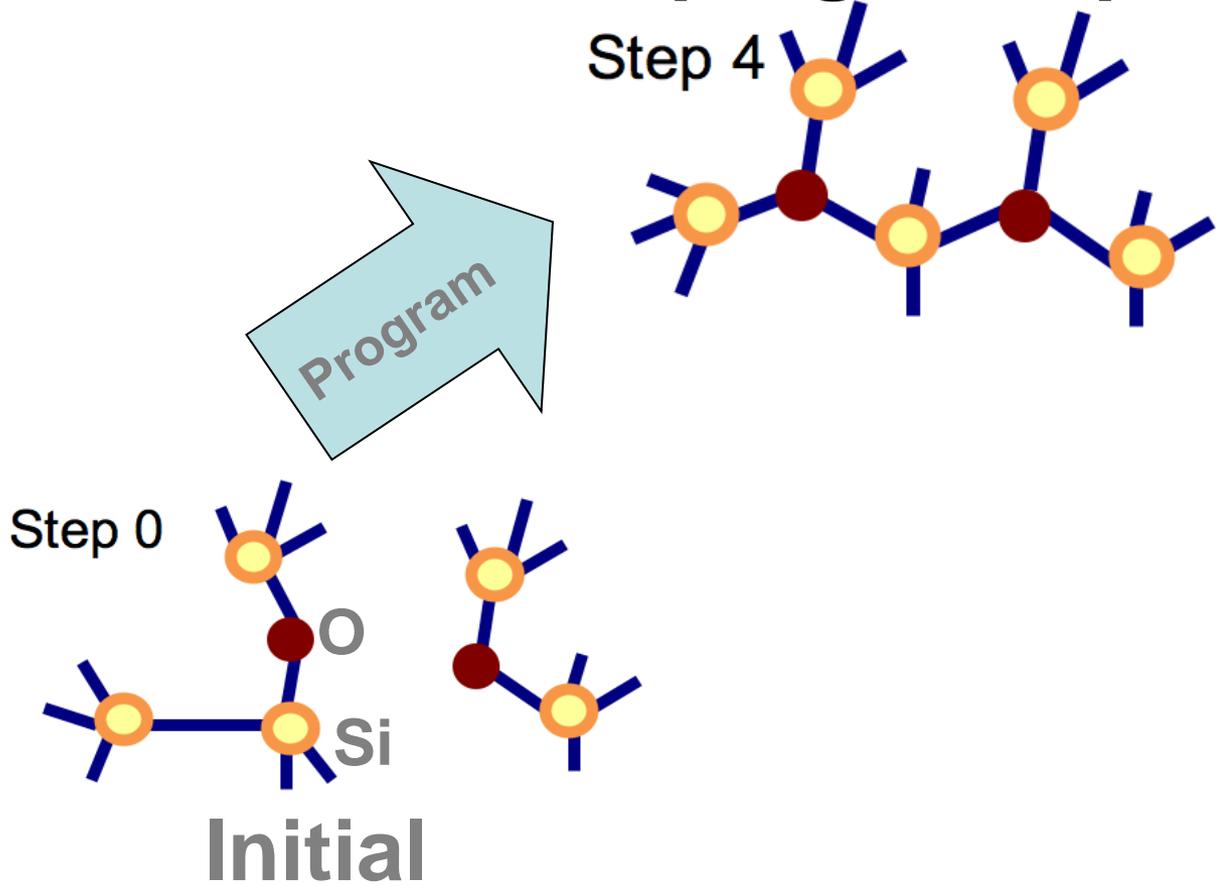


After erase operation.



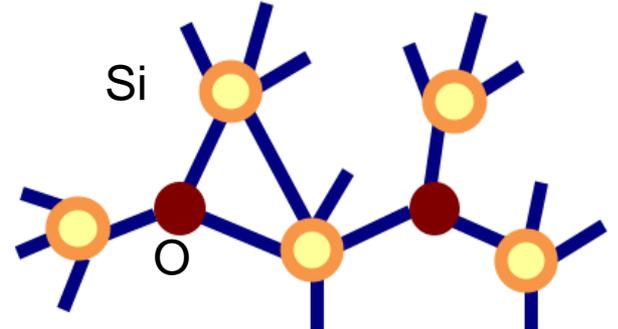
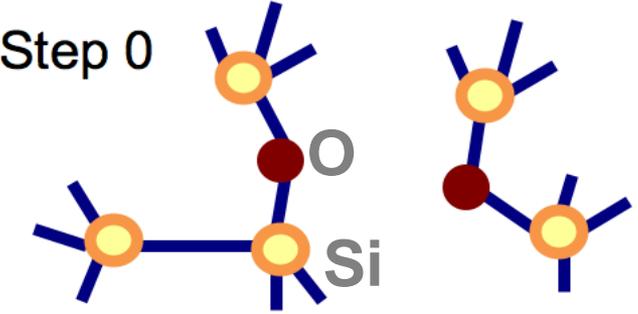
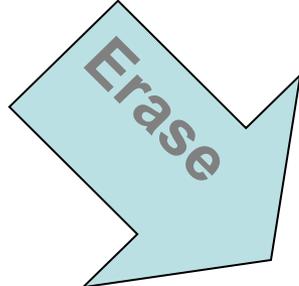
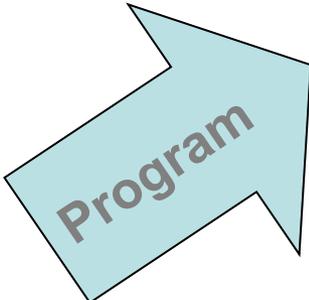
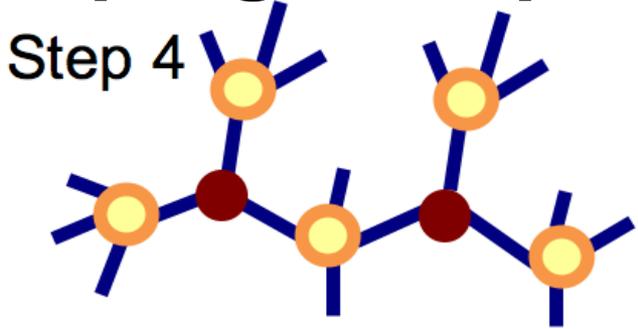
# Structural change during program

## After program operation



# Structural change during program/erase cycles

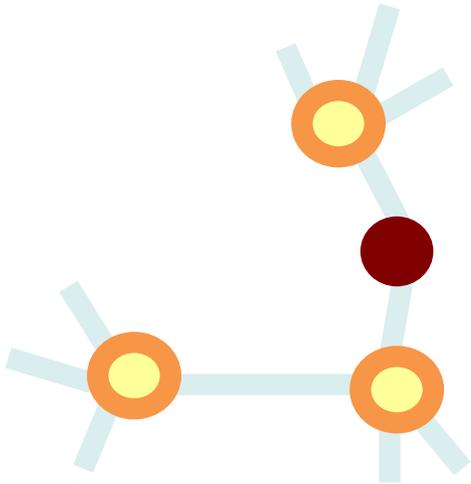
## After program operation



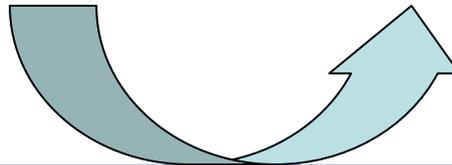
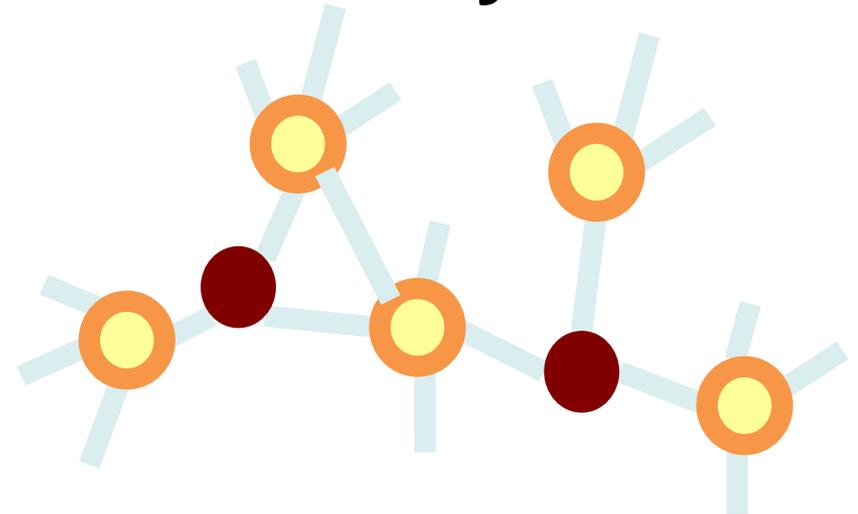
**Not same !!**

# Summary of structural change during P/E cycles.

Initial

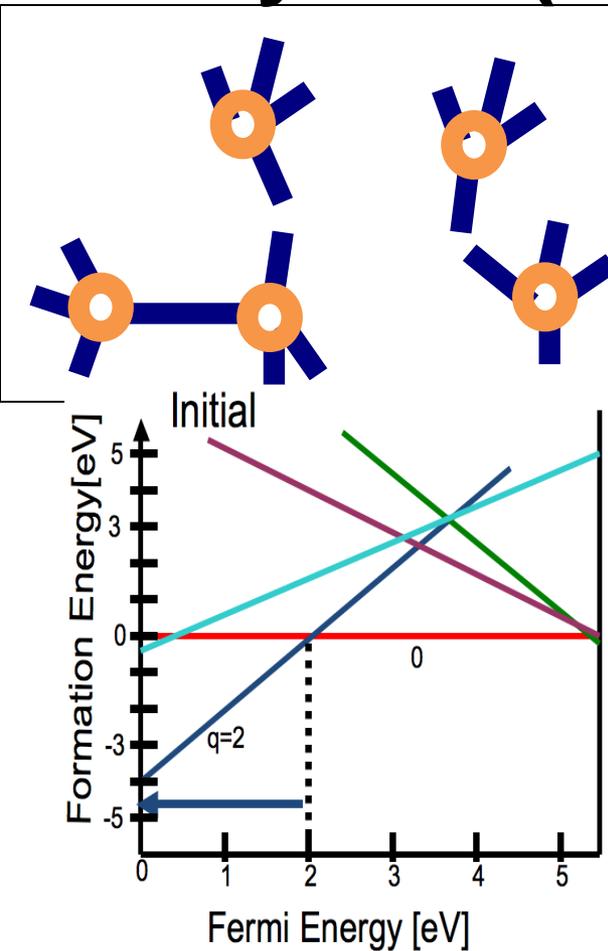


After P/E cycles

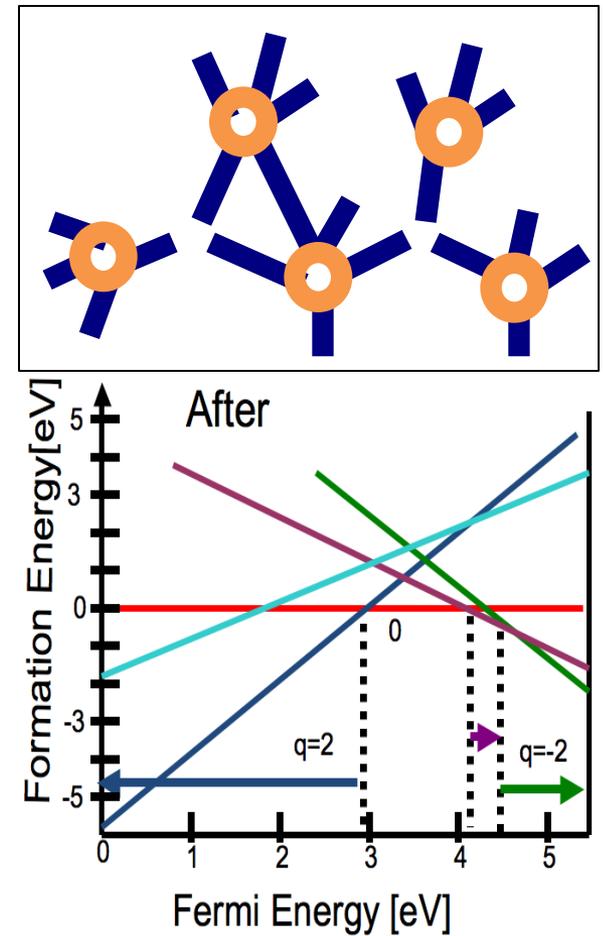


Drastic irreversible structural change  
During P/E cycles.

# Irreversible structural change leads to degradation of memory functions during P/E cycles ( $V_t$ shift et. al.).

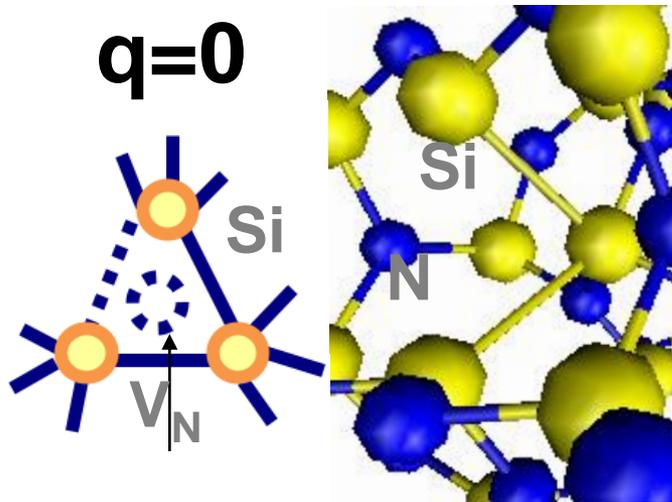


**P/E cycles**



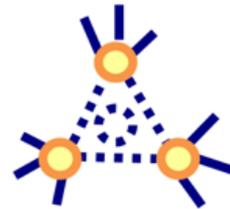
**Memory characteristic changes drastically**

# 3.2 N vacancy



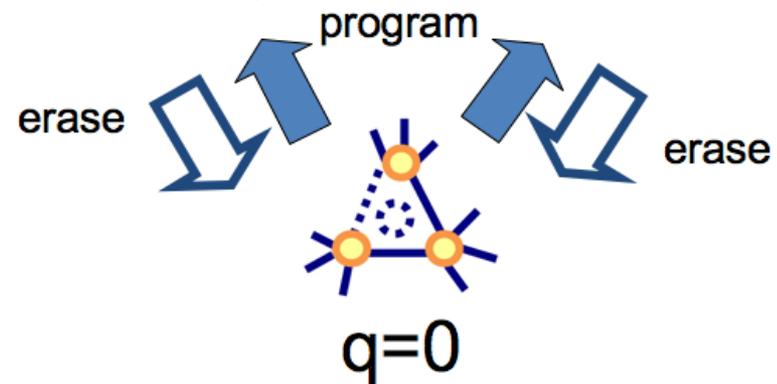
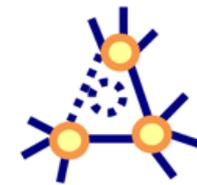
Equilateral triangle

$q=1$



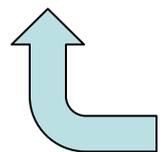
Highly distorted

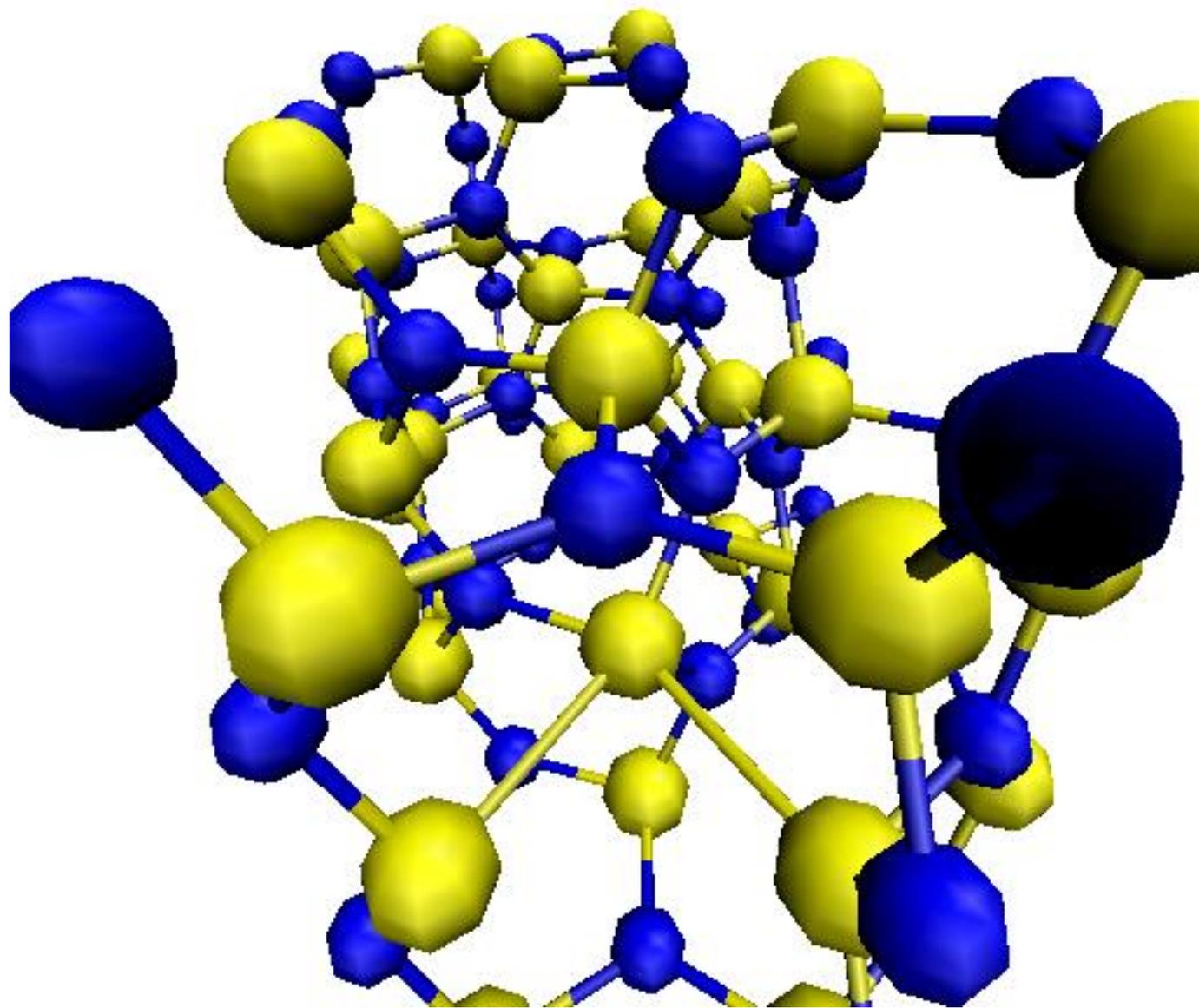
$q=-1$



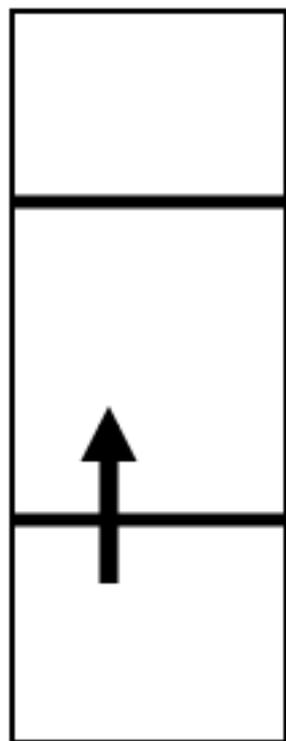
Isosceles triangle

The atomistic structural change during P/E cycles is reversible.

 **Jahn-Teller Effect**

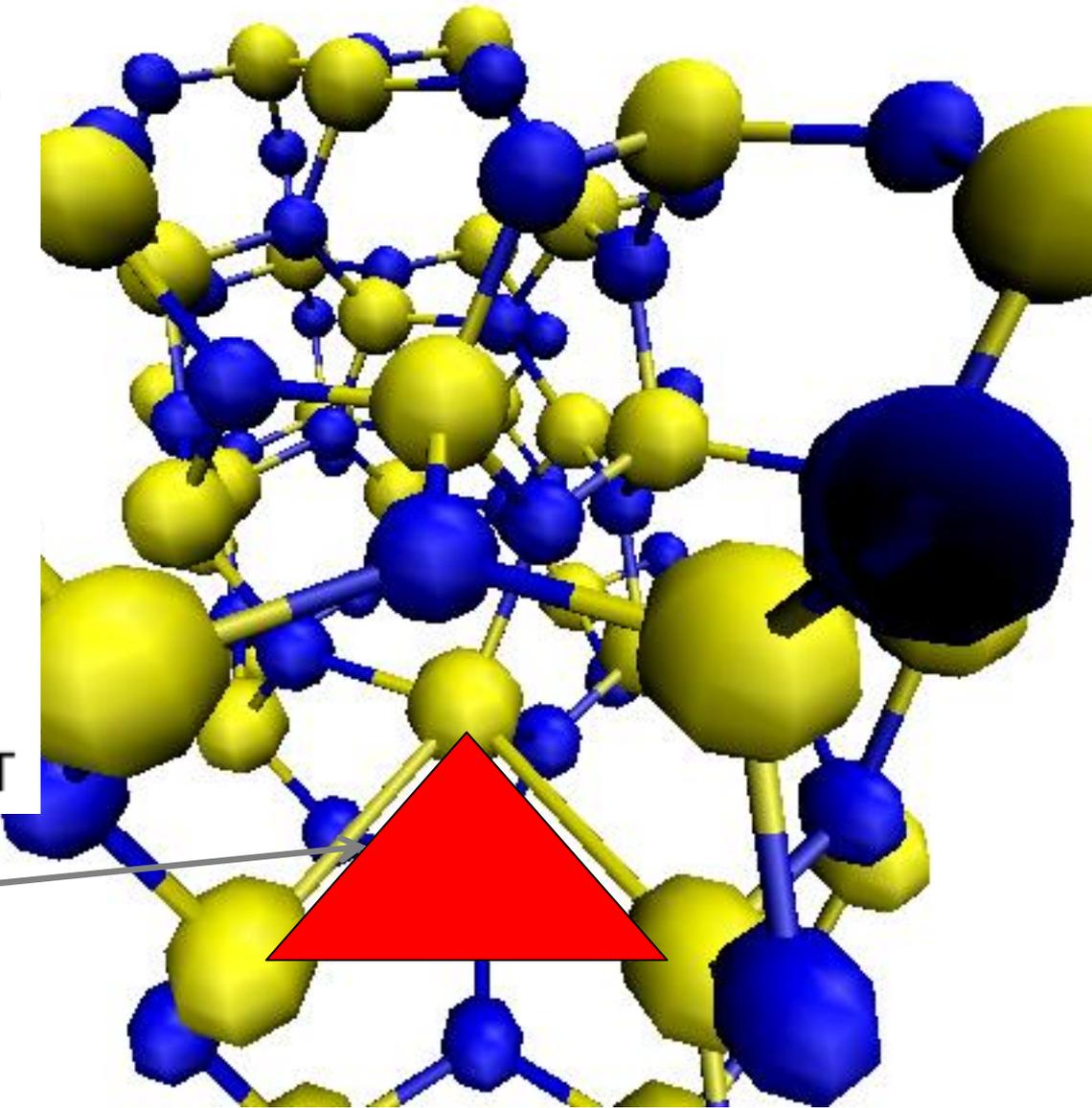


# Initial

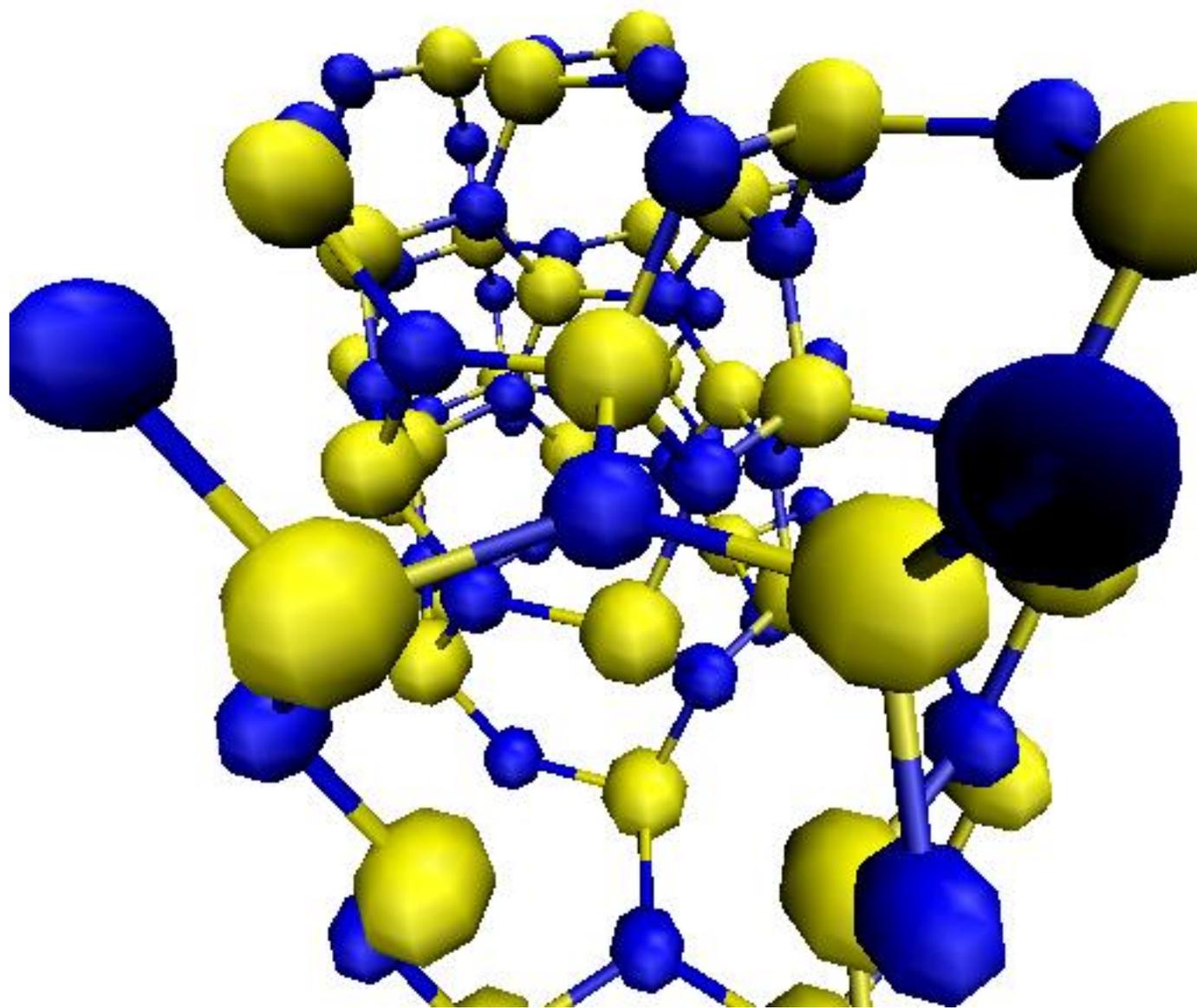


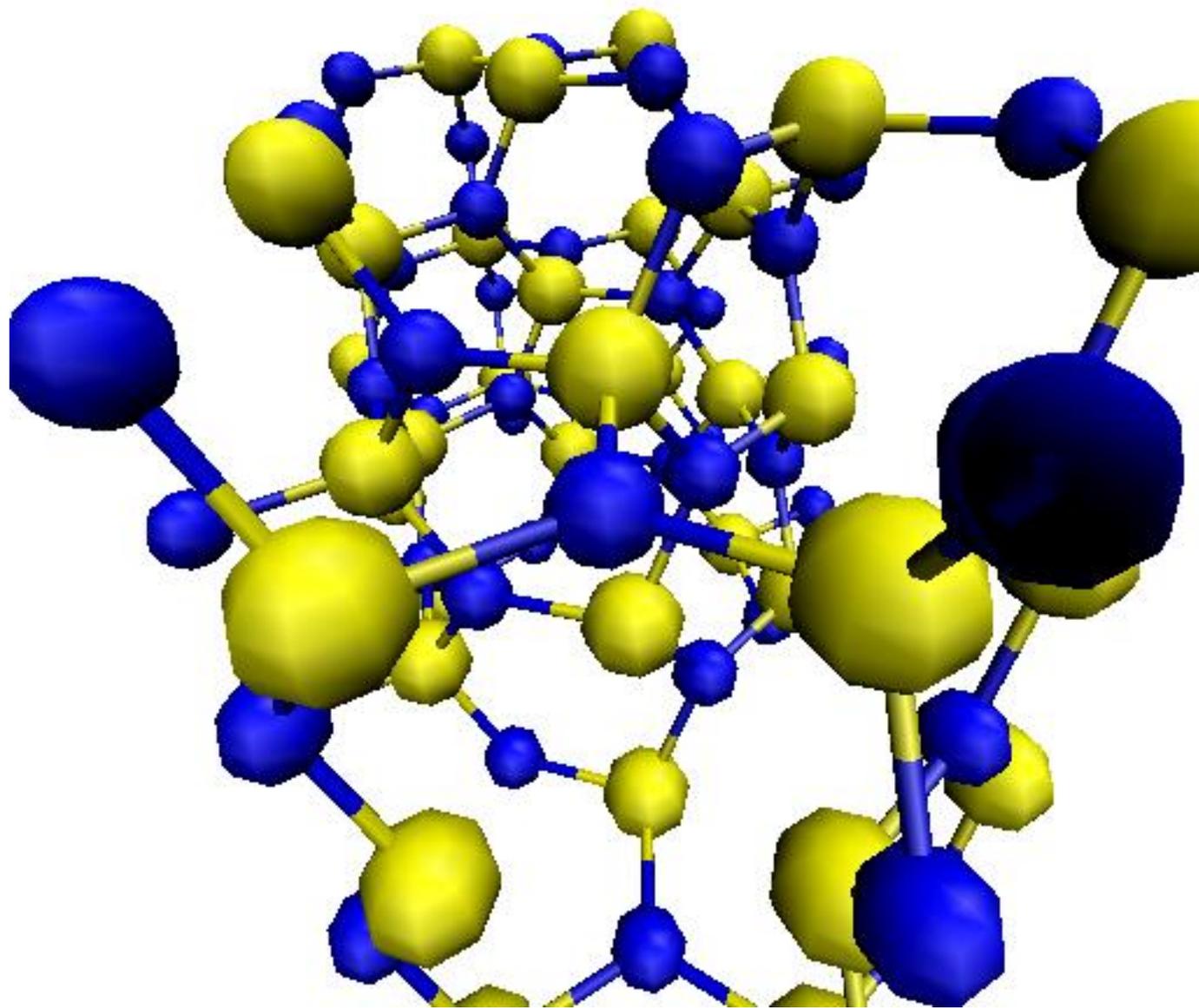
CB

VT

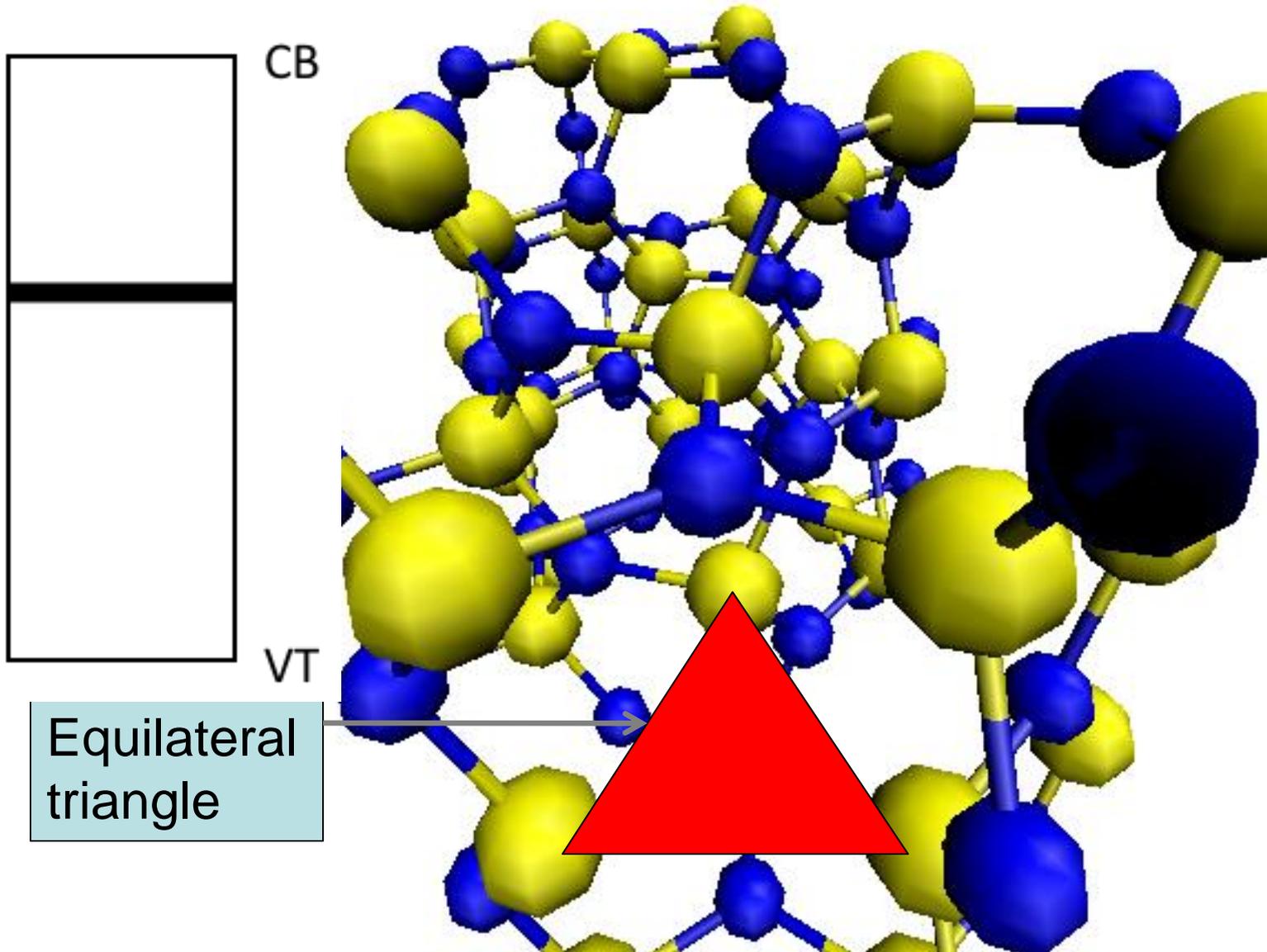


Isosceles triangle



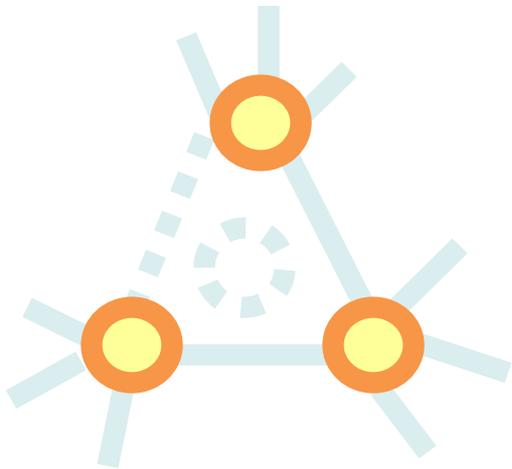


# After hole injection

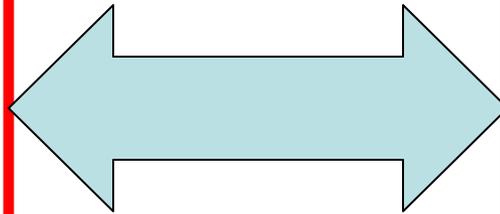


# Structural change during P/E cycles

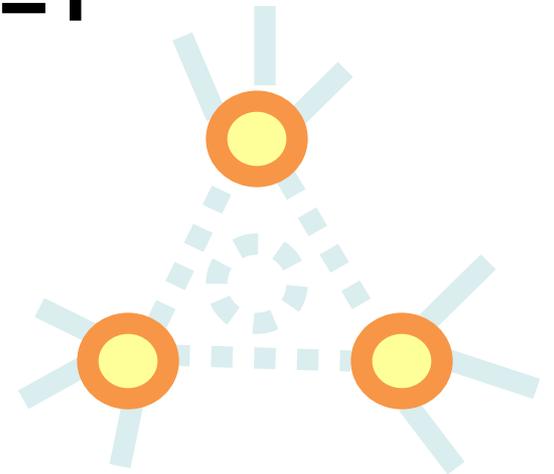
$q=0$



Reversible

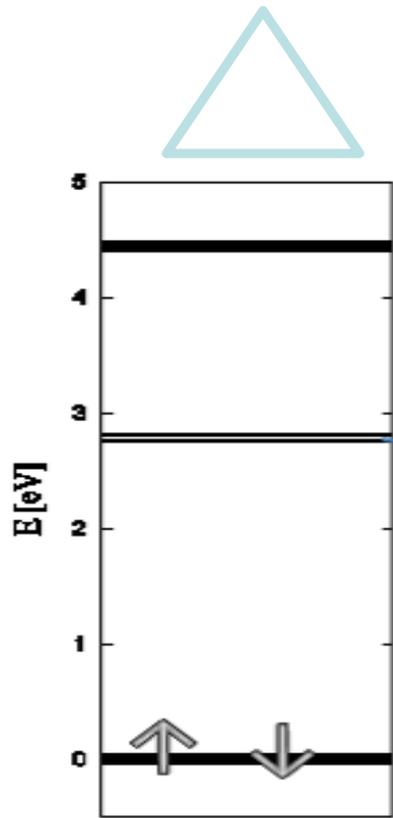


$q=1$



Without rearrangement of the covalent bond networks

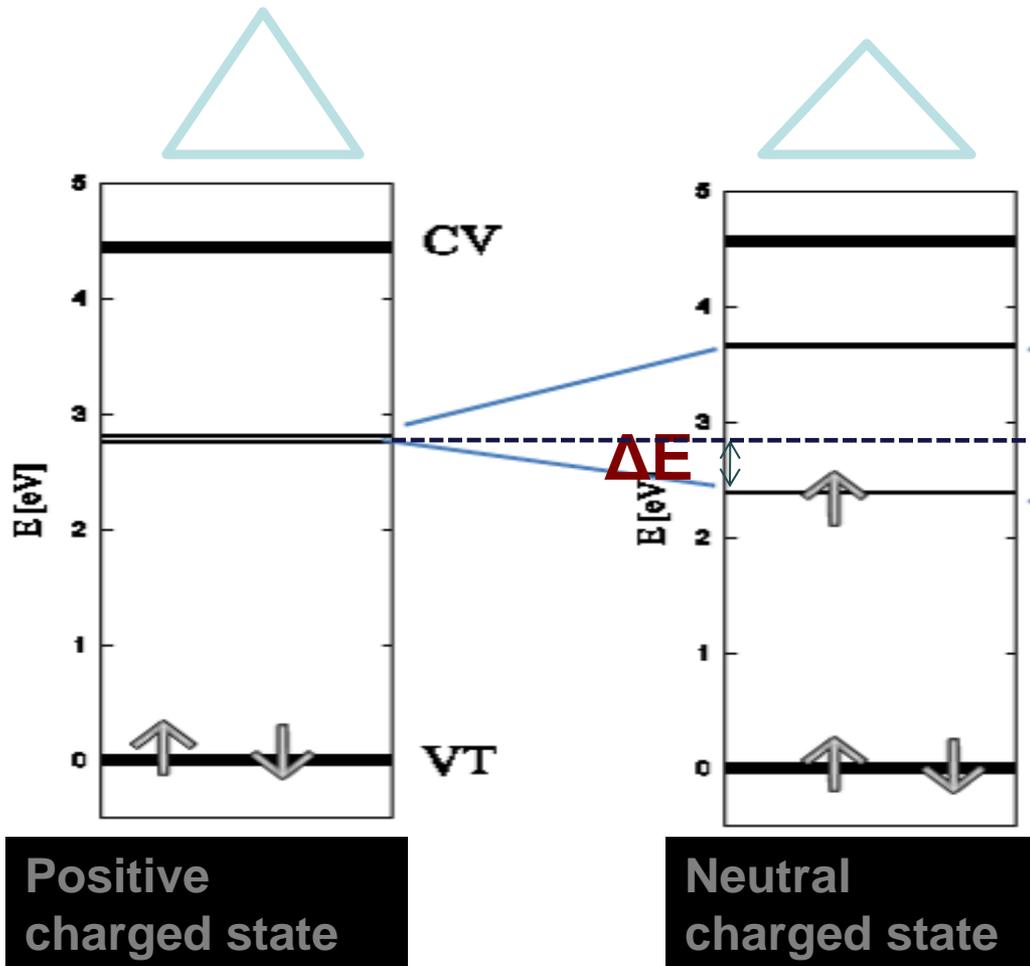
# Jahn-Teller Effect



Positive  
charged state

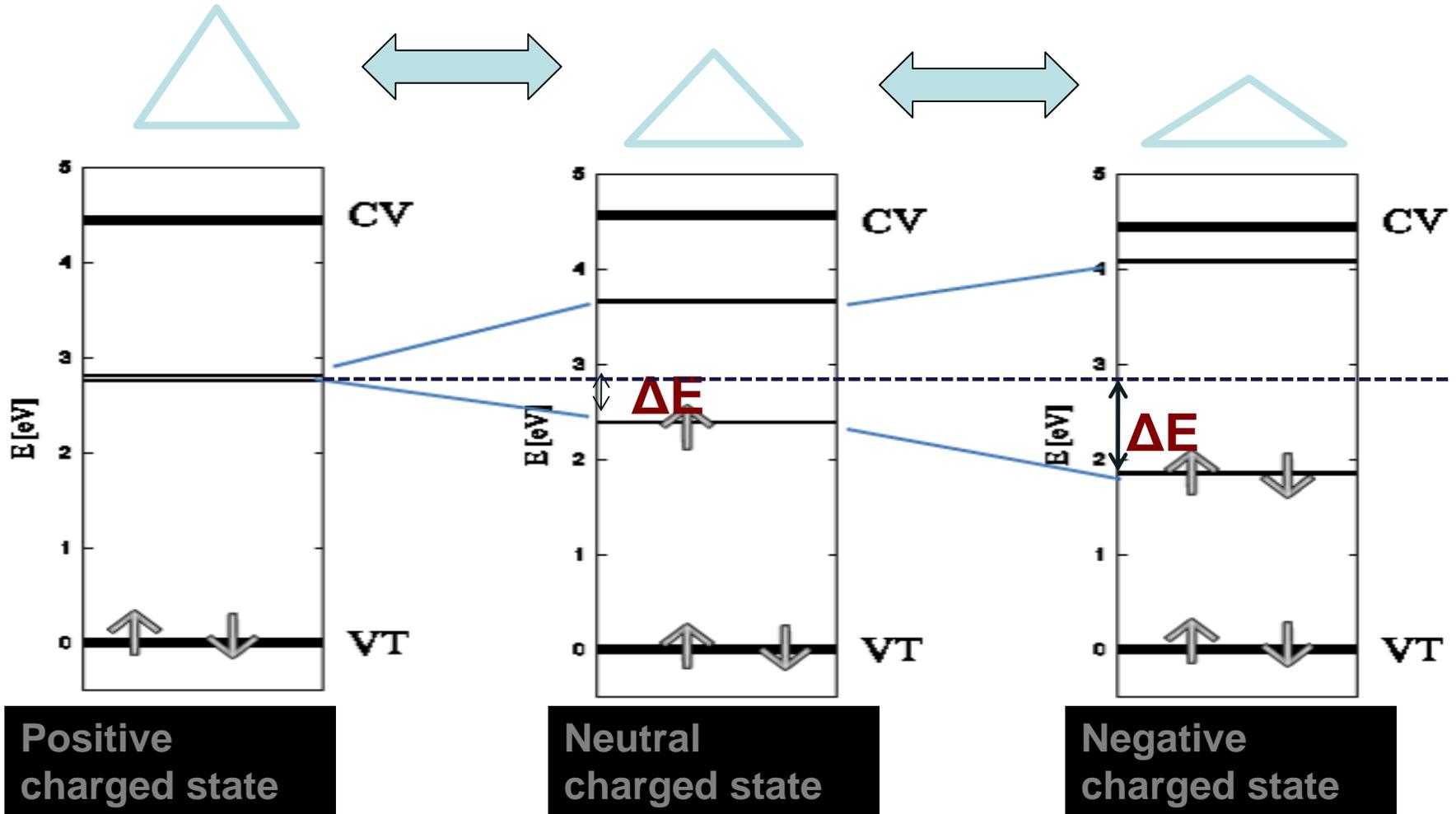
**Spontaneous symmetry breaking structural change stabilize the electron energy by splitting degenerate levels.**

# Jahn-Teller Effect



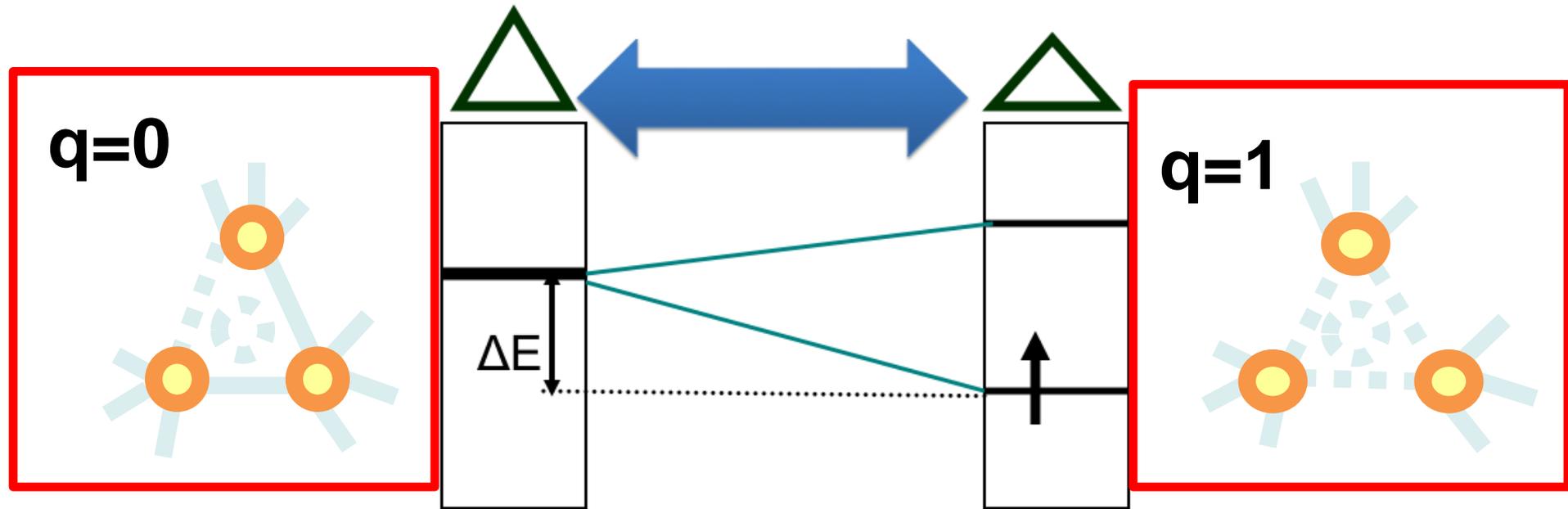
**Spontaneous symmetry breaking structural change stabilize the electron energy by splitting degenerate levels.**

# Jahn-Teller Effect



Spontaneous symmetry breaking structural change stabilize the electron energy by splitting degenerate levels.

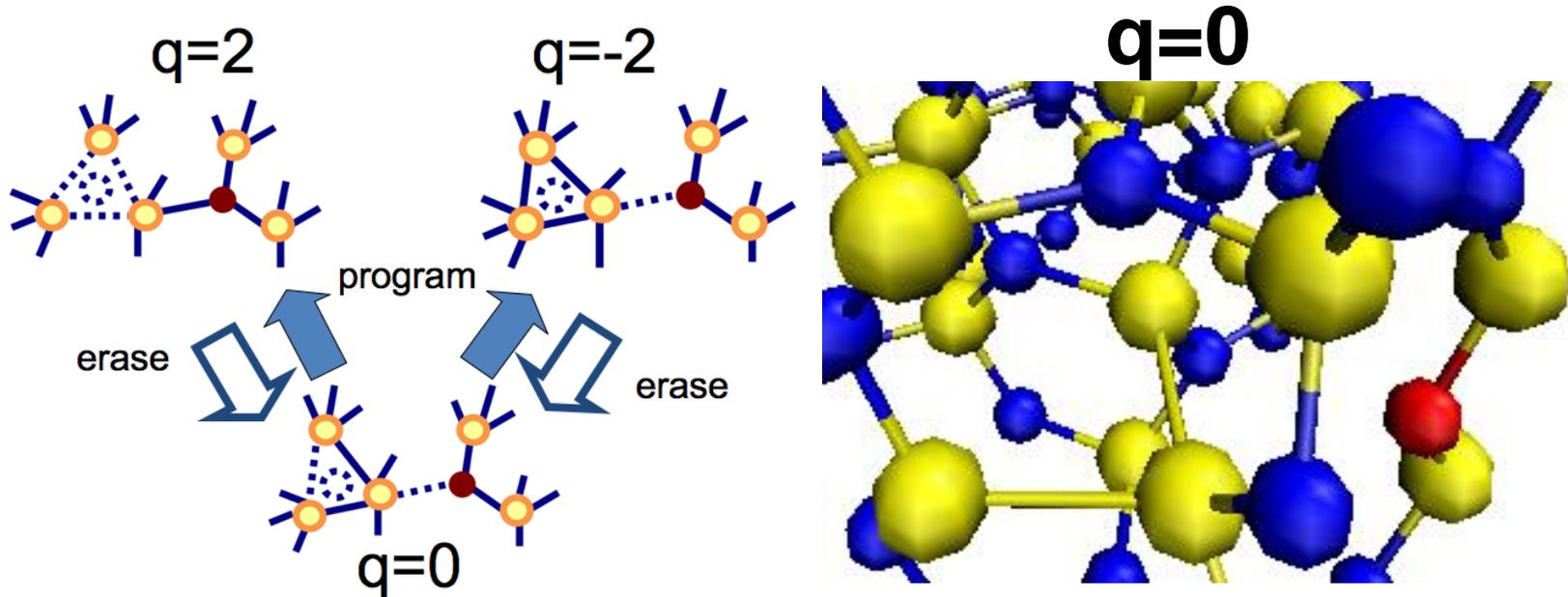
Defects with Jahn-Teller effect has reversible structural change during P/E cycles



High P/E cycle endurance

**N vacancy is ideal charge trap for MONOS memory (Jahn-Teller governs structural change)**

# 3.3 N vacancy with O atom

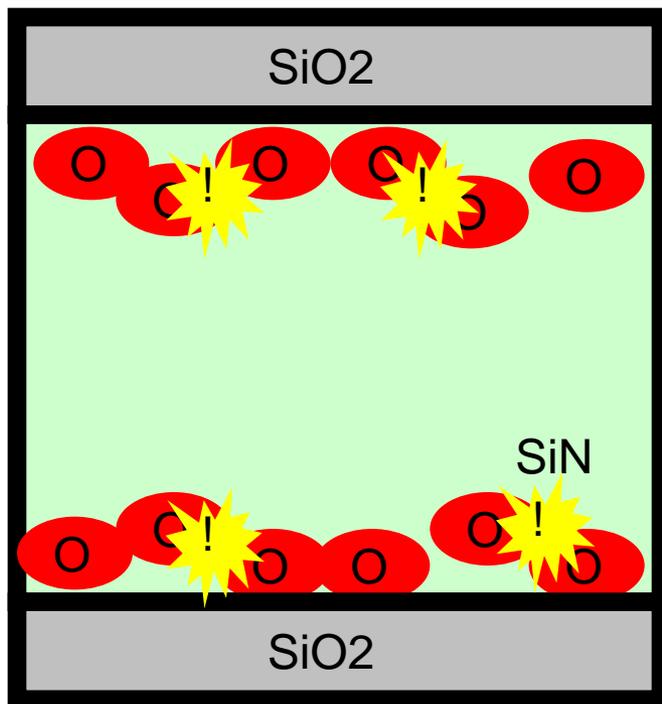


**The atomistic structural change during P/E cycles is reversible.**

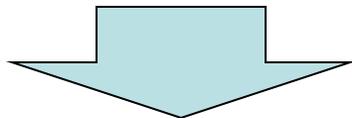
# Summary of calculation result

- Excess O leads to memory degradation
- Jahn-Teller type defects suffers no degradation due to its spontaneous symmetry breaking property  
(It can be a common guiding principle for all types of charge trap memories)

## 3.4 Recipe for High Quality MONOS Memories

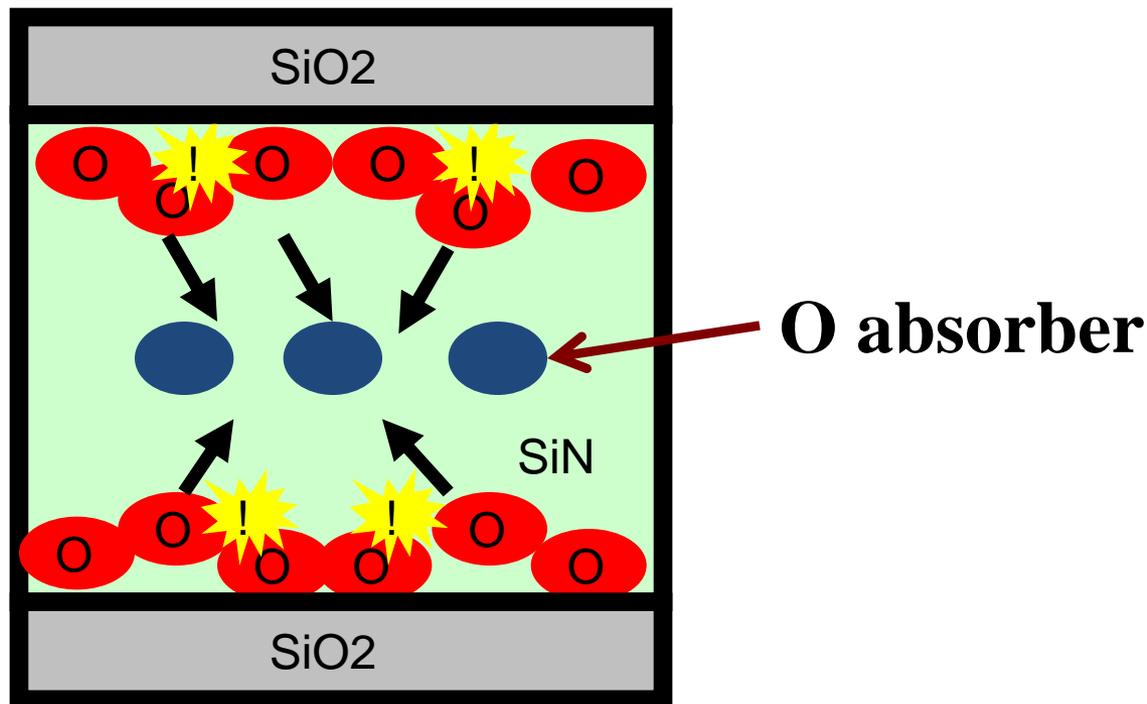


**Excess O atoms form defects with irreversible structural change.**

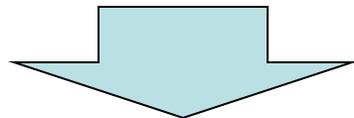


**Reducing the excess O atoms should be effective for high P/E cycle endurance.**

# Recipe for High Quality MONOS Memories

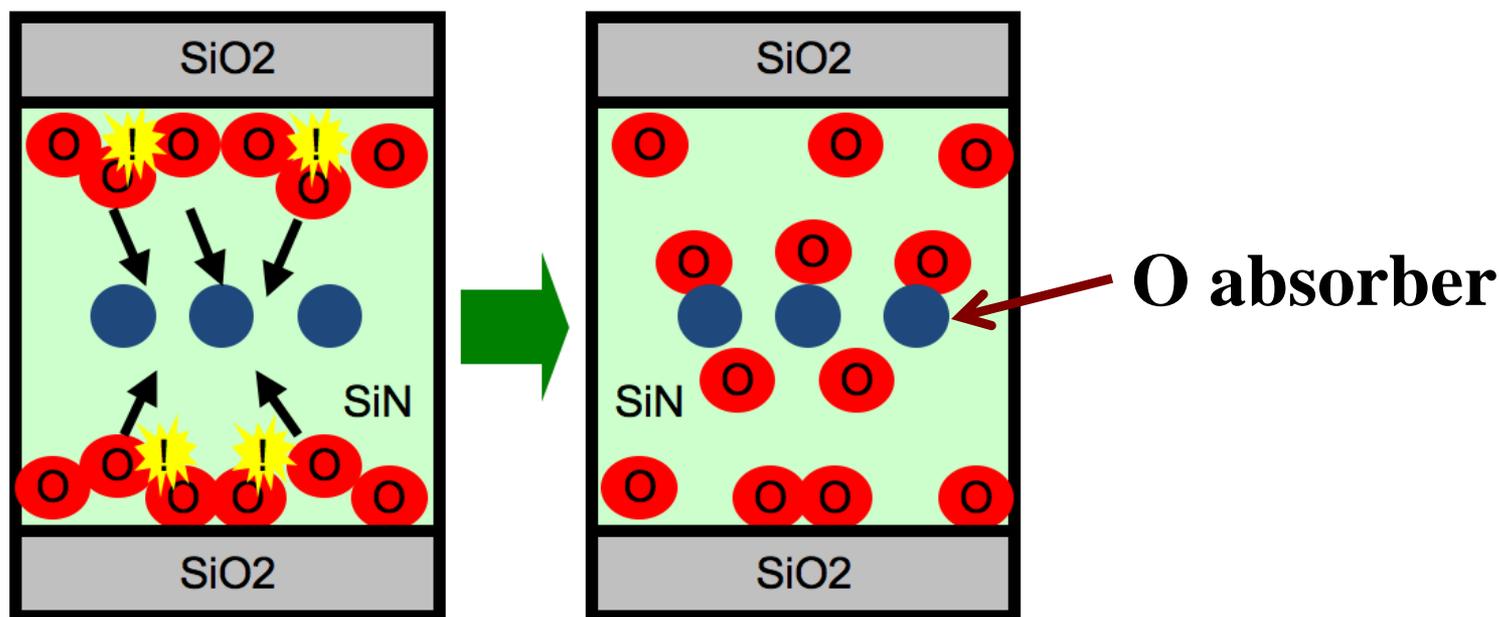


**Excess O atoms form defects with irreversible structural change.**



**Reducing the excess O atoms should be effective for high P/E cycle endurance.**

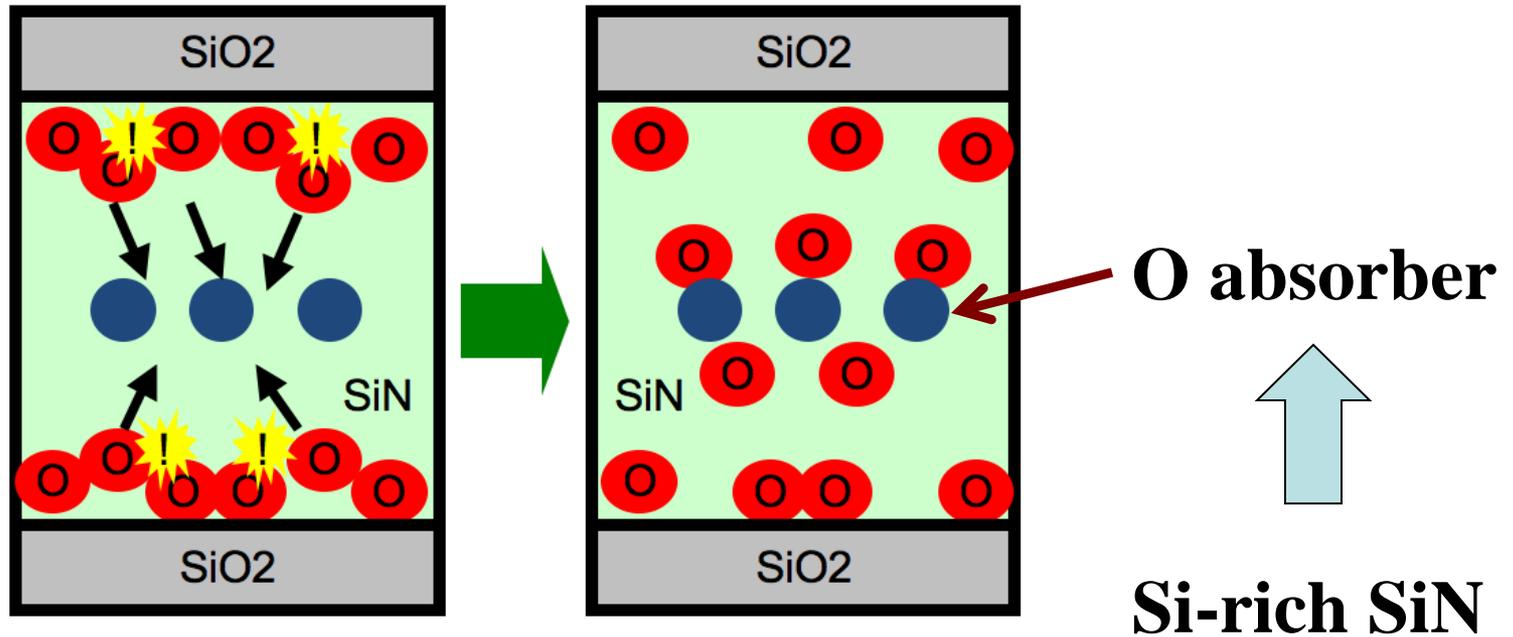
# Recipe for High Quality MONOS Memories



**Absorbing excess O atoms from SiN/SiO<sub>2</sub> interfaces and efficiently reduce the irreversible defects.**

**High P/E cycle endurance**

# Recipe for High Quality MONOS Memories

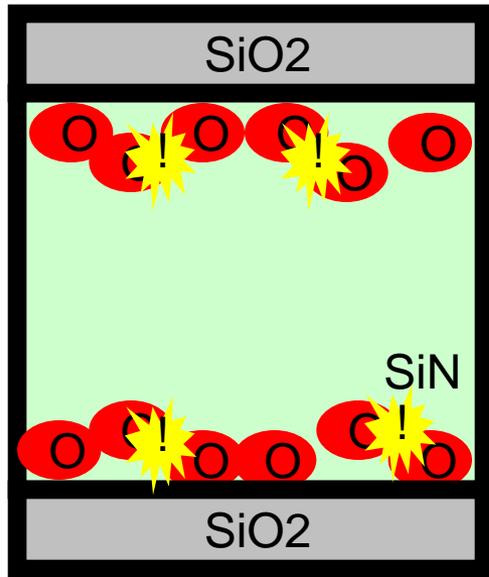


T. Mine et al., Extend. Abst. 2006 IWDTF, p.19 (2006)

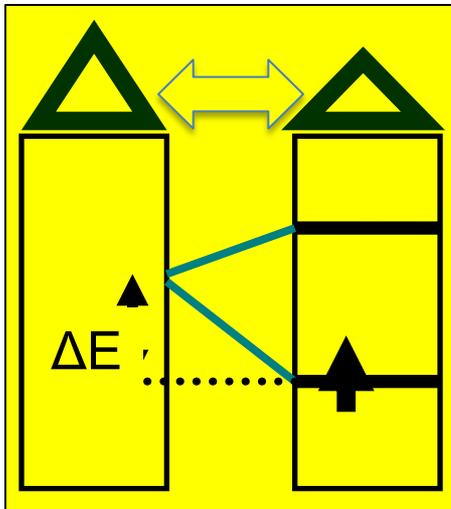
**Absorbing excess O atoms from SiN/SiO<sub>2</sub> interfaces and efficiently reduce the irreversible defects.**

**High P/E cycle endurance**

# Conclusion



- We have clarified the detailed atomistic behavior of defects of MONOS memory by the *ab initio* calculation.
  - The excess O atoms cause an irreversible structural change in the SiN layer.
- The suppression of excess O atoms is effective to improve the MONOS characteristic.



- Defects with Jahn-Teller effect are the most suitable for charge-trap memories.

This first principles knowledge becomes key knowledge for developing automobile memories by using MONOS structures!

→ Mass production is realized by Renesas Electronics!!

Now many automobiles such as Prius uses MONOS memories!!

First principles calculations really contributed to industry!!

(Market size is huge, since it contributed to the whole car industry!!)

# High-k Gate Dielectrics and so on

Mass production of modern LSI is realized by Intel (2008), Panasonic (2010) and IBM (2011) based on the knowledge of nano-interface physics of  $\text{HfO}_2$  which we obtained by first principles calculations in 2004. (High-k dielectrics)

Moreover, we have performed design of new types of memories (Resistive random access memory and Phase change memory) as well as a collaborative research with astrophysics

# Summary

- We have performed large scale first principles calculations
- We really succeeded the first principles based mass production of modern devices.

High-k Metal gate: Modern LSIs

MONOS Memories: Memory devices for automobiles (Toyota, GM, Nissan)

# Collaboration

- International  
Stanford University, Prof. Y. Nishi  
CNRS(France), Prof. M. Boero  
POSTECH(Korea), Prof. Hwaung

# Budget(FY2008-FY2013)

- KAKENHI: Si nano electronics (2008-2010)
- JST-CREST: Ohmori (2009-2013)
- NEDO: Si nanowire (2008-2012)
- NEDO: Collaboration with LEAP (2012-2014)
- JST-CREST: Oshiyama (2008-2010)

